# Parameterized Algorithms using Matroids 

Lecture I: Matroid Basics and its use as data structure

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## ADFOCS 2013, MPI, August 5-9, 2013

## Introduction and Kernelization

## Fixed Parameter Tractable (FPT) <br> Algorithms

For decision problems with input size $n$, and a parameter $k$, (which typically is the solution size), the goal here is to design an algorithm with running time $f(k) \cdot n^{\mathcal{O}(1)}$, where $f$ is a function of $k$ alone.

Problems that have such an algorithm are said to be fixed parameter tractable (FPT).

## A Few Examples

Vertex Cover
Input: A graph $G=(V, E)$ and a positive integer $k$.
Parameter: $k$
Question: Does there exist a subset $V^{\prime} \subseteq V$ of size at most $k$ such that for every edge $(u, v) \in E$ either $u \in V^{\prime}$ or $v \in V^{\prime}$ ?

PATH
Input: A graph $G=(V, E)$ and a positive integer $k$.
Parameter: $k$
Question: Does there exist a path $P$ in $G$ of length at least $k$ ?

## Kernelization: A Method for Everyone

Informally: A kernelization algorithm is a polynomial-time transformation that transforms any given parameterized instance to an equivalent instance of the same problem, with size and parameter bounded by a function of the parameter.

## Kernel: Formally

Formally: A kernelization algorithm, or in short, a kernel for a parameterized problem $L \subseteq \Sigma^{*} \times \mathbb{N}$ is an algorithm that given $(x, k) \in \Sigma^{*} \times \mathbb{N}$, outputs in $p(|x|+k)$ time a pair $\left(x^{\prime}, k^{\prime}\right) \in \Sigma^{*} \times \mathbb{N}$ such that
where $f$ is an arbitrary computable function, and $p$ a polynomial. Any function $f$ as above is referred to as the size of the kernel.

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- $(x, k) \in L \Longleftrightarrow\left(x^{\prime}, k^{\prime}\right) \in L$,
- $\left|x^{\prime}\right|, k^{\prime} \leq f(k)$,
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Observation: Every vertex has degree at most $k$ - number of edges they can cover is at most $k^{2}$.
Outcome 2: If $|E|>k^{2}$ - the answer is No. Else $|E| \leq k^{2},|V| \leq 2 k^{2}$ and we have polynomial sized kernel of $\mathcal{O}\left(k^{2}\right)$.

## Iterative Compression and Odd Cycle Transversal

Result from<br>Bruce A. Reed, Kaleigh Smith, Adrian Vetta: Finding odd cycle transversals. Operation Resarch Letters 32(4): 299-301 (2004)

## Iterative compression

- A surprisingly small, but very powerful trick.
- Most useful for deletion problems: delete $k$ things to achieve some property.
- Demonstration: Odd Cycle Transversal aka Bipartite Deletion aka Graph Bipartization: Given a graph $G$ and an integer $k$, delete $k$ vertices to make the graph bipartite.
- Forbidden induced subgraphs: odd cycles. There is no bound on the size of odd cycles.


## Odd Cycle Transversal

> Odd CYClE Transversal
> Input: A graph $G=(V, E)$ and a positive integer $k$.
> Parameter: $k$
> Question: Does there exist a subset $V^{\prime} \subseteq V$ of size at most $k$ such that $G \backslash V^{\prime}$ is bipartite?

## Odd Cycle Transversal

Solution based on iterative compression:

- Step 1: Solve the annotated problem for bipartite graphs:

Given a bipartite graph $G$, two sets $B, W \subseteq V(G)$, and an integer $k$, find a set $S$ of at most $k$ vertices such that $G \backslash S$ has a 2-coloring where $B \backslash S$ is black and $W \backslash S$ is white.

- Step 2: Solve the compression problem for general graphs:

Given a graph $G$, an integer $k$, and a set $Q$ of $k+1$ vertices such that $G \backslash Q$ is bipartite, find a set $S$ of $k$ vertices such that $G \backslash S$ is bipartite.

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Lemma: $G \backslash S$ has the required 2-coloring if and only if $S$ separates $C$ and $R$, i.e., no component of $G \backslash S$ contains vertices from both $C \backslash S$ and $R \backslash S$.

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Proof:
$\Longrightarrow$ In a 2 -coloring of $G \backslash S$, each vertex either remained the same color or changed color. Adjacent vertices do the same, thus every component either changed or remained.
$\Leftarrow$ Flip the coloring of those components of $G \backslash S$ that contain vertices from $C \backslash S$. No vertex of $R$ is flipped.

