# Expander Graphs in Computer Science Introduction to Expander Graphs

### He Sun

Max Planck Institute for Informatics

Oct., 19, 2010

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# Outline

### **Course Information**

What are expander graphs

#### Applications

Super Concentrators Error Correcting Codes Saving Random Bits

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## **Course Information**

- Time: Tuesday 2:00PM 4:00PM
- Location: Lecture Hall 003, Campus E1. 3
- Credit: 6 ECTS credit points
- ► Lecturer: He Sun
- Email: hsun@mpi-inf.mpg.de
- Prerequisites: Basic knowledge of Complexity and Probability

# Course Information(cont'd)

Grading

- Homework 60% (3 problem sets), Final exam 40% (oral exam)
- You need to collect at least 50% of the homework points to be eligible to take the final exam.

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# Course Homepage

http://www.mpi-inf.mpg.de/departments/D1/teaching/WS10/EG/WS10.html

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- Algebraically, expanders are the real-symmetric matrix whose first positive eigenvalue of the Laplace operator is bounded away from zero.

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# Vertex Expansion

We consider <u>undirected</u>, regular graphs G=(V,E). G can have self-loops and multi-edges.

For any set  $S \subseteq V$ , let

$$\Gamma(S) := \{ u : v \in S \text{ and } (u, v) \in E \}$$

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#### Definition

A graph G = (V, E) is said to have vertex expansion (K, A) if

 $|\Gamma(S)| \geq A \cdot |S|, \; \forall S \subseteq V, |S| \leq K.$ 

## Edge Expansion

Let G = (V, E) be an undirected graph. For any set  $S \subseteq V$ , let

 $\partial S := E(S, \overline{S})$ 

be the edge boundary of S.

#### Definition

The edge expansion of a graph G = (V, E) is

$$h(G) := \min_{S:|S| \le |V|/2} rac{|\partial S|}{|S|}.$$

#### Examples:

- If G is a complete graph, then  $h(G) = \lceil |V|/2 \rceil$ .
- If G is not connected, then h(G) = 0.

## Definition of Expander Graphs

#### Definition

Let  $d \in \mathbb{N}$ . A sequence of *d*-regular graphs  $\{G_i\}_{i \in \mathbb{N}}$  of size increasing with *i* is a family of expanders if there is  $\epsilon > 0$  such that  $h(G_i) \ge \epsilon$  for all *i*.

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#### Lemma

Any expander graph is a connected graph.

## Two General Problems on Expanders

#### Existence

- Probabilistic methods
- Kolmogorov complexity
- Constructibility
  - Combinatorial methods

- Algebraic methods
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# Existence of Expander Graphs

### Two general problems

- Existence
- Constructibility

Let  $\mathcal{G}_{d,N}$  be the set of bipartite graphs with bipartite sets L, R of size N and left degree d.

### Theorem

For any d, there exists an  $\alpha(d) > 0$ , such that for all N

$$\Pr[G \text{ is an } (\alpha N, d-2)\text{-expander}] \geq 1/2,$$

where G is chosen uniformly from  $\mathcal{G}_{d,N}$ .

# Constructibility of Expander Graphs

Two general problems

- Existence
- Constructibility

### Definition

Let  $\{G_i\}_i$  be a family of expander graphs where  $G_i$  is a *d*-regular graph on  $n_i$  vertices and the integers  $\{n_i\}$  are increasing, but not too fast.(e.g.  $n_{i+1} \leq n_i^2$  will do)

- 1. The family is called Mildly Explicit if there is an algorithm that generates the *j*-th graph in the family  $\{G_i\}_i$  in time polynomial in *j*.
- 2. The family is called Very Explicit if there is an algorithm that on input of an integer i, a vertex  $v \in V(G_i)$  and  $k \in \{1, \dots, d\}$  computes the k-th neighbor of the vertex v in the graph  $G_i$ . The algorithm's running time should be polynomial in its input length.

### Examples

### Theorem (Margulis, 1973)

Fix a positive integer M and let  $[M] = \{1, 2, \dots, M\}$ . Define the bipartite graph G = (V, E) as follows. Let  $V = [M]^2 \cup [M]^2$ , where vertices in the first partite set as denoted  $(x, y)_1$  and vertices in the second partite set are denoted  $(x, y)_2$ . From each vertex  $(x, y)_1$ , put in edges

$$(x,y)_2, (x,x+y)_2, (x,x+y+1)_2, (x+y,y)_2, (x+y+1,y)_2, \\$$

where all arithmetic is done modulo M. Then G is an expander.

#### Theorem (Jimbo and Maruoka, 1987)

Let  $G = (L \cup R, E)$  be the graph described above, then  $\forall X \subset L$ ,  $|\Gamma(X)| \ge |X|(1 + d_0|\overline{X}|/n)$ , where  $d_0 = (2 - \sqrt{3})/4$  is the optimal constant.

