

# Algorithms and Programs

Kurt Mehlhorn



max planck institut  
informatik

# Goals of the Talk

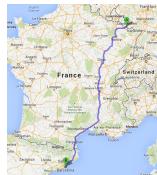
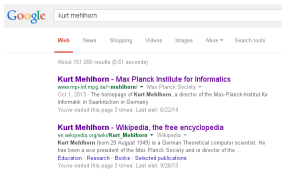
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- better understanding for Informatics (Computer Science)
- my fascination for the field and glimpses at my work
- a bit entertaining

*slides available on my home page*



- Information Technology has changed the world



- Early Fascination
- Algorithms and Programs
  - What is an Algorithm, what is a Program?
  - Laws of Computation
  - Connections to Other Fields
  - Theory and Practice, Mathematics and Engineering
- Future



# My first Encounter with Informatics

- Informatics was introduced as an area of study in Germany in 1968; I started to study in 1968.
- I had no idea what informatics is about; I had never seen a computer except on pictures.
- The lectures
  - Math: polished, even difficulty, basement of a tower of knowledge, instructor had material at his finger tips.
  - Informatics: rough, uneven difficulty, bits and pieces, instructor (F. L. Bauer) struggled with content.



Ena and I, 73



F. L. Bauer



# Early Fascination

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## Formal Languages and Programming Languages

- syntax and semantics defined without any ambiguity
- programming language = language for specifying computations
- **Algol 68**: definition finished in Dec. 68; given to read in Jan. 69



## Formal Languages and Programming Languages

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## Algorithms and Programs

- An algorithm is a step-by-step procedure for solving a certain class of problems.
- two examples



Al-Khwarizmi, 780 – 850

The Compendious Book on Calculation by Completion and Balancing

## A First Algorithm: Calf's Liver

1-pound piece calf's liver, 3/4 pound onions, sliced paper-thin, 4 tablespoons unsalted butter, 1/3 cup water, 1 tablespoon chopped parsley

1. Rinse liver to remove any traces of blood and discard membrane and any tough veins. Cut crosswise into 1/8-inch-thick slices.
2. Cook onions in 2 tablespoons butter in a 12-inch heavy skillet over moderate heat, stirring, 1 minute. Cover skillet and continue to cook, stirring occasionally, until softened and golden brown, about 10 minutes. Transfer to a bowl and keep warm, covered.
3. Pat half of liver slices dry and toss with salt and pepper to taste. . . .



# A Second Algorithm: Solving a Quadratic Equation

## Algorithm

write the equation as  $x^2 + bx + c = 0$

move the constant term to the other side

add  $(b/2)^2$  on both sides

write LHS as  $(x + b/2)^2$ , simplify RHS

if RHS is negative, STOP (no solution)

remove  $^2$  on LHS, replace RHS by  $\pm\sqrt{\text{RHS}}$

move constant term from LHS to RHS

## Sample Execution

$$x^2 + 8x - 9 = 0$$

$$x^2 + 8x = 9$$

$$x^2 + 8x + 4^2 = 9 + 4^2$$

$$(x + 4)^2 = 25$$

$$x + 4 = \pm\sqrt{25}$$

$$x = -4 \pm \sqrt{25}$$

Algorithm is in Al-Kwarizmi's book.



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Both algorithms are for human computers,  
programs for real computers are much more detailed.



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- Can be executed mechanically.
- Program = algorithm formulated in a programming language



The PERM

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## Formative Insights

- Intellectually demanding tasks can be mechanized.

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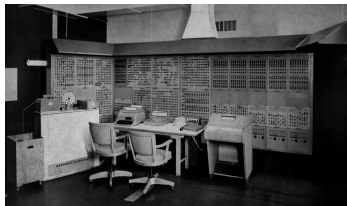
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- Intellectually demanding tasks can be mechanized.
- Computers amplify brain power.



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The PERM

## Formative Insights

- Intellectually demanding tasks can be mechanized.
- Computers amplify brain power.
- Algorithm design and programming are acts of creation.

Physicists discover laws of nature,  
informaticians discover laws of computation,



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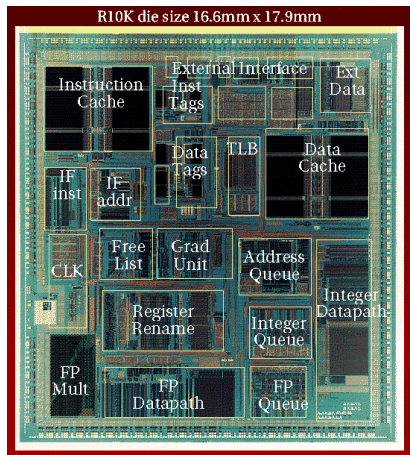
in particular, limits of efficiency for performing certain tasks.