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Algorithm: Using max-flow min-cut techniques, we can check if there is a set $S$ that separates $C$ and $R$. It can be done in time $O(k|E(G)|)$ using $k$ iterations of the Ford-Fulkerson algorithm

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Given a graph $G$, an integer $k$, and a set $Q$ of $k+1$ vertices such that $G \backslash Q$ is bipartite, find a set $S$ of $k$ vertices such that $G \backslash S$ is bipartite.


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The vertices of $Q$ can be disregarded. Thus we need to solve the annotated problem on the bipartite graph $G \backslash Q$.
Running time: $O\left(3^{k} \cdot k|E(G)|\right)$ time.

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Let $V(G)=\left\{v_{1}, \ldots, v_{n}\right\}$ and let $G_{i}$ be the graph induced by $\left\{v_{1}, \ldots, v_{i}\right\}$.

For every $i$, we find a set $S_{i}$ of size $k$ such that $G_{i} \backslash S_{i}$ is bipartite.

- For $G_{k}$, the set $S_{k}=\left\{v_{1}, \ldots, v_{k}\right\}$ is a trivial solution.
- If $S_{i-1}$ is known, then $S_{i-1} \cup\left\{v_{i}\right\}$ is a set of size $k+1$ whose deletion makes $G_{i}$ bipartite $\Longrightarrow$ We can use the compression algorithm to find a suitable $S_{i}$ in time $O\left(3^{k} \cdot k\left|E\left(G_{i}\right)\right|\right)$.


## Step 3: Iterative Compression

Bipartite-Deletion $(G, k)$
(1) $S_{k}=\left\{v_{1}, \ldots, v_{k}\right\}$
(2) for $i:=k+1$ to $n$
(3) Invariant: $G_{i-1} \backslash S_{i-1}$ is bipartite.
(4) Call Compression $\left(G_{i}, S_{i-1} \cup\left\{v_{i}\right\}\right)$
(5) If the answer is "NO" $\Longrightarrow$ return "NO"
(6) If the answer is a set $X \Longrightarrow S_{i}:=X$
(c) Return the set $S_{n}$

Running time: the compression algorithm is called $n$ times and everything else can be done in linear time.
$\Longrightarrow O\left(3^{k} \cdot k|V(G)| \cdot|E(G)|\right)$ time algorithm.

## Useful Reformulation of the Algorithm

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- Vertices in $G^{\prime}$ are $A \cup B \cup Q_{A} \cup Q_{B}$. Edges within $G^{\prime}[A \cup B]$ are as in $G$, while for $q \in Q$ a vertex $q_{a}$ is connected to $N_{G}(q) \cap A$ and $q_{b}$ to $N_{G}(q) \cap B$.

For a partition $Q=L \cup R \cup C$ we are going to compute the minimum $\left(R_{A} \cup L_{B}\right),\left(L_{A} \cup R_{B}\right)$-cut in $G^{\prime} \backslash\left(C_{A} \cup C_{B}\right)$.

## Example



For $L=\{w\}, R=\{x, y\}, C=\{z\} \Longrightarrow L_{A} \cup R_{B}=\left\{w_{a}, x_{b}, y_{b}\right\}$ and $L_{B} \cup R_{A}=\left\{w_{b}, x_{a}, y_{a}\right\}$ and $C_{A} \cup C_{B}=\left\{z_{a}, z_{b}\right\}$

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## Final Result

For a partition $Q=L \cup R \cup C$ we are going to compute the minimum $\left(R_{A} \cup L_{B}\right),\left(L_{A} \cup R_{B}\right)$-cut in $G^{\prime} \backslash\left(C_{A} \cup C_{B}\right)$. This is sufficient due to the following lemma:

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$$
|C|+\operatorname{mincut}_{G \backslash\left(C_{A} \cup C_{B}\right)}\left(\left(R_{A} \cup L_{B}\right),\left(L_{A} \cup R_{B}\right)\right)
$$

## Final Result: Restated

Let $S, T$ and $R$ be a partition of $Q_{A} \cup Q_{B}$. We say that $(S, T, Z)$ is a valid partition if for all $x \in Q$ either

- $\left|\left\{x_{1}, x_{2}\right\} \cap S\right|=\left|\left\{x_{1}, x_{2}\right\} \cap T\right|=1$; or