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## Applications of Expanders

- In Computer Science
  - Derandomization
  - Circuit complexity
  - Error correcting codes
  - Communication networks
  - Approximation algorithms

### In Mathematics

- Graph theory
- Group theory
- Number theory
- Information theory

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## Three Motivating Problems

1. Super Concentrators

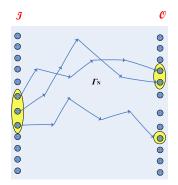
2. Error Correcting Code

3. Deterministic Error Amplification for RP

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### Super Concentrators

For any integer  $N \in \mathbb{N}$ , an N-super concentrator  $\Gamma_N$  is a directed graph with input set I and output set O, |I| = |O| = N, such that for any subset  $S \subseteq I$  and  $T \subseteq O$  satisfying |S| = |T| = k, there are k vertex-disjoint directed paths in  $\Gamma_N$  from S to T.



# Applications

- 1. Complexity Theory
- 2. Network Design
- 3. Matrix Theory

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### Progress in the Past 30 Years

The density of a super concentrator  $\Gamma_N$  is

$$\frac{\# \text{ of edges in } \Gamma_N}{N}.$$

Table: Explicit construction of super concentrator

Authors	Density	Year	Conf./Jour.
Valiant	238	1975	STOC
Gabber	271.8	1981	JCSS
Shamir	118	1984	STACS
Alon	60	1987	JACM
Alon	44 + o(1)	2003	SODA

Note: Alon's construction in 2003 is feasible only if  $N \ge 262,080$ .

Existence: Super concentrators with density 28 exists.

Lower Bound of the Density[Valiant, 1983]: 5 - o(1).

### Expanders Used in Super Concentrators

#### Lemma

Assume that  $\{G_i\}_{i\in\mathbb{N}}$  is a family of bipartite expanders with bipartite sets L, R with  $|R| = \alpha |L|, 1/2 < \alpha < 1$  and left degree d. Moreover each graph in  $\{G_i\}_{i\in\mathbb{N}}$  has vertex expansion  $\geq 1$ . Then there is a super concentrator with density

$$\frac{1+2d}{1-\alpha}.$$

## Error Correcting Codes

Let  $\mathcal{C} \subseteq \{0,1\}^n$  be a dictionary. The <u>rate</u> and <u>normalized distance</u> are

$$R := \frac{\log |\mathcal{C}|}{n} \quad \delta := \frac{\min_{c_1 \neq c_2 \in \mathcal{C}} d_H(c_1, c_2)}{n}$$

**Problem:** Is it possible to design arbitrarily large dictionaries  $\{C_k\}$  of size  $|C_k| = 2^k$ , with  $R(C_k) \ge R_0$  and  $\delta(C_k) \ge \delta_0$  for some absolute constant  $R_0, \delta_0 > 0$ ? Moreover, can we make these code explicit and efficiently encodable and decodable?

# Definition (RP)

The complexity class RP is the class of all languages L for which there exists a probabilistic polynomial-time Turning machine M, such that

 $\begin{aligned} x \in L \Rightarrow \Pr[M(x) = 1] \geq 3/4 \\ x \notin L \Rightarrow \Pr[M(x) = 1] = 0 \end{aligned}$ 

# Independent V.S. Dependent Sampling

No.	# of random bits	Methods	Error Prob.
1	r	Def. of RP	1/4
2	$O(r \log \frac{1}{\delta})$	Chernoff Bound	δ
3	r	Expander Graph	$\frac{1}{\operatorname{poly}(r)}$

## Algorithm for Saving Random Bits

#### Lemma

There is an algorithm  $A^*$ , such that for the given vertex v and index  $i \in \{1, \dots, d\}$ , Algorithm  $A^*$  can output the *i*-th neighbor of v with time complexity poly(|v|, |i|).

### Algorithm Description $M^*$

1. Run the original RP algorithm M for all strings y lying within a ball of radius c around  $v, v \sim_u V$ .

- 2. If for all these y, M(x, y) = 0, reject x.
- 3. If M(x, y) = 1 for any y, accept x.

<u>Note:</u> Algorithm  $M^*$  uses an (N/2, A)-expander, where  $N = 2^r$ . The parameter c is satisfying  $1/4A^c < \delta$ .

### Algorithm for Saving Random Bits (cont'd)

For any language  $L \in RP$  and  $x \in L$ , define

 $Bad_x = \{y|M(x,y) = 0\} \quad B = \{v|\Gamma'_c(v) \subseteq Bad_x\}$ 

So  $M^*(x,v) = 0$  if and only if  $v \in B$ .

By definition of RP,  $|Bad_x| \leq N/4, N = 2^r$ , and

 $\Gamma'_{i}(B) \subseteq \Gamma'_{i+1}(B) \subseteq Bad_{x}, \forall 1 \le i \le c-1,$ 

therefore  $|\Gamma'_c(B)| \ge A^c |B|$  and  $N/4 \ge |Bad_x| \ge |\Gamma'_c(B)| \ge A^c |B|$ . Thus

$$\Pr[M^*(x) = 0] = \frac{|B|}{N} \le \frac{1}{4A^c} < \delta.$$