We measure efficiency in terms of

- time (number of elementary steps needed), and
- space (number of symbols needed to store intermediate results).

# A Computer Chip (Vintage 1995)

## Der MIPS R10K Prozessorchip.



The block **FP-Mult** multiplies numbers.

It occupies about 3 by 4 mm of space.

It multiplies numbers in 5 microseconds

Is this good?

# How Hard is it to Multiply Numbers?

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- Complexity measures

$A$  = area of the circuit

$T$  = execution time

- There are many different circuits for multiplication:
  - circuits that are fast, but also large,
  - circuits that are small, but also slow.

**Is there a circuit that is small and fast?**



# Is there a Circuit that is Small and Fast?

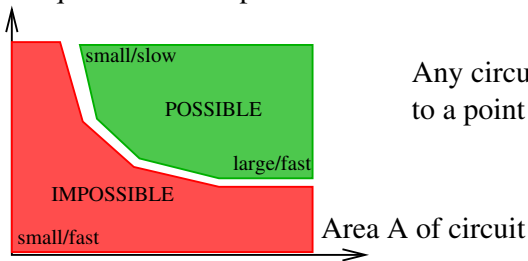
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NO



# Is there a Circuit that is Small and Fast?

Time  $T$  required for multiplication

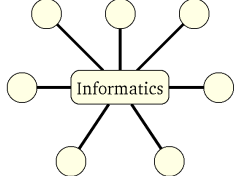


Every combination of  $A$  and  $T$  in **red region is impossible.**

Every combination of  $A$  and  $T$  in **green region can be realized.**

## Connections to Other Fields

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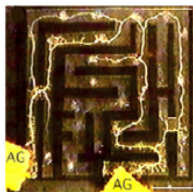


engineering	embedded systems, <b>circuit design</b> , autonomous systems, computer vision
linguistics	<b>machine translation</b> , speech recognition, information retrieval
biology and medicine	<b>bioinformatics</b> , <b>computations in nature</b>
economics	business processes, <b>game theory</b> , <b>algorithmic economics</b>
art	computer graphics
natural sciences	high performance computing

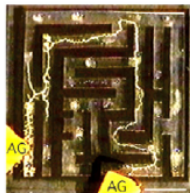




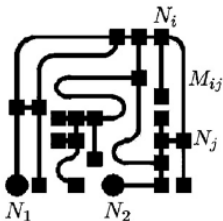
(a)



(b)



(c)



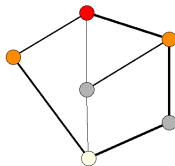
(d)

Physarum,  
a slime mold

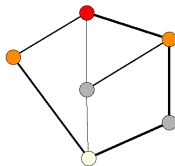
single cell,  
several nuclei

*show video*

- Physarum is a network of pipes.
- Flow of liquids is determined by concentration differences and lengths and diameters of pipes.
- Pipes adapt: if flow through a pipe is high (low) relative to diameter of the pipe, the diameter grows (shrinks).



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### Theorem (Bonifaci, KM, Varma, 2012, J. Theor. Biology)

*Network converges to shortest path, i.e.,  
the diameter of tubes not on the shortest path converges to zero.*

# Mathematician in the Morning, Engineer in the Afternoon

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## Mathematician

works on problems of a fundamental nature that

- are intrinsic to the field, e.g. laws of computation or new algorithms or
- come up in applications (and are too hard for engineers)

## Engineer

- turns algorithms into programs
- designs and builds systems
- turns ideas into working systems
- identifies the needs for further theory

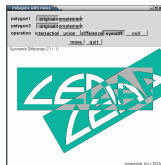
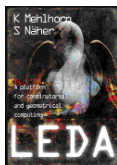


- when I asked former students, ....

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- Insight (1990): Writing books and articles does not suffice.

Must turn knowledge of the field into software that is

- easy-to-use,
- correct,
- efficient.



- started LEDA project in 1990, later CGAL, STXXL, SCIL
- in use at thousands of academic and industrial sites

Algorithmic Solutions GmbH

Some of our programs were incorrect.



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What had gone wrong and **what did we do about it?**

- We had followed the state of the art, however



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- no scientific basis available for geometric computations.

**We and others created a basis over the past 20 years.**

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- we made mistakes and wrote incorrect programs.

**Adopted new design principle: certifying algorithms.**

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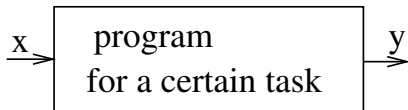
**We and others created a basis over the past 20 years.**

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**Adopted new design principle: certifying algorithms.**

**Today, LEDA is a main source of my reputation.**





- A user feeds  $x$  to the program, the program returns  $y$ .
- How can the user be sure that, indeed,  
 $y$  is the correct output for input  $x$ ?