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## Lemma: Let $G=(V, E)$ be a graph and $Q \subseteq V$ be such that $G \backslash Q$ is bipartite with color classes $A, B$. Then, the size of the minimum odd cycle transversal is the minimum over all valid partitions of $Q_{A} \cup Q_{B}=S \cup T \cup Z$ of the following value:



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$$
\frac{|Z|}{2}+\operatorname{mincut}_{G^{\prime} \backslash Z}(S, T)
$$

Matroids and its Representation

## Matroids

Definition
A pair $M=(E, \mathcal{I})$, where $E$ is a ground set and $\mathcal{I}$ is a family of subsets (called independent sets) of $E$, is a matroid if it satisfies the following conditions:
(I1) $\emptyset \in \mathcal{I}$ or $\mathcal{I} \neq \emptyset$.
(I2) If $A^{\prime} \subset A$ and $A \in \mathcal{I}$ then $A^{\prime} \in \mathcal{I}$.
(I3) If $A, \bar{B} \in \mathcal{I}$ and $|A|<|B|$, then $\exists e \in(B \backslash A)$ such that $A \cup\{e\} \in \mathcal{I}$.

The axiom (12) is also called the hereditary property and a pair $M=(E, \mathcal{I})$ satisfying (I1) and (I2) is called hereditary family or set-family.

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A \cup\{e\} \in \mathcal{I} .
$$

An inclusion wise maximal set of $\mathcal{I}$ is called a basis of the matroid. Using axiom ( 13 ) it is easy to show that all the bases of a matroid have the same size. This size is called the rank of the matroid $M$, and is denoted by $\operatorname{rank}(M)$.

## Examples Of Matroids

## Uniform Matroid

A pair $M=(E, \mathcal{I})$ over an $n$-element ground set $E$, is called a uniform matroid if the family of independent sets is given by

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\mathcal{I}=\{A \subseteq E| | A \mid \leq k\}
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where $k$ is some constant. This matroid is also denoted as $U_{n, k}$.

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where $k$ is some constant. This matroid is also denoted as $U_{n, k}$. Eg: $E=\{1,2,3,4,5\}$ and $k=2$ then

$$
\begin{aligned}
\mathcal{I}= & \{\},\{1\},\{2\},\{3\},\{4\},\{5\},\{1,2\},\{1,3\},\{1,4\}, \\
& \{1,5\},\{2,3\},\{2,4\},\{2,5\},\{3,4\},\{3,5\},\{4,5\}\}
\end{aligned}
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## Partition Matroid

A partition matroid $M=(E, \mathcal{I})$ is defined by a ground set $E$ being partitioned into (disjoint) sets $E_{1}, \ldots, E_{\ell}$ and by $\ell$ non-negative integers $k_{1}, \ldots, k_{\ell}$. A set $X \subseteq E$ is independent if and only if $\left|X \cap E_{i}\right| \leq k_{i}$ for all $i \in\{1, \ldots, \ell\}$. That is,

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- If $X, Y \in \mathcal{I}$ and $|Y|>|X|$, there must exist $i$ such that $\left|Y \cap E_{i}\right|>\left|X \cap E_{i}\right|$ and this means that adding any element $e$ in $E_{i} \cap(Y \backslash X)$ to $X$ will maintain independence.

one can't find an element of $Y$ to add to $X$


## Partition Matroid

A partition matroid $M=(E, \mathcal{I})$ is defined by a ground set $E$ being partitioned into (disjoint) sets $E_{1}, \ldots, E_{\ell}$ and by $\ell$ non-negative integers $k_{1}, \ldots, k_{\ell}$. A set $X \subseteq E$ is independent if and only if $\left|X \cap E_{i}\right| \leq k_{i}$ for all $i \in\{1, \ldots, \ell\}$. That is,

$$
\mathcal{I}=\left\{X \subseteq E| | X \cap E_{i} \mid \leq k_{i}, i \in\{1, \ldots, \ell\}\right\} .
$$

- If $X, Y \in \mathcal{I}$ and $|Y|>|X|$, there must exist $i$ such that $\left|Y \cap E_{i}\right|>\left|X \cap E_{i}\right|$ and this means that adding any element $e$ in $E_{i} \cap(Y \backslash X)$ to $X$ will maintain independence.
- $M$ in general would not be a matroid if $E_{i}$ were not disjoint. Eg: $E_{1}=\{1,2\}$ and $E_{2}=\{2,3\}$ and $k_{1}=1$ and $k_{2}=1$ then both $Y=\{1,3\}$ and $X=\{2\}$ have at most one element of each $E_{i}$ but one can't find an element of $Y$ to add to $X$.


