

## Exercise Set 1

These problems are taken from various sources. Problems marked \* are more difficult but also more fun :). If you are done early, find me and discuss; there are always more problems.

### $k$ -Center

- 1 **Another 2-approximation for  $k$ -center.** Let  $r$  be the optimum radius and consider the following algorithm for the  $k$  center problem.

While there is a remaining (input) point, select it as a center and remove all points within distance  $2r$ .

Argue that you must select at most  $k$  balls (and thus at most  $k$  centers).

- 2 Prove that it is NP-hard to approximate the  $k$ -center problem within a factor less than 2.  
Hint: Use a reduction from the NP-complete dominating set problem. In the dominating set problem, we are given a graph  $G = (V, E)$  and an integer  $k$ , and we must decide if there exists a set  $S \subseteq V$  of size  $k$  such that each vertex is either in  $S$  or adjacent to a vertex in  $S$ .

### $k$ -Means

- 3 In this exercise, you prove the missing “part” of the analysis of  $k$ -means++ seeding. That is, prove the lemma

If some centers  $T$  have already been chosen by  $k$ -means++ and  $Z \in C_i$  is added next, then

$$\mathbb{E}[\text{cost}(C_i, T \cup \{Z\}) \mid T, \{Z \in C_i\}] \leq 8 \cdot \text{cost}(C_i, z_i),$$

where  $z_i = \text{mean}(C_i)$ .

Hint: see Lemma 6 in the excellent notes by Dasgupta: <https://cseweb.ucsd.edu/dasgupta/291-geom/kmeans.pdf>

- 4 Consider the “bad” instance for  $k$ -means++:
  - We have  $k$  balls each of radius 1 and  $n/k$  points.
  - The balls have pairwise distance  $\Delta \gg 1$ .

In contrast to  $k$ -means++, show that both Greedy  $k$ -Means++ and  $D^\alpha$  sampling with say  $\alpha = 4$  give a constant-factor approximation algorithm for these instances when  $\Delta$  tends to infinity.

(\*) Prove the above statement for smaller  $\Delta$ .

- 5 (\*) Give  $\omega(\log k)$  lower bounds for  $D^\alpha$  sampling with say  $\alpha = 4$  and Greedy  $k$ -Means++ with a large parameter  $t$ .

## Preparation for tomorrow

- 6 Consider an undirected graph  $G = (V, E)$  and let  $s \neq t \in V$ . Show that there is an  $s, t$ -cut of value at most the optimal value of the following program

$$\begin{array}{ll} \text{minimize} & \sum_{\{u,v\} \in E} |x_u - x_v| \\ \text{subject to} & x_s = 0, x_t = 1, \text{ and } x_v \in [0, 1] \text{ for every } v \in V \end{array}$$

The above program can be made linear by introducing a variable  $y_e$  for each edge and minimizing  $\sum_{e \in E} y_e$  and adding the constraints that  $y_e \geq x_u - x_v$  and  $y_e \geq x_v - x_u$  for every  $e = \{u, v\} \in E$ .

*Hint: Show that the expected value of the following randomized rounding equals the value of the linear program. Select  $\theta$  uniformly at random from  $[0, 1]$  and output the cut  $S = \{v \in V : x_v \leq \theta\}$ .*