

# Geometric Modeling

## Summer Semester 2010

### Subdivision Surfaces

B-Spline Subdivision · Spectral Analysis · Example Schemes

# Overview...

## Topics:

- Rational Spline Curves
- Spline Surfaces
- Triangle Meshes & Multi-Resolution Representations
- Subdivision Surfaces 
  - Introduction
  - B-Spline Subdivision Curves
  - Spectral Analysis
  - B-Spline Subdivision Surfaces
  - Other Subdivision Schemes
  - Connection to Wavelets
  - Stochastic Subdivision

# **Subdivision Surfaces**

## Introduction

# Subdivision Surfaces

## Problems with Spline Patches:

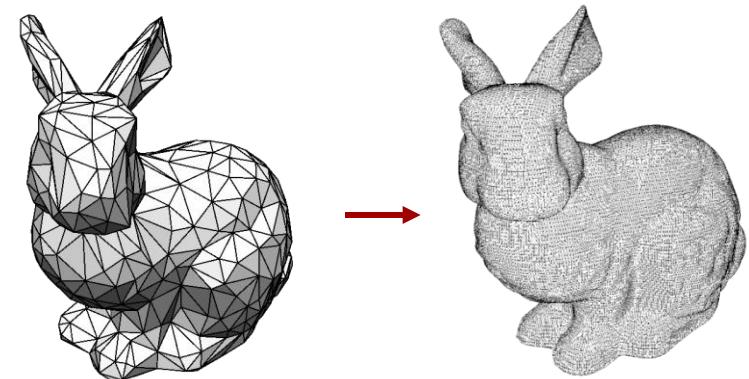
- A continuous tensor product spline surface is only defined on a regular grid of quads as parametrization domain
- Thus, the topology of the object is restricted
- Assembling multiple parameter domains to a single surface is tedious, hard to get continuity guarantees
- Handling trimming curves is not that straightforward

**Question: Can we do better?**

# Subdivision Surfaces

## Simple Idea:

- Use a triangle mesh / quad mesh itself as a parametrization domain (“base mesh”)
- Use 1:4 splits to refine the base mesh (subdivision connectivity meshes)
- Find an interpolation rule to create a smooth surface

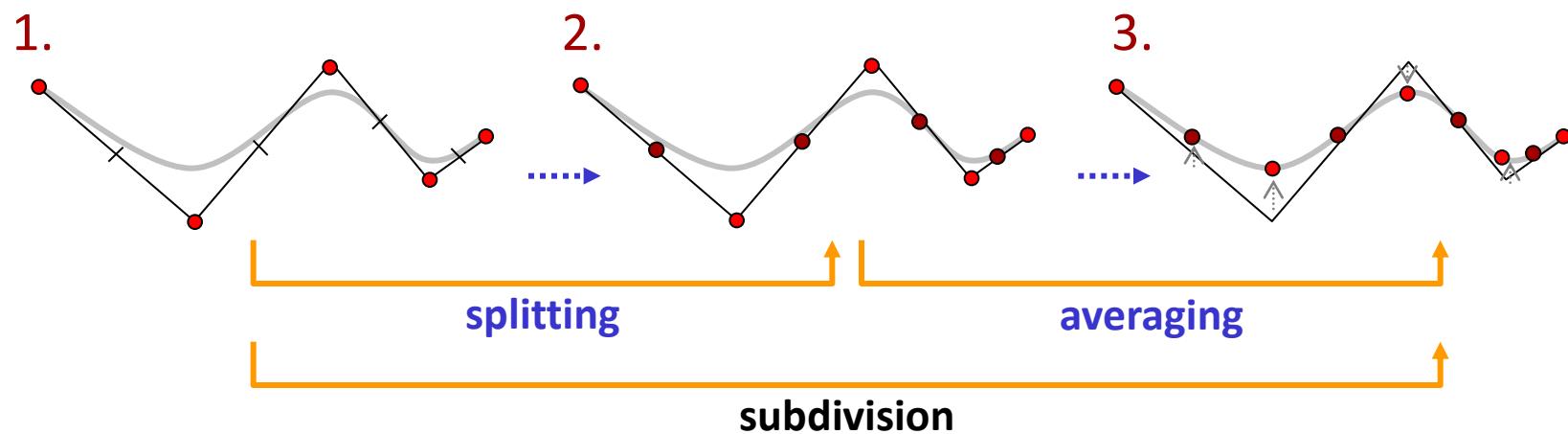


This basic idea leads to subdivision surfaces.

# Basic Scheme

## Subdivision Curves & Surface: Three Steps

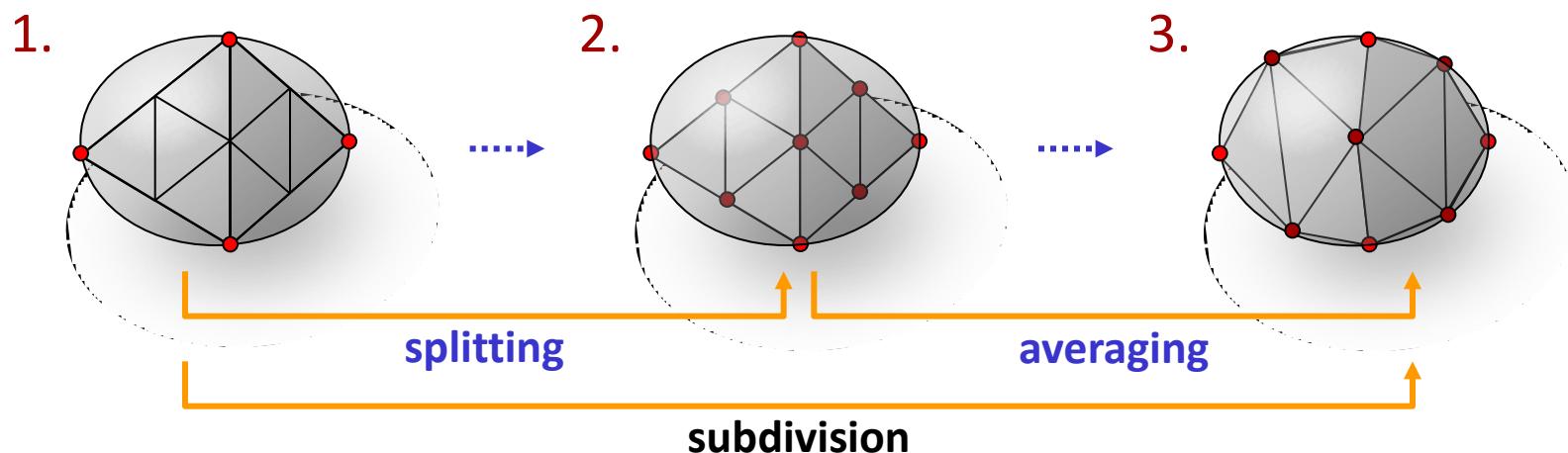
1. Subdivide current mesh
2. Insert linearly interpolated points (*splitting*)
3. Move points: Local weighted average (*averaging*)
  - To all points – approximating scheme
  - To new points only – interpolating scheme



# Basic Scheme

## Subdivision Curves & Surface: Three Steps

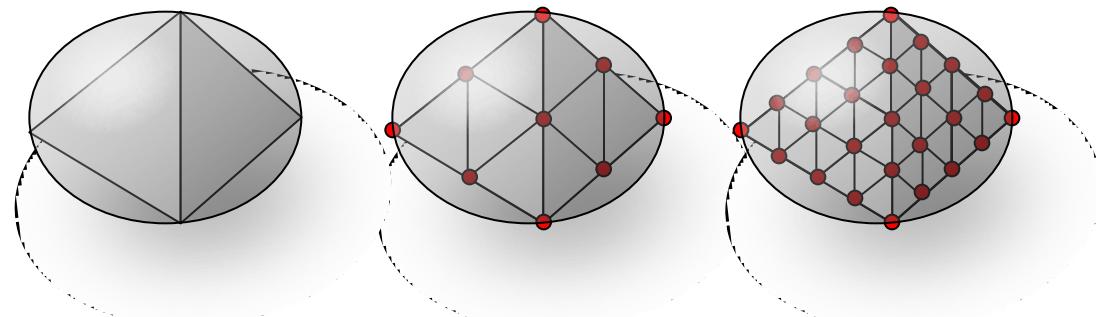
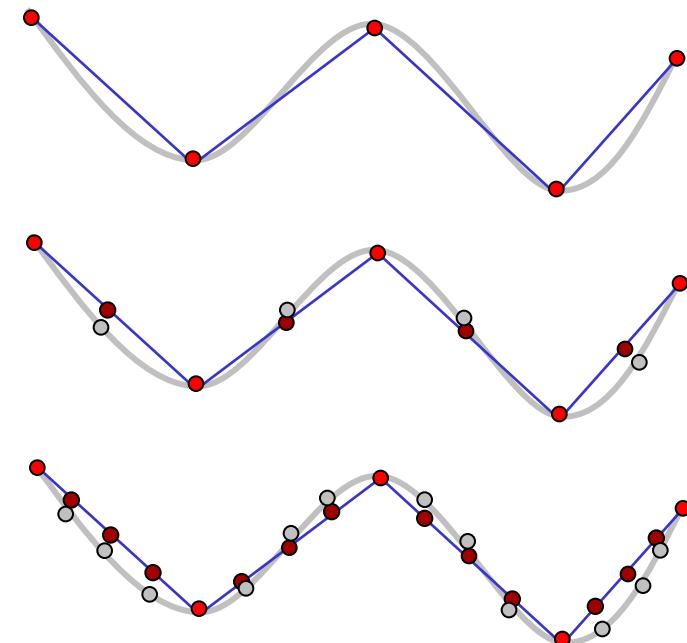
1. Subdivide current mesh
2. Insert linearly interpolated points (*splitting*)
3. Move points: Local weighted average (*averaging*)
  - To all points – approximating scheme
  - To new points only – interpolating scheme