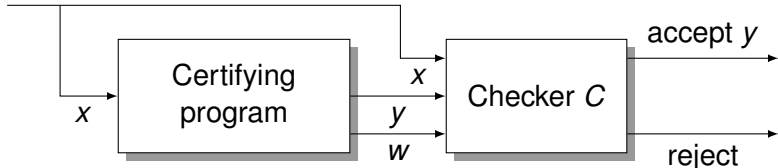
The user has no way to know.

*analogy: construction company*

Programs must justify (prove) their answers in a way that is easily checked by their users.



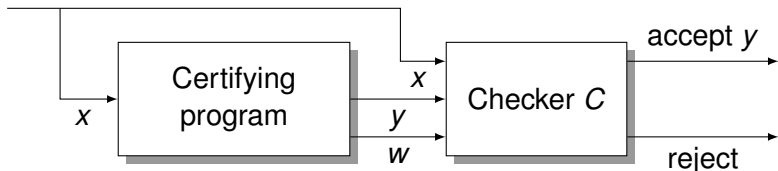
# Certifying Algorithms



On input  $x$ , a certifying algorithm computes

- the function value  $y$  and
- a witness  $w$ . (convincing evidence that  $y$  is the correct output for  $x$ )

# Certifying Algorithms

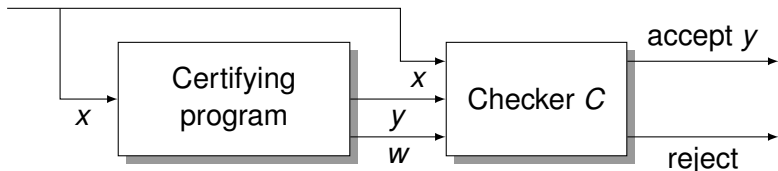


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or more elegantly by a checker program  $C$ .

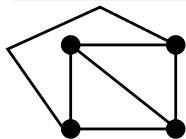




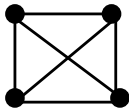
## Example: Planarity Test

### Planar Graph

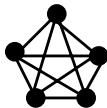
A graph is planar if it can be drawn in the plane without edge crossings.



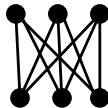
planar drawing



planar graph



$K_5$



$K_{3,3}$

nonplanar graphs

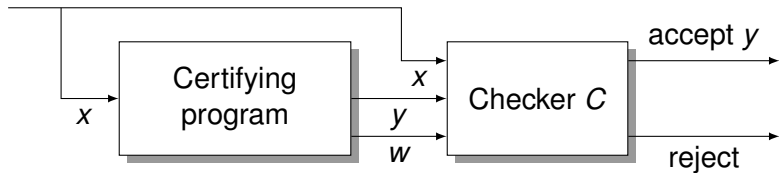
Fact: Every non-planar graph contains a Kuratowski graph.

*Story and Demo*

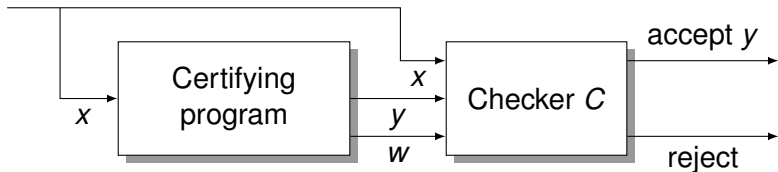
- **I do not claim** that I invented the concept; it is rather an old concept
  - al-Kwarizmi (780 – 850): multiplication
  - extended Euclid ( $\approx 1700$ ): gcd
  - primal-dual algorithms in combinatorial optimization
  - Blum et al.: Programs that check their work
- **I do claim** that Stefan Näher and I were the first (1995) to adopt the concept as the design principle for a software project:
  - **LEDA** (Library of Efficient Data Types and Algorithms)
- McConnell/M/Näher/Schweitzer (2010): 80 page survey



# Who Checks the Checker?

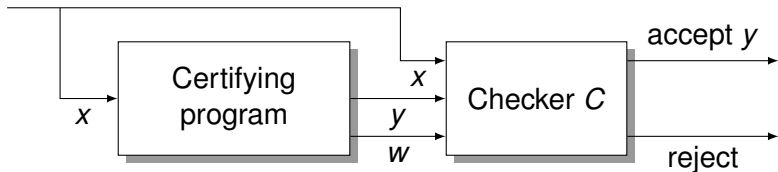


# Who Checks the Checker?



Answer till 2011: checkers are simple programs and hence we get them correct.