## Graphic Matroid

Given a graph $G$, a graphic matroid is defined as $M=(E, \mathcal{I})$ where and

- $E=E(G)$ - edges of $G$ are elements of the matroid

$$
\mathcal{I}=\{F \subseteq E(G): F \text { is a forest in the graph } G\}
$$

## Co-Graphic Matroid

Given a graph $G$, a co-graphic matroid is defined as $M=(E, \mathcal{I})$ where and

- $E=E(G)$ - edges of $G$ are elements of the matroid

$$
\mathcal{I}=\{S \subseteq E(G): G \backslash S \text { is connected }\}
$$

## Direct Sum

Let $M_{1}=\left(E_{1}, \mathcal{I}_{1}\right), M_{2}=\left(E_{2}, \mathcal{I}_{2}\right), \cdots, M_{t}=\left(E_{t}, \mathcal{I}_{t}\right)$ be $t$ matroids with $E_{i} \cap E_{j}=\emptyset$ for all $1 \leq i \neq j \leq t$.

## Direct Sum

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The direct sum $M_{1} \oplus \cdots \oplus M_{t}$ is a matroid $M=(E, \mathcal{I})$ with
$E:=\bigcup_{i=1}^{t} E_{i}$ and $X \subseteq E$ is independent if and only if for all $i \leq t$, $X \cap E_{i} \in \mathcal{I}_{i}$.

$$
\mathcal{I}=\left\{X \mid X \subseteq E,\left(X \cap E_{i}\right) \in \mathcal{I}_{i}, i \in\{1, \ldots, t\}\right\}
$$

## Transversal Matroid

Let $G$ be a bipartite graph with the vertex set $V(G)$ being partitioned as $A$ and $B$.


## Transversal Matroid

Let $G$ be a bipartite graph with the vertex set $V(G)$ being partitioned as $A$ and $B$. The transversal matroid $M=(E, \mathcal{I})$ of $G$ has $E=A$ as its ground set,

$$
\mathcal{I}=\{X \mid X \subseteq A, \text { there is a matching that covers } X\}
$$



## Gammoids

Let $D=(V, A)$ be a directed graph, and let $S \subseteq V$ be a subset of vertices. A subset $X \subseteq V$ is said to be linked to $S$ if there are $|X|$ vertex disjoint paths going from $S$ to $X$.

The paths are disjoint, not only internally disjoint. Furthermore, zero-length paths are also allowed if $X \cap S=\emptyset$.

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The paths are disjoint, not only internally disjoint. Furthermore, zero-length paths are also allowed if $X \cap S=\emptyset$.

Given a digraph $D=(V, A)$ and subsets $S \subseteq V$ and $T \subseteq V$, a gammoid is a matroid $M=(E, \mathcal{I})$ with $E=T$ and

$$
\mathcal{I}=\{X \mid X \subseteq T \text { and } X \text { is linked to } S\}
$$

Gammoid: Example


## Strict Gammoids

Given a digraph $D=(V, A)$ and subset $S \subseteq V$, a strict gammoid is a matroid $M=(E, \mathcal{I})$ with $E=V$ and

$$
\mathcal{I}=\{X \mid X \subseteq V \text { and } X \text { is linked to } S\}
$$

Matroid Representation

## Remark

- Need a compact representation for the family of independent sets.
- Also should be able to test easily, whether a set belongs to the family of independent sets.


## Linear Matroid

Let $A$ be a matrix over an arbitrary field $\mathbb{F}$ and let $E$ be the set of columns of $A$. Given $A$ we define the matroid $M=(E, \mathcal{I})$ as follows. A set $X \subseteq E$ is independent (that is $X \in \mathcal{I}$ ) if the corresponding columns are linearly independent over $\mathbb{F}$.

The matroids that can be defined by such a construction are called linear matroids.

## Linear Matroid

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$$
A=\left[\begin{array}{ccccc}
* & * & * & \cdots & * \\
* & * & * & \cdots & * \\
* & * & * & \cdots & * \\
\vdots & \vdots & \vdots & \vdots & \vdots \\
* & * & * & \cdots & *
\end{array}\right] * \text { are elements of } \mathbb{F}
$$

The matroids that can be defined by such a construction are called linear matroids.

## Linear Matroids and Representable Matroids

If a matroid can be defined by a matrix $A$ over a field $\mathbb{F}$, then we say that the matroid is representable over $\mathbb{F}$.