# Subdivision Surfaces

The main question is:

- How should we place the new points to create a smooth surface? (interpolating scheme)
- Respectively: How should we alter the points in each subdivision step to create a smooth surface? (approximating scheme)



# Subdivision Schemes

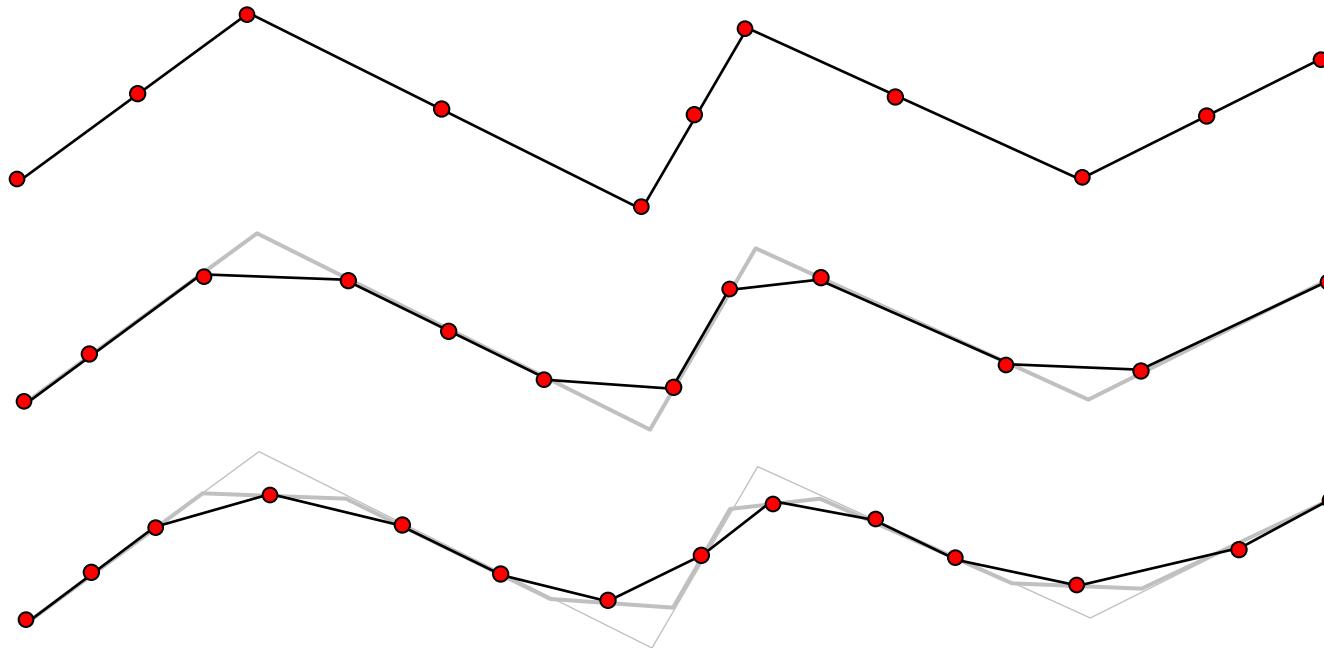
## More precisely:

- What are good *averaging masks*?
- The averaging mask determines the weights by which the new point positions are computed

## Interesting observation:

- Most averaging schemes do not converge  
(in particular interpolating schemes – try this at home).
- We need to be very careful to design a good averaging mask.
- How can we guarantee  $C^1$ ,  $C^2$  surfaces?

# Example: Corner Cutting



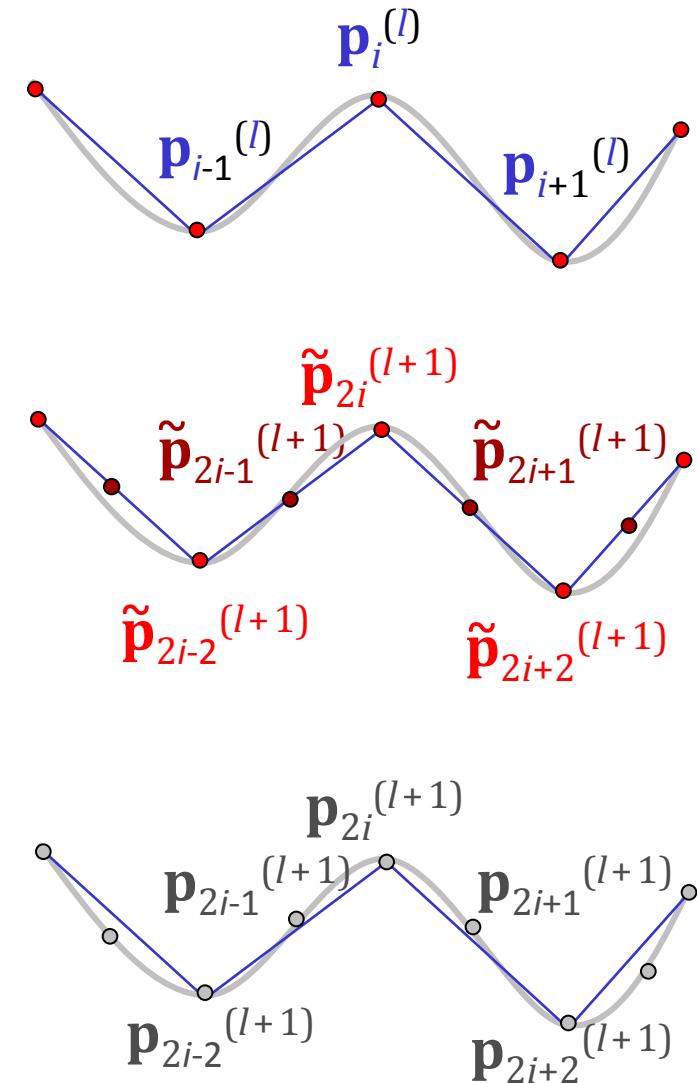
## Corner Cutting Splines [Chaikin 1974]:

- Simple idea: Replace every point by the average of two neighbors (after splitting)
- Converges to quadratic B-Spline curve

# Matrix Notation

## Curve subdivision in matrix notation:

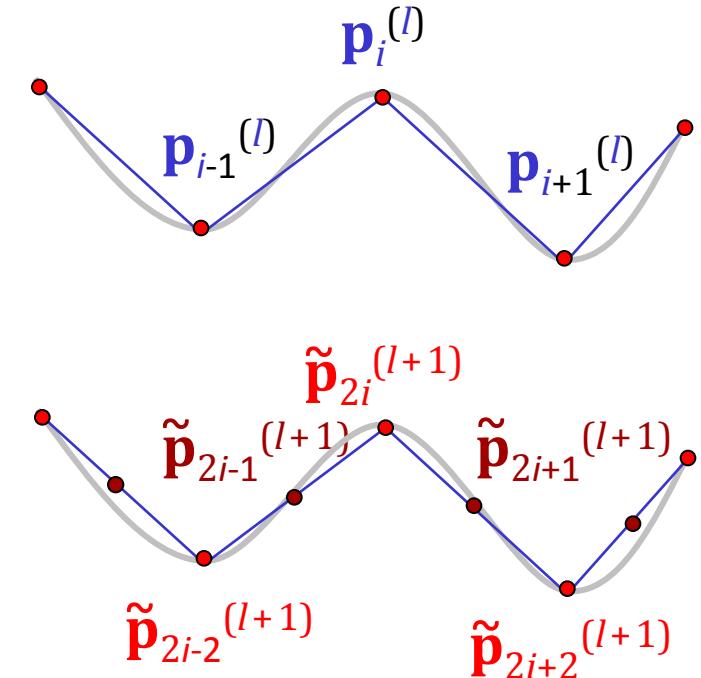
- Control points  
at level  $l$ :  $\mathbf{p}_i^{(l)}$
- “Splitted” points  
at level  $l + 1$ :  $\tilde{\mathbf{p}}_i^{(l+1)}$
- “Averaged” control points  
at level  $l + 1$ :  $\mathbf{p}_i^{(l+1)}$