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Answer till 2011: checkers are simple programs and hence we get them correct.

Today's answer: checkers are simple programs and hence we can prove their correctness using formal mathematics.

- Mathematics is carried in a formal language without any ambiguities.
- Proofs are machine-checked.
- Isabelle/HOL (L. Paulson/T. Nipkow)

**definition** *disjoint-edges* ::  $(\alpha, \beta)$  *pre-graph*  $\Rightarrow \beta \Rightarrow \beta \Rightarrow \text{bool}$  **where**  
*disjoint-edges*  $G$   $e_1$   $e_2 =$  (  
   $\text{start } G$   $e_1 \neq \text{start } G$   $e_2 \wedge \text{start } G$   $e_1 \neq \text{target } G$   $e_2 \wedge$   
   $\text{target } G$   $e_1 \neq \text{start } G$   $e_2 \wedge \text{target } G$   $e_1 \neq \text{target } G$   $e_2$ )

**definition** *matching* ::  $(\alpha, \beta)$  *pre-graph*  $\Rightarrow \beta$  *set*  $\Rightarrow \text{bool}$  **where**  
*matching*  $G$   $M =$  (  
   $M \subseteq \text{edges } G \wedge$   
   $(\forall e_1 \in M. \forall e_2 \in M. e_1 \neq e_2 \longrightarrow \text{disjoint-edges } G$   $e_1$   $e_2))$

**definition** *edge\_as\_set* ::  $\beta \Rightarrow \alpha$  *set* **where**  
*edge\_as\_set*  $e \equiv \{\text{tail } G$   $e, \text{head } G$   $e\}$

**lemma** *matching\_disjointness*:  
**assumes** *matching*  $G$   $M$   
**assumes**  $e_1 \in M$    **assumes**  $e_2 \in M$    **assumes**  $e_1 \neq e_2$   
**shows** *edge\_as\_set*  $e_1 \cap \text{edge_as_set } e_2 = \{\}$   
**using** *assms*  
**by** (auto simp add: *edge\_as\_set\_def* *disjoint\_arcs\_def* *matching\_def*)

# Formal Mathematics

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*disjoint-edges* G e<sub>1</sub> e<sub>2</sub> = (  
start G e<sub>1</sub>  $\neq$  start G e<sub>2</sub>  $\wedge$  start G e<sub>1</sub>  $\neq$  target G e<sub>2</sub>  $\wedge$   
target G e<sub>1</sub>  $\neq$  start G e<sub>2</sub>  $\wedge$  target G e<sub>1</sub>  $\neq$  target G e<sub>2</sub>)

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M  $\subseteq$  edges G  $\wedge$   
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**assumes** e<sub>1</sub>  $\in$  M   **assumes** e<sub>2</sub>  $\in$  M   **assumes** e<sub>1</sub>  $\neq$  e<sub>2</sub>  
**shows** *edge\_as\_set* e<sub>1</sub>  $\cap$  *edge\_as\_set* e<sub>2</sub> = {}  
using *assms*  
by (auto simp add: *edge\_as\_set\_def* *disjoint\_arcs\_def* *matching\_def*)

## We formally verify

- the witness property (e.g., Kuratowski  $\rightarrow$  non-planarity),
- termination of the checker program, and
- correctness of the checker program.



## Formal Instance Correctness

If a formally verified checker accepts a triple  $(x, y, w)$ , we have a formal proof that  $y$  is the correct output for input  $x$ .

- highest achievable trust (only Isabelle kernel to be trusted)
- a way to build large libraries of trusted algorithms

Alkassar/Böhme/M/Rizkallah: Verification of Certifying Computations, JAR 2014

Noshinski/Rizkallah/M: Verification of Certifying Computations through AutoCores and Simpl, NASA Formal Methods Symposium 2014



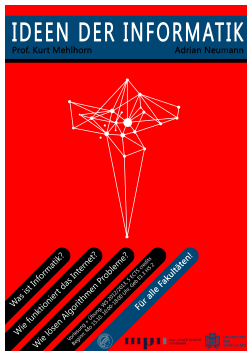


- from information to knowledge
- big data and machine learning
- autonomous systems
- security, privacy, and accountability



# Ideas of Informatics

- an introduction to informatics for non-specialists (Studium Generale)
- goal: informatics literacy
- presents concepts and their applications, e.g.,
  - cryptography and electronic banking
  - shortest path algs and navigation systems
  - machine learning and automatic translation
- in WS 14/15: (internal) online-course
- in WS 15/16: public on-line course (Iversity) with credit points.



**Thank You**

