## Basic Mathematical Techniques for Computer Scientists Counting

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#### Functions: A special kind of relation

- More abstract than "rules"
- Domain, co-domain, range
- Equality of functions

- Functions: A special kind of relation
- Special kinds of functions:
  - One-one (injective)
  - Many-one
  - Onto (surjective)
  - One-one and onto (bijective)

- Functions: A special kind of relation
- One-one, many-one, onto, and bijective functions
- ▶ The Pigeonhole Principle: For finite sets A and B and  $f : A \rightarrow B$ ,

$$|\mathbf{A}| > |\mathbf{B}| \implies f \text{ is not one-one}$$

$$|\mathbf{A}| < |\mathbf{B}| \implies f \text{ is not onto}$$

- Functions: A special kind of relation
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- Comparing the cardinalities of two sets
  - $|A| = |B| \iff$  there is a bijection from A to B.

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- Finite, countable, and uncountably infinite sets

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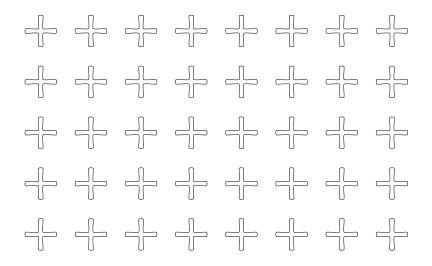
### Counting

### Counting

- Finding the how many things are there
  - In a set, or some other kind of object
- Why is this important in CS?
  - Used all over CS. E.g:
    - ► The analysis of algorithms
    - Discrete probability
- ▶ How do we count the number of objects in (say) a set?
  - ▶ One way: Tick off the objects against 1, 2, ...
  - Are there other ways?



How many crosses?



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# An example

How many crosses?

- How did we count the crosses?
  - We did *not* tick them off 1, 2, . . .
    - This is the stupid way to count these
  - ▶ Bijection from the set of crosses to {1, 2, ..., 5} × {1, 2, ..., 8}
  - The rule for the cardinality of a Cartesian product
- ► A general idea for counting large and/or complicated sets:
  - Come up with a bijection from the set we want to count
    - ▶ To some set which we *know* how to count
  - We will see many specific instances of this idea

# Two basic counting rules

The Sum Rule

Theorem (The Sum Rule) If  $A_1, A_2, \ldots, A_n$  are finite **disjoint** sets, then

 $|A_1 \cup A_2 \cup \cdots \cup A_n| = |A_1| + |A_2| + \cdots + |A_n|.$ 

- ► How can we *prove* this?
- Does this hold for sets which intersect?

# Two basic counting rules

The Product Rule

Theorem (The Product Rule) If  $A_1, A_2, \ldots, A_n$  are finite sets, then

$$|A_1 \times A_2 \times \cdots \times A_n| = |A_1| \cdot |A_2| \cdot \cdots \cdot |A_n|.$$

- ► How can we *prove* this?
- Does this hold for sets which intersect?

# Using the basic counting rules

An example

- A website's restrictions on your password:
  - From six to eight symbols long
  - ► The first symbol must be a letter from the English alphabet
  - The rest must be letters or digits
  - How many different passwords are possible?

# Using the basic counting rules

Another example

▶ How many subsets does an *n*-element set have?

### Some terminology

### Definition (List/String/Sequence)

Let  $A = \{a_1, a_2, ..., a_n\}$  be a finite set, and let  $k \in \mathbb{N}$ . A *list of* k *elements drawn from the set* A is an element of  $A^k$ . Such a list is also called a *sequence* or *string over* the set A. The *length* of such a list (string/sequence) is k.

- Sometimes written without parens and commas.
- When using "string", the set A is called the *alphabet*.

#### Example

(1, 1, 1, 1) is a list of 4 elements drawn from the set  $\{0, 1\}$ . It is also:

- written as 1111 when there is no scope for confusion;
- ▶ a sequence of length 4 over {0, 1}, and,
- ▶ a string of length 4 over the alphabet {0,1}.

### Strings over an alphabet An example

- Consider the alphabet  $A = \{a, b, c, d, e, f, g\}$ .
  - Example of a string of length 4 over A?
  - How many strings of length four over A?
  - How many strings of length four over A, if no letter should repeat?

## Generalized product rule

### Theorem (Generalized Product Rule)

Let  $k \in \mathbb{N}$ ;  $k \ge 2$ , and let S be a set of sequences of length k over some set A. If there are:

- n<sub>1</sub> possible ways of choosing an element of A as the first element in a sequence of S;
- given any choice of the first element, there are n<sub>2</sub> possible ways of choosing the second element in a sequence of S;
- given any choice of the first two elements, there are n<sub>3</sub> possible ways of choosing the second element in a sequence of S;
- and so on up till the kth element, then

$$|S|=n_1\cdot n_2\cdot \cdots \cdot n_k.$$

#### ▶ How can we *prove* this?

### Strings over an alphabet

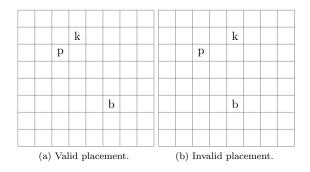
The example, continued

- Consider the alphabet  $A = \{a, b, c, d, e, f, g\}$ .
  - ► How many strings of length four over A which contain the letter *a*, and has no repeating letter?
  - ▶ How many strings of length four over A which contain the letter *a*?

# Example

Three chessmen on a board

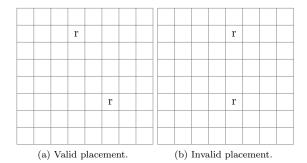
► In how many ways can we place a pawn (*p*), a knight (*k*), and a bishop (*b*) on an (8 × 8) chessboard such that no two of these share a row or a column?



# Example

Two rooks of the same colour

► In how many ways can we place two black rooks on an (8 × 8) chessboard such that they don't share a row or a column?



### Definition (*k*-to-1 functions)

For any positive integer *k*, a function  $f : A \to B$  is said to be **k-to-1** if, for each  $y \in B$ ,  $(\exists x \in A \mid f(x) = y) \implies |\{x \in A \mid f(x) = y\}| = k$ .

#### Theorem (Quotient Rule)

Let  $k \in \mathbb{N}$ . If a function  $f : A \to B$  is k-to-1 and onto, then  $|A| = k \cdot |B|$ .

### Permutations

A permutation of a set A is

- A list of *all* the elements in the set A
- ► Of length |A|: Each element appears *exactly once*

▶ Which of the following are permutations of {1, 2, 3, 4, 5}?

- ▶ (1, 2, 3, 4, 5)
- ▶ (1, 4, 1, 2, 3)
- ► (5,4,3,2,1)
- ▶ (1, 4, 5, 2, 3)
- ► (1, 4, 5, 2, 3, 5)

▶ How many permutations does an *n*-element set have?

### Permutations

The factorial function

### Definition (The factorial function)

For  $n \in \mathbb{N}$ , the **factorial** of *n*, denoted *n*!, is defined to be the number of permutations of a set with *n* elements.

- ▶ From the definition, we get:
  - 0! = 1
    1! = 1

#### Theorem

For  $n \in \mathbb{N}$ , n > 1,  $n! = n \cdot (n - 1) \cdot (n - 2) \cdots 2 \cdot 1$ .



- ▶ In how many different ways can we seat *n* people in a row?
- ► In how many different ways can we seat *n* people around a round table, if
  - Absolute positions matter
    - There is a special seat
  - Absolute positions do not matter
    - No special seat
    - Only relative positions matter

#### Thank You!