# Matrix Notation

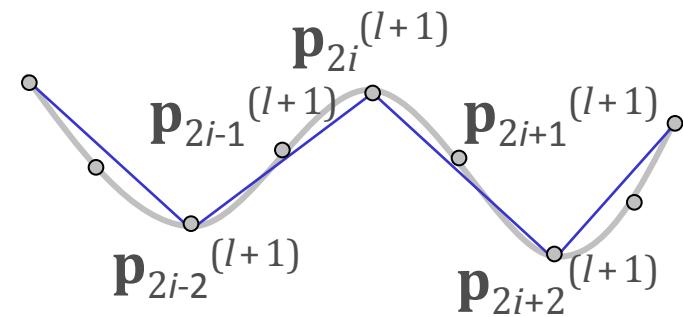
**Splitting in matrix notation:**

$$2n \left\{ \begin{pmatrix} \vdots \\ \tilde{x}_{2i}^{(l+1)} \\ \tilde{x}_{2i+1}^{(l+1)} \\ \vdots \end{pmatrix} \right. = 2n \left\{ \underbrace{\begin{pmatrix} \ddots & & & \\ & 1 & & \\ & 1/2 & 1/2 & & \\ & & 1 & & \\ & & 1/2 & 1/2 & & \\ & & & & \ddots & \end{pmatrix}}_n \left. \begin{pmatrix} \vdots \\ x_i^{(l)} \\ x_{i+1}^{(l)} \\ \vdots \end{pmatrix} \right\}^n$$



**Averaging in matrix notation:**

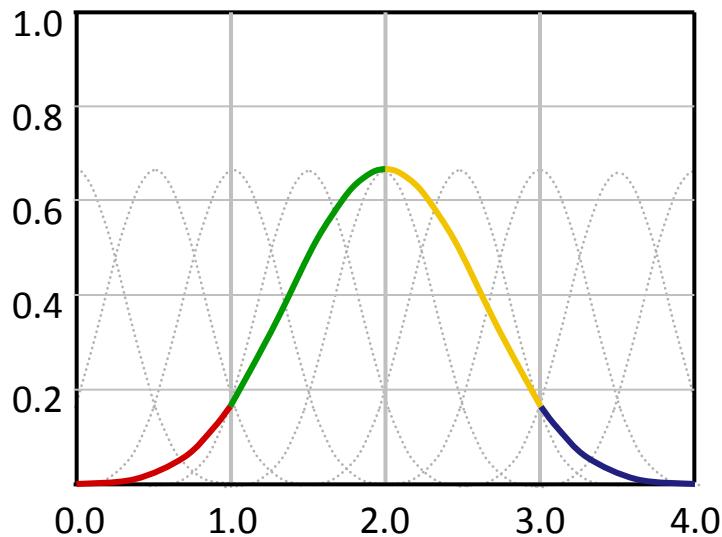
$$2n \left\{ \begin{pmatrix} \vdots \\ x_{2i}^{(l+1)} \\ x_{2i+1}^{(l+1)} \\ \vdots \end{pmatrix} \right. = 2n \left\{ \underbrace{\begin{pmatrix} \ddots & & & \\ & 1 & 1 & & \\ & 1 & 1 & 1 & \\ & & & \ddots & \end{pmatrix}}_{2n} \left. \begin{pmatrix} \vdots \\ \tilde{x}_{2i}^{(l+1)} \\ \tilde{x}_{2i+1}^{(l+1)} \\ \vdots \end{pmatrix} \right\}^{2n}$$



# **B-Spline Subdivision Schemes**

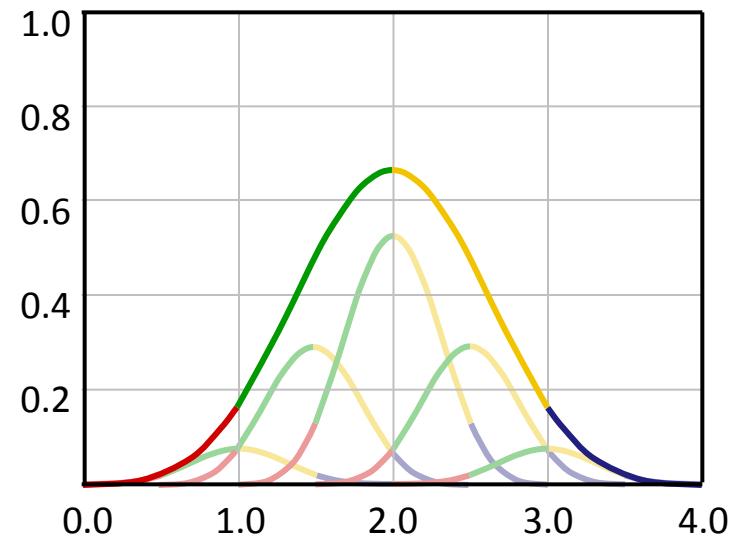
## (Regular Subdivision)

# B-Spline Subdivision



uniform cubic B-spline  
basis function  $b(t)$

gray: *dilated* functions  $b(2t+i)$



expressed as sum of dilated  
functions  $b(t) = \sum_i c_i b(2t + i)$

# B-Spline Subdivision

## Subdivision Formula:

- Uniform B-spline functions  $b(t+i)$  span the space  $V^{(0)}$  of piecewise polynomials of degree  $d$ , piecewise in intervals  $t \in [i, i+1]$
- *Dilated* uniform B-spline functions  $b(2t+i)$  span the space  $V^{(1)}$  of piecewise polynomials of degree  $d$ , piecewise in intervals  $t \in [i/2, i/2+0.5]$
- Obviously  $V^{(0)} \subset V^{(1)}$
- This means: We can express the larger functions as linear combinations of smaller ones.

# Subdivision masks

**Question:** What are the coefficients for the linear combination?

**Standard answer:**

- Solve a linear system (underdetermined)

**Direct derivation (arbitrary degree):**

- We look at the definition of higher order basis functions by repeated convolution.
- By analyzing the effect of each further convolution, we get a subdivision formula by induction.

# Repeated Convolution

## Defining B-splines by repeated convolution (recap):

- We start with 0th degree basis functions
- Increase smoothness by convolution

### Degree-zero B-spline:

$$b^{(0)}(t) = \begin{cases} 1, & \text{if } t \in [0...1) \\ 0, & \text{otherwise} \end{cases}$$

### General-degree B-spline:

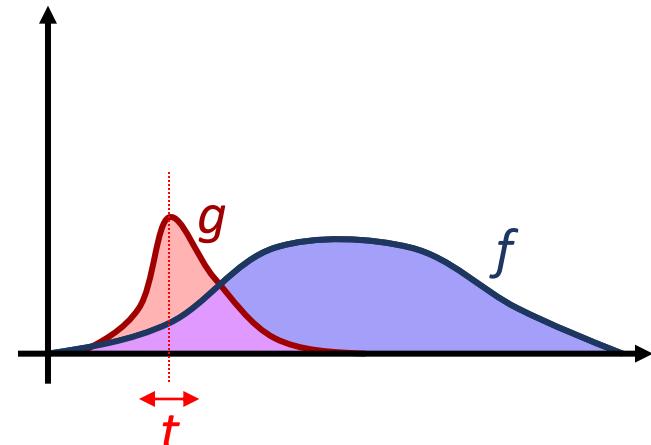
$$b^{(i+1)}(t) = b^{(i)}(t) \otimes b^{(0)}(t) = \int_{-\infty}^{\infty} b^{(i)}(x) b^{(0)}(x-t) dx$$

# Repeated Convolution

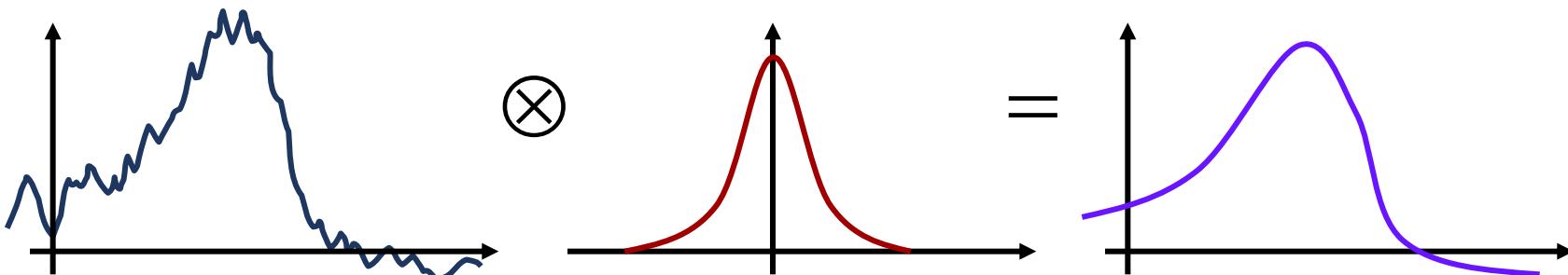
## Convolution:

- Weighted average of functions
- Definition:

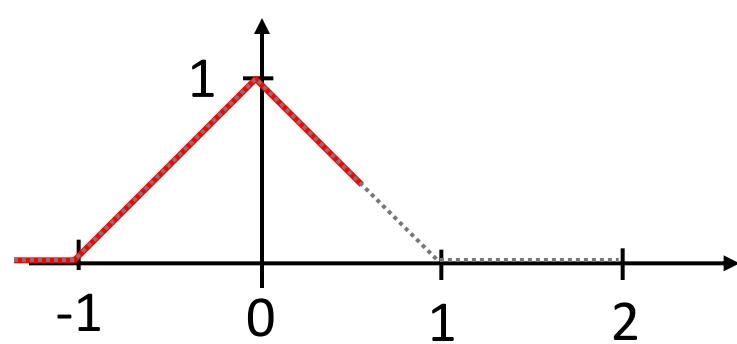
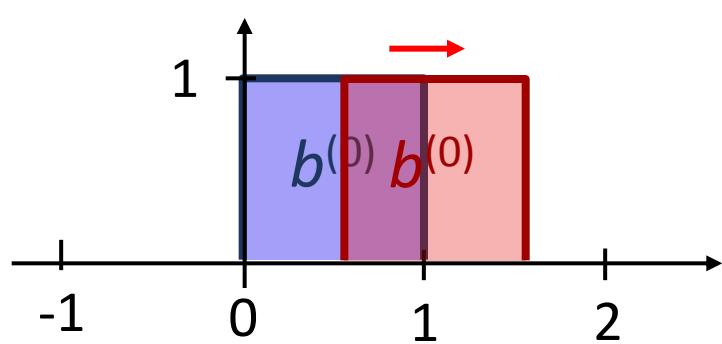
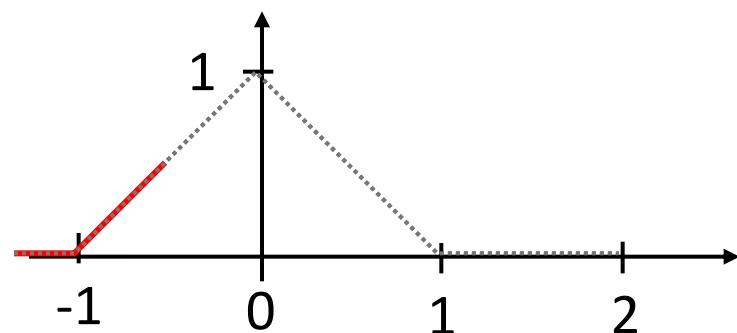
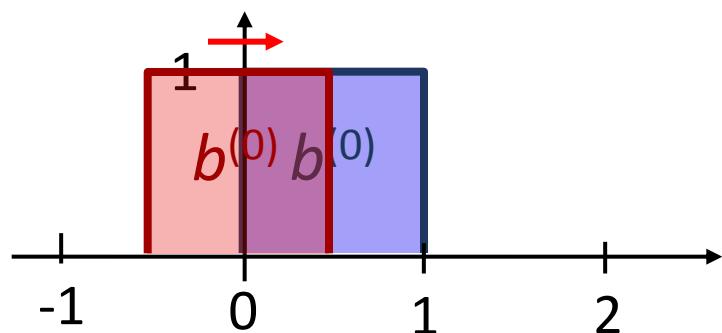
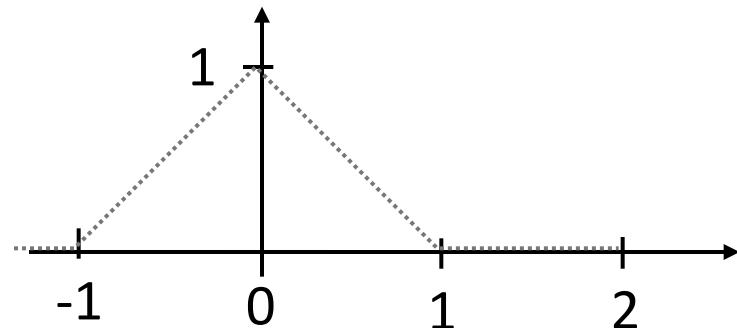
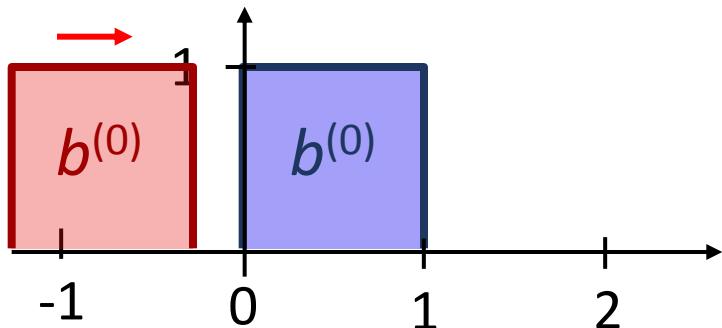
$$f(t) \otimes g(t) = \int_{-\infty}^{\infty} f(x)g(x-t)dx$$



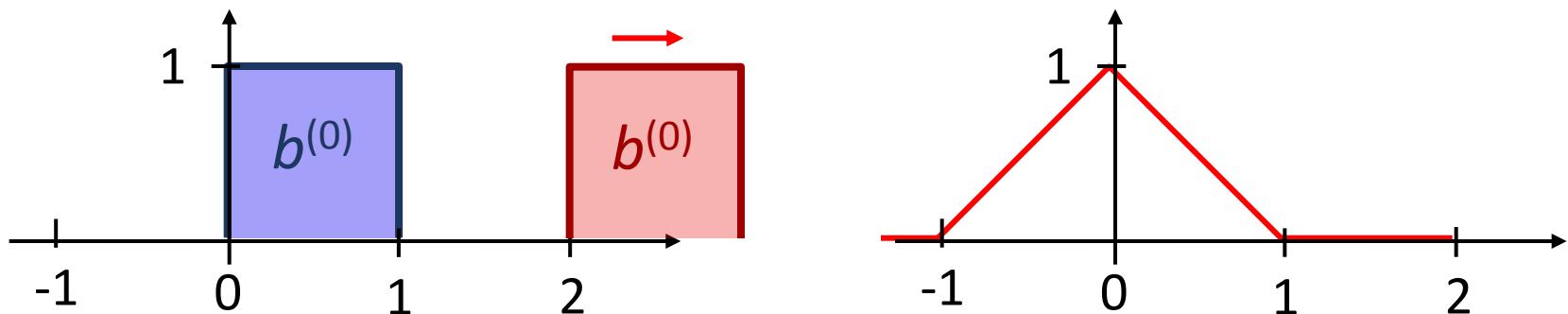
## Example:



# Illustration



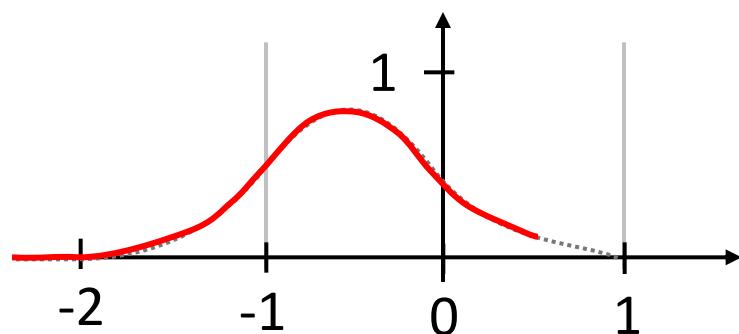
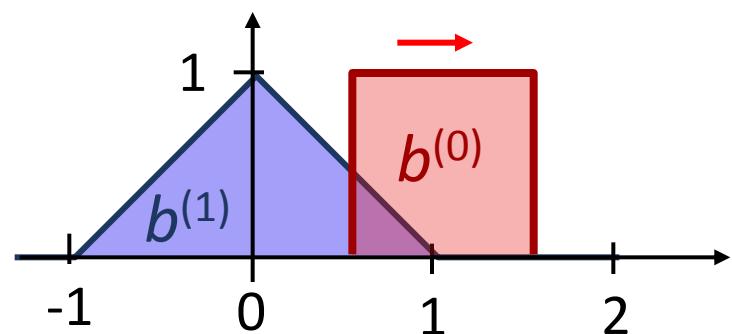
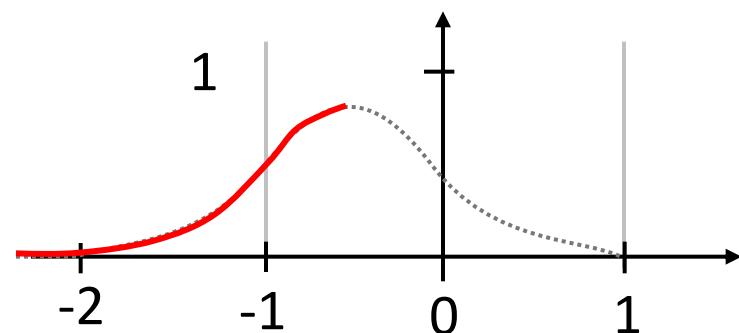
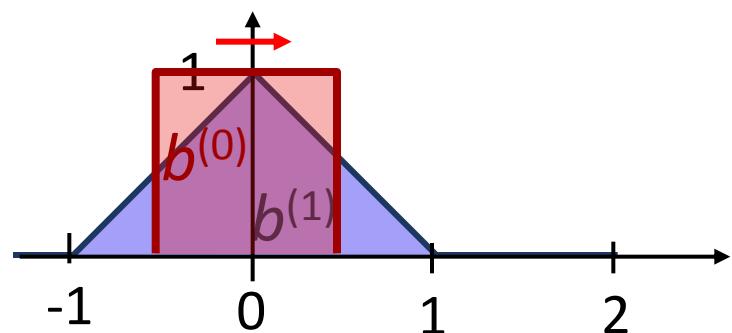
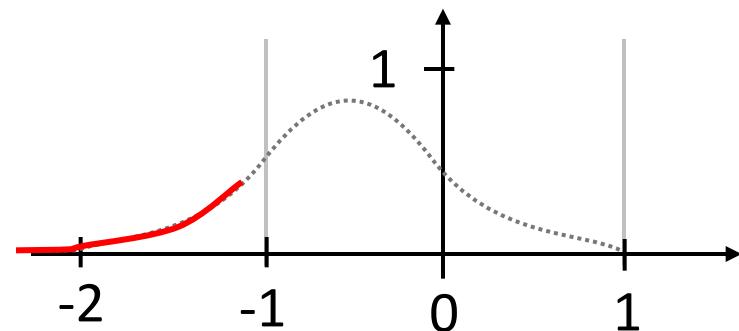
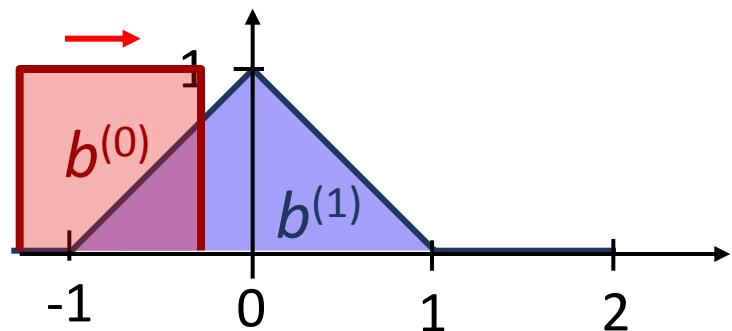
# Illustration