## Linear Matroids and Representable Matroids

A matroid $M=(E, \mathcal{I})$ is representable over a field $\mathbb{F}$ if there exist vectors in $\mathbb{F}^{\ell}$ that correspond to the elements such that the linearly independent sets of vectors precisely correspond to independent sets of the matroid.

A matroid $M=(E, \mathcal{I})$ is called representable or linear if it is representable over some field $\mathbb{F}$

## Linear Matroids and Representable Matroids

A matroid $M=(E, \mathcal{I})$ is representable over a field $\mathbb{F}$ if there exist vectors in $\mathbb{F}^{\ell}$ that correspond to the elements such that the linearly independent sets of vectors precisely correspond to independent sets of the matroid. Let $E=\left\{e_{1}, \ldots, e_{m}\right\}$ and $\ell$ be a positive integer.


A matroid $M=(E, \mathcal{I})$ is called representable or linear if it is representable over some field $\mathbb{F}$.

## Linear Matroid

Let $M=(E, \mathcal{I})$ be linear matroid and Let $E=\left\{e_{1}, \ldots, e_{m}\right\}$ and $d=\operatorname{rank}(M)$.
We can always assume (using Gaussian Elimination) that the matrix has following form:

Here $I_{d \times d}$ is a $d \times d$ identity matrix.

## Linear Matroid

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$$
\left[\begin{array}{l|l}
I_{d \times d} & D]_{d \times m} \\
\end{array}\right.
$$

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## Transversal Matroid

For the bipartite graph with partition $A$ and $B$, form an incidence matrix $T$ as follows. Label the rows by vertices of $B$ and the columns by the vertices of $A$ and define:

where $z_{i j}$ are in-determinants. Think of them as independent variables.


## Transversal Matroid

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where $z_{i j}$ are in-determinants. Think of them as independent variables.

$$
T=\begin{gathered}
\\
b_{1} \\
\vdots \\
b_{i} \\
\vdots \\
b_{n}
\end{gathered}\left[\begin{array}{cccccc}
a_{1} & a_{2} & \cdots & a_{j} & \cdots & a_{\ell} \\
z_{11} & z_{12} & \cdots & z_{1 j} & \cdots & z_{1 \ell} \\
\vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
z_{i 1} & z_{i 2} & \cdots & z_{i j} & \cdots & z_{i \ell} \\
\vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
z_{n 1} & z_{n 2} & \cdots & z_{n j} & \cdots & z_{n \ell}
\end{array}\right]
$$

## Example of the Construction



## Example of the Construction



## Permutation expansion of Determinants

Theorem: Let

$$
A=\left(a_{i j}\right)_{n \times n}
$$

be a $n \times n$ matrix with entries in $\mathbb{F}$. Then

$$
\operatorname{det}(A)=\sum_{\pi \in S_{n}} \operatorname{sgn}(\pi) \prod_{i=1}^{n} a_{i \pi(i)}
$$

## Proof that Transversal Matroid is <br> Representable over $F[\bar{z}]$

Forward direction: (Board for Picture)

- Suppose some subset $X=\left\{a_{1}, \ldots, a_{q}\right\}$ is independent.
- Then there is a matching that saturates $X$. Let $Y=\left\{b_{1}, b_{2}, \ldots, b_{q}\right\}$ be the endpoints of this matching and $a_{i} b_{i}$ are the matching edges.


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- Consider $T[Y, X]$ - a submatrix with rows in $Y$ and columns in $X$. Consider the determinant of $T[Y, X]$ then we have a term

$$
\prod_{i=1}^{q} z_{i i}
$$

which can not be cancelled by any other term! So these columns are linearly independent.

## Proof that Transversal Matroid is Representable over $F[\bar{z}]$

## Reverse direction: (Board for Picture)

- Suppose some subset $X=\left\{a_{1}, \ldots, a_{q}\right\}$ of columns is independent in T.
- Then there is a submatrix of $T[\star, X]$ that has non-zero determinant - say $T[Y, X]$.


## Proof that Transversal Matroid is Representable over $F[\bar{z}]$

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- Then there is a submatrix of $T[\star, X]$ that has non-zero determinant - say $T[Y, X]$.
- Consider the determinant of $T[Y, X]$

$$
0 \neq \operatorname{det}(T[Y, X])=\sum_{\pi \in S(Y)} \operatorname{sgn}(\pi) \prod_{i=1}^{q} z_{i \pi(i)}
$$

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$$
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and this gives us that there is a matching that saturates $X$ in and hence $X$ is independent.

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- For this direction we do not use $z_{i j}$, the very fact that $X$ forms independent set of column is enough to argue that there is a matching that saturates $X$.