## Result:

- Piecewise linear B-spline basis function
- Each convolution with  $b_0$  increases the continuity by 1.

# Illustration



# Properties of Convolution

**Linearity:**

$$f(t) \otimes (g(t) + h(t)) = f(t) \otimes g(t) + f(t) \otimes h(t)$$

**Time Shift:**

$$f(t+x) \otimes g(t+y) = (f \otimes g)(t+x+y)$$

**Time Scaling:**

$$f(2t) \otimes g(2t) = \frac{1}{2} (f \otimes g)(2t)$$

# Subdivision Formula

## Subdivision formula for linear B-splines:

$$\begin{aligned} b^{(1)}(t) &= b^{(0)}(t) \otimes b^{(0)}(t) \\ &= [b^{(0)}(2t) + b^{(0)}(2t-1)] \otimes [b^{(0)}(2t) + b^{(0)}(2t-1)] \\ &= b^{(0)}(2t) \otimes b^{(0)}(2t) + b^{(0)}(2t-1) \otimes b^{(0)}(2t) \\ &\quad + b^{(0)}(2t) \otimes b^{(0)}(2t-1) + b^{(0)}(2t-1) \otimes b^{(0)}(2t-1) \\ &= \frac{1}{2} b^{(1)}(2t) + b^{(1)}(2t-1) + \frac{1}{2} b^{(1)}(2t-2) \\ &= \frac{1}{2} \left( \sum_{i=0}^2 \binom{2}{i} b^{(1)}(2t-i) \right) \end{aligned}$$

**Linearity:**

$$f(t) \otimes (g(t) + h(t)) = f(t) \otimes g(t) + f(t) \otimes h(t)$$

**Time Shift:**

$$f(t+x) \otimes g(t+y) = (f \otimes g)(t+x+y)$$

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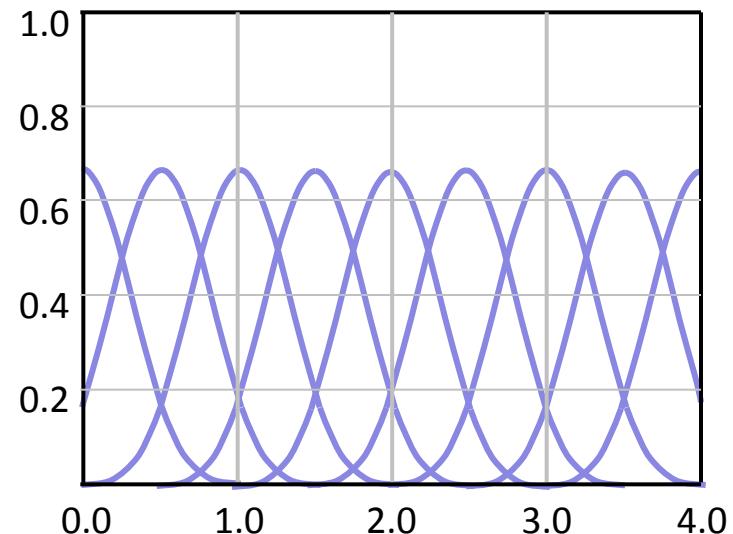
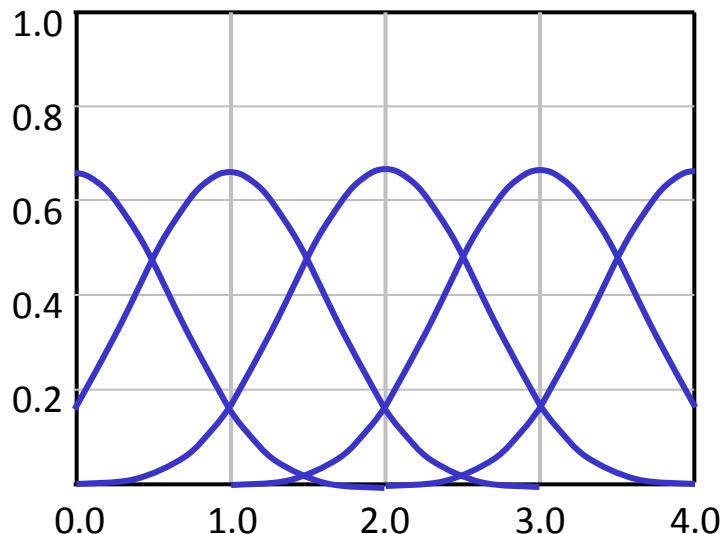
# Subdivision Formula

Analogously (induction) for general degrees:

$$b^{(d)}(t) = \underbrace{b^{(0)}(t) \otimes b^{(0)}(t) \otimes \dots \otimes b^{(0)}(t)}_{d+1 \text{ times}}$$

$$= \frac{1}{2^d} \left( \sum_{i=0}^{d+1} \binom{d+1}{i} b^{(d)}(2t-i) \right)$$

# This means....



Going from basis  $b(t+i)$  to basis  $b(2t+i)$  we get:

$$b^{(d)}(t+j) = \frac{1}{2^d} \left( \sum_{i=0}^{d+1} \binom{d+1}{i} b^{(d)}(2(t+j)-i) \right)$$

# Example

Cubic case:

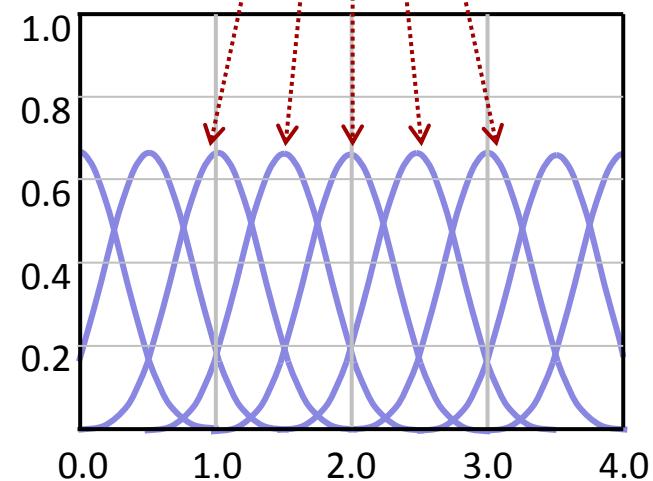
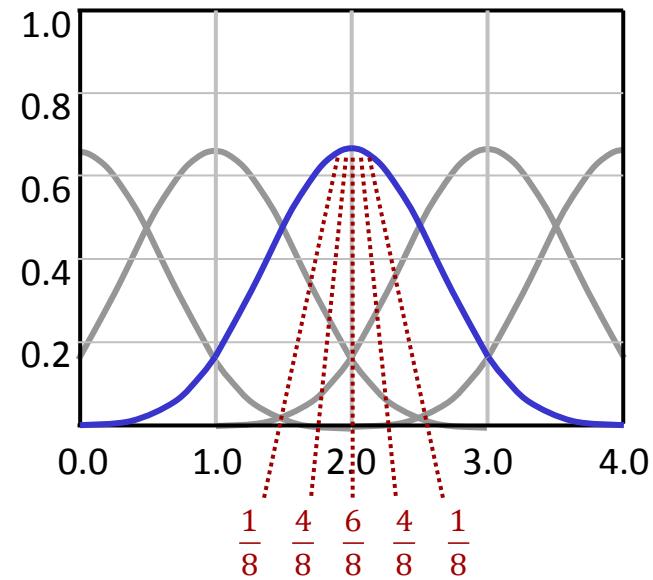
$$b^{(3)}(t) = \frac{1}{8} b^{(3)}(2t)$$