## Removing $z_{i j}$

To remove the $z_{i j}$ we do the following.
Uniformly at random assign $z_{i j}$ from values in finite field $\mathbb{F}$ of size $P$.

What should be the upper bound on $P$ ? What is the probability that the randomly obtained $T$ is a representation matrix for the transversal matroid.

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## Using Zippel-Schwartz Lemma

THEOREM: Let $p\left(x_{1}, x_{2}, \ldots, x_{n}\right)$ be a non-zero polynomial of degree $d$ over some field $\mathbb{F}$ and let $S$ be an $N$ element subset of $\mathbb{F}$. If each $x_{i}$ is independently assigned a value from $S$ with uniform probability, then $p\left(x_{1}, x_{2}, \ldots, x_{n}\right)=0$ with probability at most $\frac{d}{N}$.

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- We think $\operatorname{det}(T[Y, X])$ as polynomial in $z_{i j}$ 's of degree at most $n=|A|$.
- Probability that $\operatorname{det}(T[Y, X])=0$ is less than $\frac{n}{P}$. There are at most $2^{n}$ independent sets in $A$ and thus by union bound probability that not all of them are independent in the matroid represented by $T$ is at most $\frac{2^{n} n}{P}$.


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- Thus probability that $T$ is the representation is at least $1-\frac{2^{n} n}{P}$. Take $P$ to be some field with at least $2^{n} n 2^{n}$ elements :-).
- size of this representation with be like $n^{O(1)}$ bits!


## Representation of Gammoids

- Let $D=(V, A)$ be a directed graph, $\varepsilon>0$ be a given real number, and let $S$ and $T$ be possibly overlapping subsets of $V$.
- Let $M=(T, \mathcal{I})$, where $\mathcal{I}=\{Z \subseteq T: Z$ is linked to $S\}$, be the gammoid formed by $(D, S)$ restricted to $T$.
- We can compute a representation of $M$ as an $|S| \times|T|$ matrix over the rationals with entries of bit-length $O(\min \{|T|,|S| \log |T|\}+\log (1 / \varepsilon)+\log |V|)$ in randomized polynomial time with one-sided error bounded by $\varepsilon$.


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Stefan Kratsch, Magnus Wahlström: Compression via matroids: A randomized polynomial kernel for odd cycle transversal. SODA 2012:
94-103


## Kernelization for OdD CyCLE TRANSVERSAL

## Result from

Stefan Kratsch, Magnus Wahlström: Compression via matroids: A randomized polynomial kernel for odd cycle transversal. SODA 2012: 94-103

## Algorithm for OCT

Lemma: Let $G=(V, E)$ be a graph and $Q \subseteq V$ be such that $G \backslash Q$ is bipartite with color classes $A, B$. Then, the size of the minimum odd cycle transversal is the minimum over all valid partitions of $Q_{A} \cup Q_{B}=S \cup T \cup Z$ of the following value:

$$
\frac{|Z|}{2}+\operatorname{mincut}_{G^{\prime} \backslash Z}(S, T)
$$

- The idea is to encode the algorithm given by the above lemma using matroids.


## Algorithm for OCT

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$$

- The idea is to encode the algorithm given by the above lemma using matroids.
- Note that if $M=(E, \mathcal{I})$ is representable then the corresponding matrix $M$ succinctly represents all the sets in $\mathcal{I}$.
- The size of $\mathcal{I}$ could be huge, however the size of $M$ is polynomial in the universe size and whether a set is in $\mathcal{I}$ or not can be tested by looking at the corresponding columns in $M$.


## Algorithm for OCT

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$$

- The idea is to encode the algorithm given by the above lemma using matroids.
- Want to exploit this tiny representation of matroids compared to $|\mathcal{T}|$.


## Towards the kernel for OCT

Let $|Q|=q$.

- There are $3^{9}$ steps in the OCT algorithm. Want each step to be encoded by an independent set of a matroid whose representation matrix has size only $q^{\mathcal{O}(1)}$.
- Each step finds a minimum cut between a pair of subsets of $Q_{A} \cup Q_{B}$.


## Towards the kernel for OCT

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- Each step finds a minimum cut between a pair of subsets of $Q_{A} \cup Q_{B}$.
Does this ring a bell about which matroid to use for our purpose?


## Menger's Theorem

Let $D$ be a (un)-directed graph and $S$ and $T$ (may not be disjoint) be vertex subsets.
max-dis-path $(S, T)(D)$ denotes the maximum number of vertex disjoint paths (even at ends).
$\operatorname{mincut}(S, T)(D)$ denotes the minimum number of vertices required to disconnect $S$ from $T$ in $D$.
Mengers Theorem:
max-dis-path $(S, T)(D)=\operatorname{mincut}(S, T)(D)$

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$$

So rather than remembering minimum cut we can remember maximum number of vertex disjoint paths.

## Menger's Theorem

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Mengers Theorem:
max-dis-path $(S, T)(D)=$ mincut $(S, T)(D)$
Gammoid!

## Recall: Example



For $L=\{w\}, R=\{x, y\}, C=\{z\} \Longrightarrow L_{A} \cup R_{B}=\left\{w_{a}, x_{b}, y_{b}\right\}$ and $L_{B} \cup R_{A}=\left\{w_{b}, x_{a}, y_{a}\right\}$ and $C_{A} \cup C_{B}=\left\{z_{a}, z_{b}\right\}$ Want to compute cut between $L_{A} \cup R_{B}=\left\{w_{a}, x_{b}, y_{b}\right\}$ and $L_{B} \cup R_{A}=\left\{w_{b}, x_{a}, y_{a}\right\}$ in $G^{\prime} \backslash\left(C_{A} \cup C_{B}\right)$.

## Gammoid for our purpose

Given $Q_{A} \cup Q_{B}$, we need a gammoid that does the following job:

- For every valid partition of $Q_{A} \cup Q_{B}=S \cup T \cup Z$, remembers the size of minimum cut/maximum number of vertex disjoint paths between $S$ and $T$ in $G^{\prime} \backslash Z$.
We also need to encode deletion of vertices of $Z$.


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We also need to encode deletion of vertices of $Z$.


## Gammoid for our purpose

Abstractly the problem we want to solve is the following:

- Input: A directed graph $D$ and a subset $X$ of terminals.
- Output: A representation of a gammoid of size $|X|^{(1)}$ which for every partition of $X$ as $S \cup T \cup R \cup U$, has an independent set $I$ from which we can infer the maximum number of vertex disjoint paths between $S$ and $T$ in $D \backslash R$.


## Solving the Problem



- Let $X^{\prime}=\left\{x^{\prime} \mid x \in X\right\}$ be a vertex set. The vertices $x^{\prime}$ and $x$ are called conjugates of each other.
- Add $X^{\prime}$ to $D$ and arcs $\left(x^{\prime}, x\right)$ to $D$ for every $x \in X$. Let the resulting digraph be $D^{\prime}$.


## Solving the Problem



- Obtain a gammoid with $\mathcal{S}=X^{\prime}$ and $\mathcal{T}=X^{\prime} \cup X$.
- Clearly, the size of the representation matrix is $|X| \times 2|X|$ (not the number of bits).


## Correspondence between an Independent

 Set and a Partition

Let $I \subseteq X \cup X^{\prime}$. Given I we define a partition of $X$, called $P_{l}$, as follows:

- $S$ contains all vertices $v \in X$ with $v, v^{\prime} \notin I$
- $T$ contains all vertices $v \in X$ with $v, v^{\prime} \in I$
- $R$ contains all vertices $v \in X$ with $v \in I$ but $v^{\prime} \notin I$
- $U=X \backslash(R \cup T \cup U)$


## Correspondence between an Independent Set and a Partition



Given a partition $X=S \cup T \cup R \cup U$, the corresponding subset $I(S, T, R, U) \subseteq X \cup X^{\prime}$ is $T \cup R \cup T^{\prime} \cup U^{\prime}$.

## Proof

$I \subseteq X \cup X^{\prime}$ is independent in the gammoid if and only if $T$ is linked to $S$ in $D \backslash R$.
Proof:

## Proof

$I \subseteq X \cup X^{\prime}$ is independent in the gammoid if and only if $T$ is linked to $S$ in $D \backslash R$.


There exists $|I|$ vertex disjoint paths from $X^{\prime}$ to $I$. For every vertex in $X^{\prime} \cap I=T^{\prime} \cup U^{\prime}$ the only path that is possible has the form $v^{\prime}$. For every vertex $w$ in $R$ there is either a path of the form $w^{\prime} w$ or $v^{\prime} v \cdots w$ with $v^{\prime} \in S^{\prime}$. In later case we can replace the path $v^{\prime} v \cdots w$ with $w^{\prime} w$.

## Proof

$I \subseteq X \cup X^{\prime}$ is independent in the gammoid if and only if $T$ is linked to $S$ in $D \backslash R$.