$$+ \frac{4}{8} b^{(3)}(2t - 1)$$

$$+ \frac{6}{8} b^{(3)}(2t - 2)$$

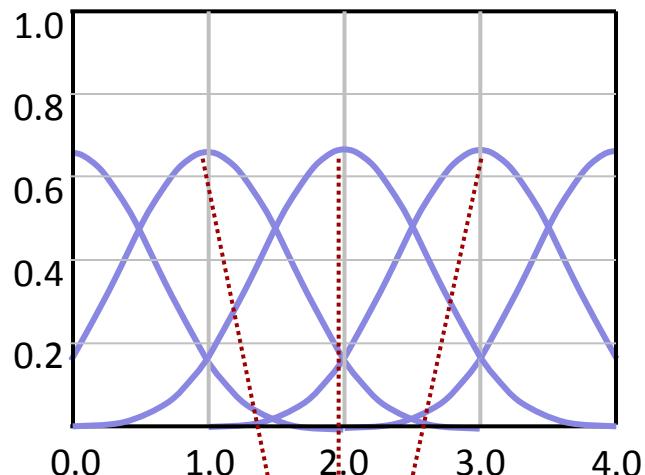
$$+ \frac{4}{8} b^{(3)}(2t - 3)$$

$$+ \frac{1}{8} b^{(3)}(2t - 4)$$

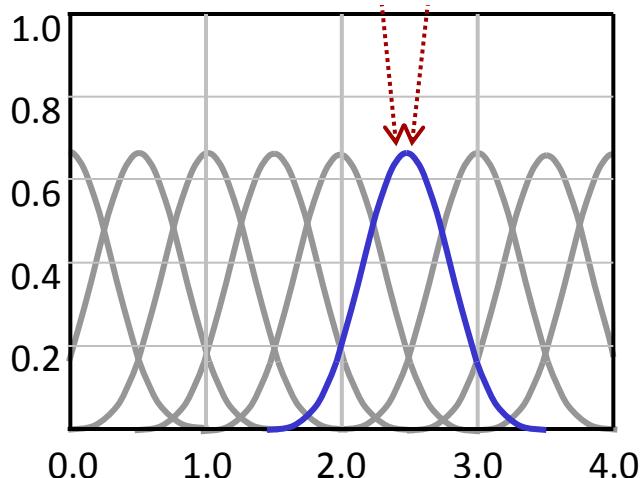
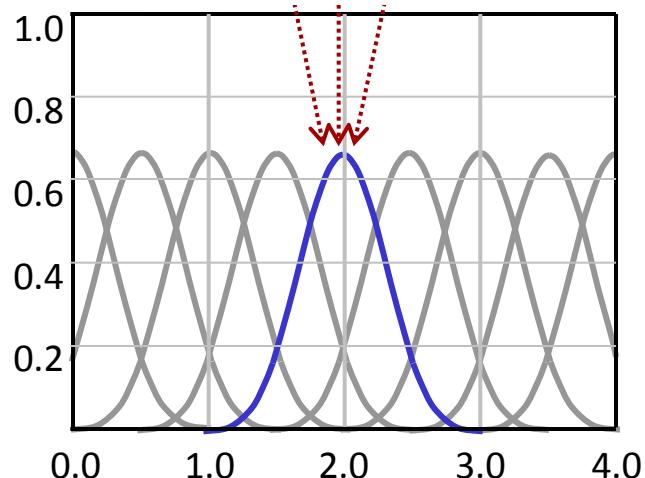
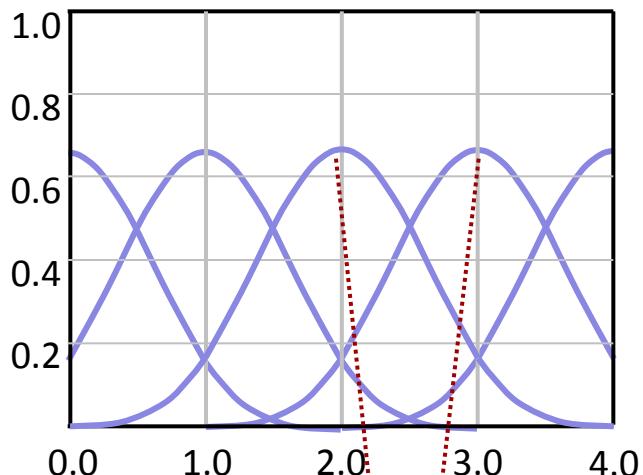


# In Terms of Control Points:

even:

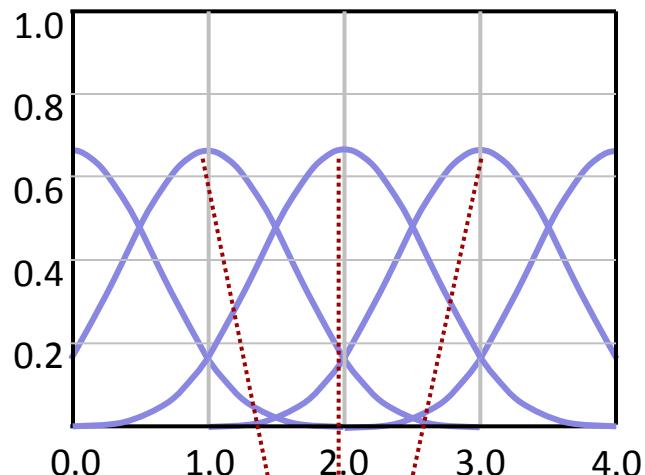


odd:

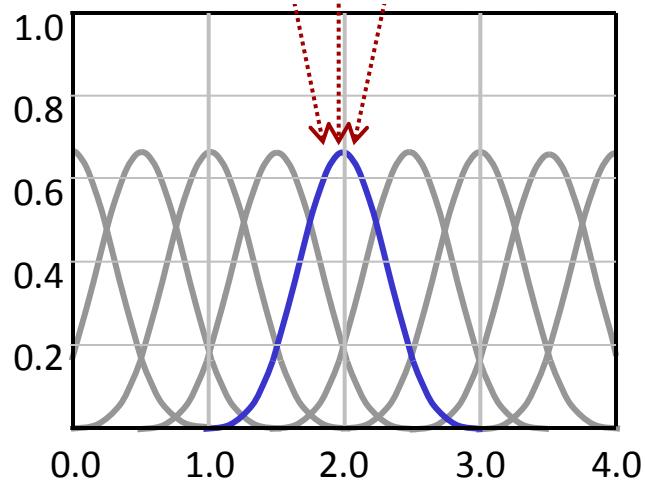


# In Terms of Control Points:

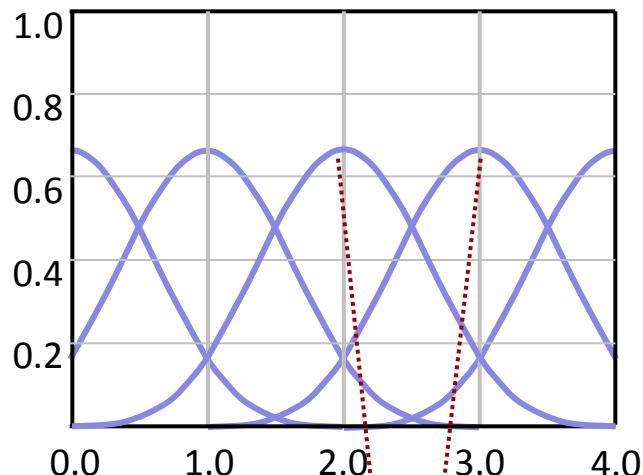
even:



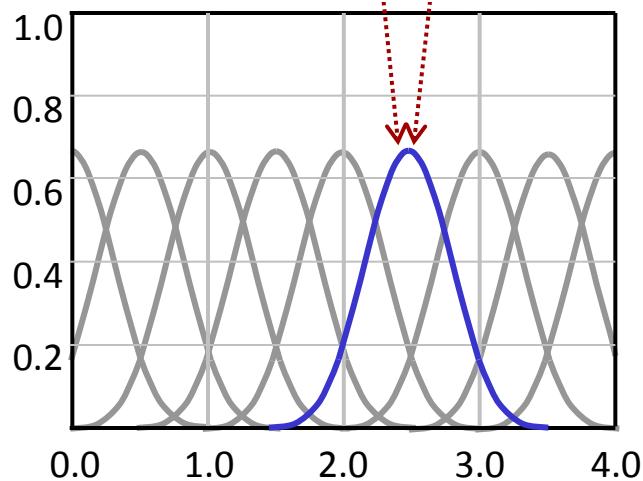
$$\begin{matrix} \frac{1}{8} \\ \frac{3}{4} \\ \frac{1}{8} \end{matrix}$$



odd:



$$\begin{matrix} \frac{1}{2} \\ \frac{1}{2} \end{matrix}$$



# Subdivision Matrix

In terms of control points:

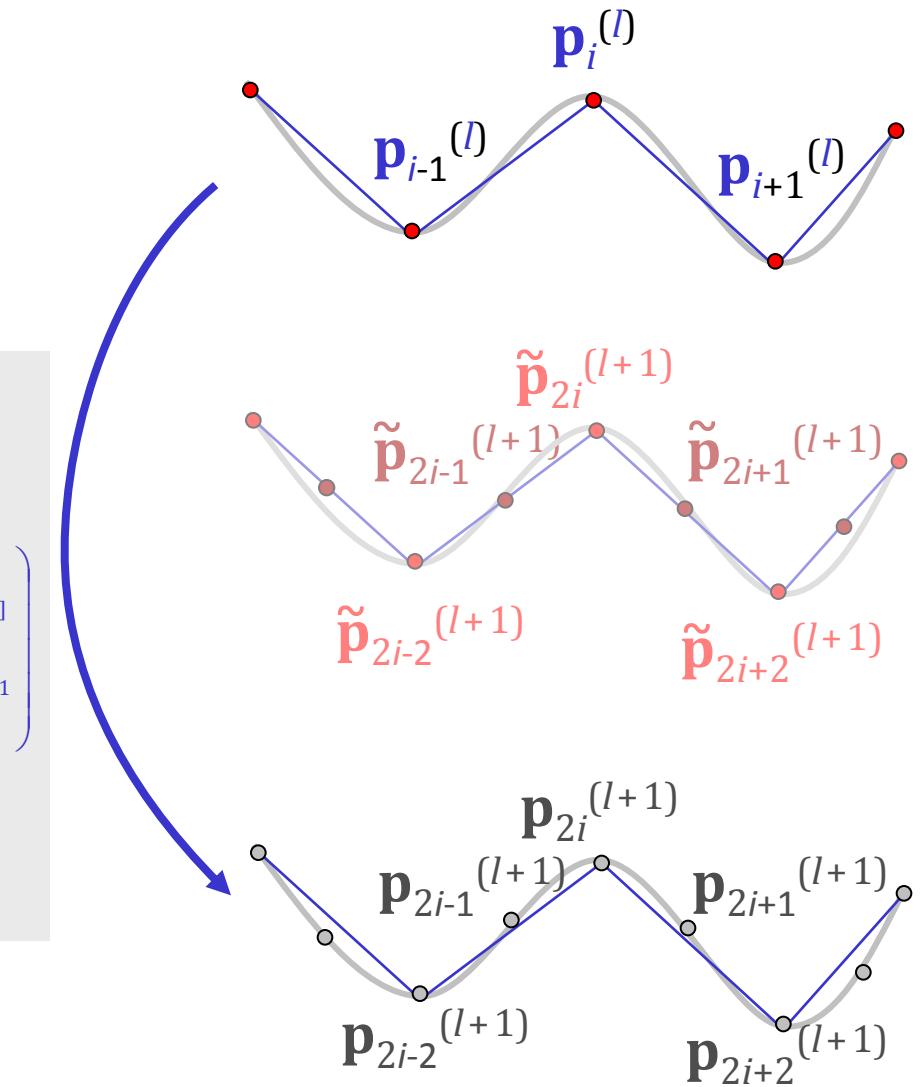
$$\begin{pmatrix} \vdots \\ p_{2i}^{[l+1]} \\ p_{2i+1}^{[l+1]} \\ \vdots \end{pmatrix} = \begin{pmatrix} \ddots & & & \\ & \frac{1}{8} & \frac{3}{4} & \frac{1}{8} \\ & \frac{1}{2} & \frac{1}{2} & & \\ & \frac{1}{8} & \frac{3}{4} & \frac{1}{8} & \\ & \frac{1}{2} & \frac{1}{2} & & \ddots \\ & & & & \ddots \end{pmatrix} \begin{pmatrix} \vdots \\ p_i^{[l]} \\ p_{i+1}^{[l]} \\ \vdots \end{pmatrix}$$

# Splitting and Averaging

So far:

- Splitting & averaging  
in one step

$$\begin{pmatrix} \vdots \\ p_{2i}^{[l+1]} \\ p_{2i+1}^{[l+1]} \\ \vdots \end{pmatrix} = \begin{pmatrix} \ddots & & & \\ & \frac{1}{8} & \frac{3}{4} & \frac{1}{8} \\ & \frac{1}{2} & \frac{1}{2} & \\ & \frac{1}{8} & \frac{3}{4} & \frac{1}{8} \\ & \frac{1}{2} & \frac{1}{2} & \\ & & & \ddots \end{pmatrix} \begin{pmatrix} \vdots \\ p_i^{[l]} \\ p_{i+1}^{[l]} \\ \vdots \end{pmatrix}$$



# Separate Splitting Step

Using a separate splitting matrix:

$$\begin{pmatrix} \vdots \\ p_{2i}^{[l+1]} \\ \vdots \\ p_{2i+1}^{[l+1]} \\ \vdots \end{pmatrix} = \underbrace{\begin{pmatrix} \ddots & & & \\ \frac{1}{4} & \frac{1}{2} & \frac{1}{4} & & \\ & \frac{1}{4} & \frac{1}{2} & \frac{1}{4} & & \\ & & \frac{1}{4} & \frac{1}{2} & \frac{1}{4} & & \\ & & & \frac{1}{4} & \frac{1}{2} & \frac{1}{4} & \\ & & & & \ddots & & \end{pmatrix}}_{2n \times 2n \text{ averaging}} \underbrace{\begin{pmatrix} \ddots & & & \\ 1 & & & \\ \frac{1}{2} & \frac{1}{2} & & \\ & 1 & & \\ & & \frac{1}{2} & \frac{1}{2} & \\ & & & \ddots & & \end{pmatrix}}_{n \times 2n \text{ splitting}} \begin{pmatrix} \vdots \\ p_i^{[l]} \\ p_{i+1}^{[l]} \\ \vdots \end{pmatrix}$$

# Separate Splitting Step

Using a separate splitting matrix:

$$\begin{pmatrix} \vdots \\ \frac{1}{4} & \frac{1}{2} & \frac{1}{4} \\ \vdots \\ p_{2i}^{[l+1]} \\ p_{2i+1}^{[l+1]} \\ \vdots \end{pmatrix} = \underbrace{\begin{pmatrix} \ddots & & & & \\ & \frac{1}{4} & \frac{1}{2} & \frac{1}{4} & \\ & \ddots & & & \end{pmatrix}}_{2n \times 2n \text{ averaging}} \underbrace{\begin{pmatrix} \ddots & & & & \\ & 1 & & & \\ & & \frac{1}{2} & \frac{1}{2} & \\ & & 1 & & \\ & & & \frac{1}{2} & \frac{1}{2} \\ & & & & \ddots \end{pmatrix}}_{n \times 2n \text{ splitting}} \begin{pmatrix} \vdots \\ p_i^{[l]} \\ p_{i+1}^{[l]} \\ \vdots \end{pmatrix}$$

$$\begin{pmatrix} \vdots \\ p_{2i}^{[l+1]} \\ p_{2i+1}^{[l+1]} \\ \vdots \end{pmatrix} = \underbrace{\begin{pmatrix} \ddots & & & & \\ & \frac{1}{8} & \frac{3}{4} & \frac{1}{8} & \\ & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & \\ & \frac{1}{8} & \frac{3}{4} & \frac{1}{8} & \\ & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & \\ & \ddots & & & \end{pmatrix}}_{\text{one step}} \begin{pmatrix} \vdots \\ p_i^{[l]} \\ p_{i+1}^{[l]} \\ \vdots \end{pmatrix}$$

$$\mathbf{p}_{2i}^{[l+1]} = \frac{1}{4}\mathbf{p}_i^{[l]} + \frac{1}{2}\left(\frac{1}{2}\mathbf{p}_i^{[l]} + \frac{1}{2}\mathbf{p}_{i+1}^{[l]}\right) + \frac{1}{4}\mathbf{p}_{i+1}^{[l]} = \frac{1}{2}\mathbf{p}_i^{[l]} + \frac{1}{2}\mathbf{p}_{i+1}^{[l]}$$

$$\mathbf{p}_{2i+1}^{[l+1]} = \frac{1}{4}\left(\frac{1}{2}\mathbf{p}_i^{[l]} + \frac{1}{2}\mathbf{p}_{i+1}^{[l]}\right) + \frac{1}{2}\mathbf{p}_{i+1}^{[l]} + \frac{1}{4}\left(\frac{1}{2}\mathbf{p}_{i+1}^{[l]} + \frac{1}{2}\mathbf{p}_{i+2}^{[l]}\right) = \frac{1}{8}\mathbf{p}_i^{[l]} + \frac{6}{8}\mathbf{p}_{i+1}^{[l]} + \frac{1}{8}\mathbf{p}_{i+2}^{[l]}$$

# General Formula:

## B-spline curve subdivision:

- Splitting step as usual (insert midpoints on lines)
- Averaging mask is stationary (constant everywhere):

$$\frac{1}{2^{d-1}} \left( \binom{d-1}{0}, \binom{d-1}{1}, \dots, \binom{d-1}{d-1} \right)$$

for B-splines of degree  $d$ .