Proof: $\quad \Rightarrow$


There exists |I| vertex disjoint paths from $X^{\prime}$ to $X \cup X^{\prime}$. For every vertex in $T$ there exists a path of the form $v^{\prime} v \cdots w$ with $v^{\prime} \in S^{\prime}$. All these paths do not contain any vertices of $R$ and are vertex disjoint and in fact $v \cdots w$ is a path in $D \backslash R . T$ is linked to $S$ in $D \backslash R$.

## Proof

$I \subseteq X \cup X^{\prime}$ is independent in the gammoid if and only if $T$ is linked to $S$ in $D \backslash R$.
Proof:


This obviously follows by taking paths $v^{\prime}$ and $w^{\prime} w$ and appending paths from $S$ to $T$ by its conjugate in $S^{\prime}$. So there exists vertex disjoint paths from $X^{\prime}$ to $I$. Thus $l$ is independent.

## Key Lemma

Given a partition $X=S \cup T \cup R \cup U$ let $I=I(S, T, R, U) \subseteq X \cup X^{\prime}$ be the corresponding set. That is, $I=T \cup R \cup T^{\prime} \cup U^{\prime}$. Then

$$
\operatorname{mincut}(S, T)(D \backslash R)=r(I)-|X \backslash S|
$$

## Compression Algorithm for OCT



Step 1: Create an auxiliary graph $\left(G^{\prime}, Q_{A} \cup Q_{B}\right)$ from $(G, Q)$.

## Compression Algorithm for OCT



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Step 4: Let $A$ be the matrix representing the gammoid. Output $A, k$.

## Compression Algorithm for OCT



Let $I \subseteq X \cup X^{\prime}$. Given I we define a partition of $X$, called $P_{l}$, as follows:

- $S$ contains all vertices $v \in X$ with $v, v^{\prime} \notin I$
- $T$ contains all vertices $v \in X$ with $v, v^{\prime} \in I$
- $R$ contains all vertices $v \in X$ with $v \in I$ but $v^{\prime} \notin I$
- $U=X \backslash(R \cup T \cup U)$


## Compression Algorithm for OCT



We call $I \subseteq X \cup X^{\prime}$ an interesting set if $P_{I}=S \cup T \cup R(=Z)$ is a valid partition of $X=Q_{A} \cup Q_{B}$.

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For proof recall...

$$
\operatorname{mincut}(S, T)(D \backslash R)=r(I)-|X \backslash S|
$$

## Size of $A$

- Let $D=(V, A)$ be a directed graph, $\varepsilon>0$ a given real, and let $\mathcal{S}$ and $\mathcal{T}$ be possibly overlapping subsets of $V$.
- Let $M=(\mathcal{T}, \mathcal{I})$, where $\mathcal{I}=\{Z \subseteq \mathcal{T}: Z$ is linked to $\mathcal{S}\}$, be the gammoid formed by $(D, \mathcal{S})$ restricted to $\mathcal{T}$.
- We can compute a representation of $M$ as an $|S| \times|T|$ matrix over the rationals with entries of bit-length $O(\min \{|\mathcal{T}|,|\mathcal{S}| \log |\mathcal{T}|\}+\log (1 / \varepsilon)+\log |V|)$ in randomized polynomial time with one-sided error bounded by $\varepsilon$.


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Size of $A$ in terms of bits $=\mathcal{O}\left(|Q|^{3} \log |Q|+|Q|^{2} \log (1 / \varepsilon)+|Q|^{2} \log |V|\right)$


## How do we get $Q$ and the final size.

- If $k \leq \log n$ then run the $\mathcal{O}\left(3^{k} m n\right)$ FPT algorithm and find solution in polynomial time.
- Apply the known $\alpha \sqrt{\log n}$ approximation algorithm for OCT and get a set $Q$. If the size of $|Q|>k \alpha \sqrt{\log n}$ output NO.
- Else $k>\log n$ and thus $|Q| \leq k \alpha \sqrt{\log n} \leq \mathcal{O}\left(k^{1.5}\right)$
- So the size of $A$ in terms of bits is at most $\mathcal{O}\left(k^{4.5} \log k\right)$.


## Finally Kernel for OCT

Given $(G, Q)$ and $A$ checking whether a set $I$ is interesting or not is within NP. And thus there exists a reduction from the compressed instance to an instance of Odd Cycle Transversal such that the size of the graph is $k^{\mathcal{O}(1)}$.

## Final Slide

## Thank You! Any Questions?