## Approximating the curve:

- Infinite subdivision will create a dense point set that converges to the curve

# **Spectral Convergence Analysis**

# The Spectral Limit Trick

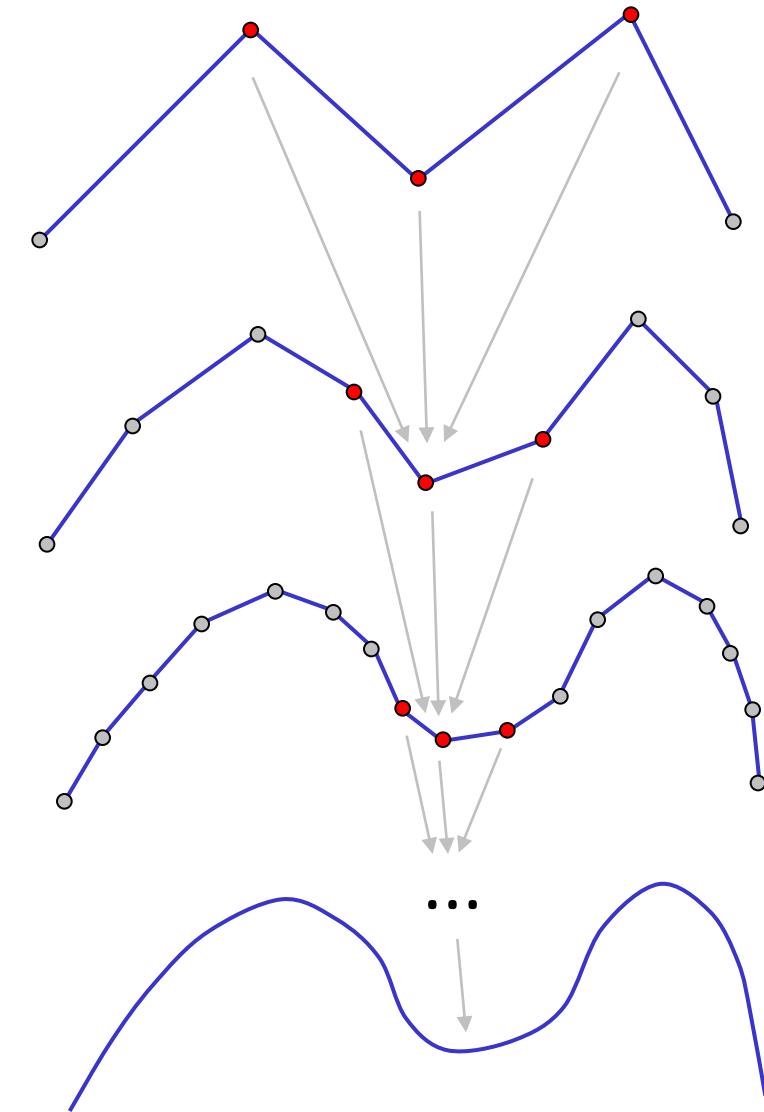
## Problem:

- We need to subdivide several times to obtain a good approximation
- This might yield more control points than necessary (think of adaptive rendering with low level of detail)
- Can we directly compute the limit position for a control point?

# Computing the Limit

## Observations:

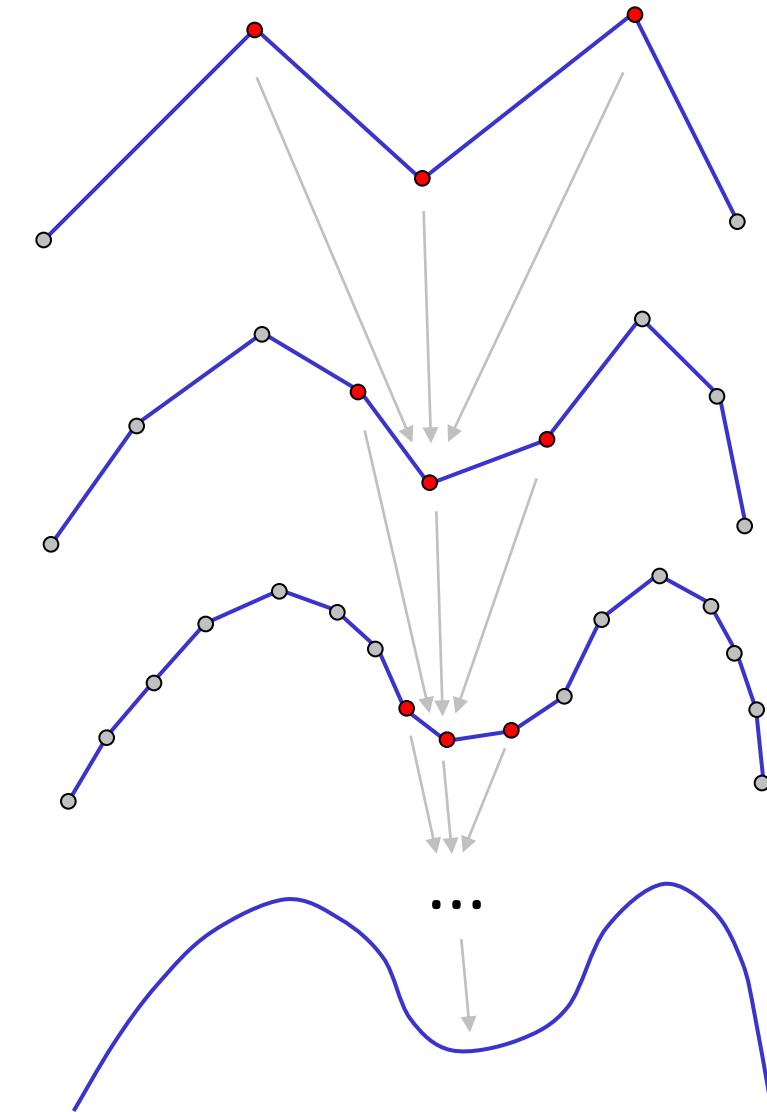
- Every curve point is influence only by a fixed number of control points
- Even stronger: Every point  $\mathbf{p}^{[l+1]}$  is only influence by a small neighborhood of points in  $\mathbf{p}^{[l]}$ .
- To each neighborhood, the same subdivision matrix is applied (splitting & averaging)



# The Local Subdivision Matrix

## Invariant Neighborhood:

- Example: Cubic B-splines
  - A single point lies in one of two adjacent spline segments.
  - So at most 5 control points are influencing each point on the curve.
  - A closer look at the subdivision rule reveals that limit properties can actually be computed from 3 points (two direct neighbors).



# Local Subdivision Matrix

## Local subdivision matrix:

- Transforms a neighborhood of points

## Example: Cubic B-Spline

- Only the two direct neighbors influence the point in the next level
- The local subdivision matrix is:

$x_-$  = left neighbor

$x$  = point (x/y/z-coordinate)

$x_+$  = right neighbor

$$\begin{pmatrix} x_-^{[l+1]} \\ x^{[l+1]} \\ x_+^{[l+1]} \end{pmatrix} = \underbrace{\begin{pmatrix} \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{8} & \frac{3}{4} & \frac{1}{8} \\ 0 & \frac{1}{2} & \frac{1}{2} \end{pmatrix}}_{=: \mathbf{M}_{subdiv}} \begin{pmatrix} x_-^{[l]} \\ x^{[l]} \\ x_+^{[l]} \end{pmatrix}$$

# To the Limit...

This means:

- At any recursion depth of the subdivision, we can send a point to the limit by evaluating:

$$\begin{pmatrix} x_-^{[\infty]} \\ x^{[\infty]} \\ x_+^{[\infty]} \end{pmatrix} = \lim_{k \rightarrow \infty} \mathbf{M}_{subdiv}^k \begin{pmatrix} x_-^{[l]} \\ x^{[l]} \\ x_+^{[l]} \end{pmatrix} = \lim_{k \rightarrow \infty} \begin{pmatrix} \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{8} & \frac{3}{4} & \frac{1}{8} \\ 0 & \frac{1}{2} & \frac{1}{2} \end{pmatrix}^k \begin{pmatrix} x_-^{[l]} \\ x^{[l]} \\ x_+^{[l]} \end{pmatrix}$$

# To the Limit...

## Spectral power:

- Assuming the matrix  $\mathbf{M}_{subdiv}$  is diagonalizable, we get:

$$\begin{pmatrix} x_-^{[\infty]} \\ x^{[\infty]} \\ x_+^{[\infty]} \end{pmatrix} = \lim_{k \rightarrow \infty} \mathbf{U} \mathbf{D}^k \mathbf{U}^{-1} \begin{pmatrix} x_-^{[l]} \\ x^{[l]} \\ x_+^{[l]} \end{pmatrix} = \mathbf{U} \left( \lim_{k \rightarrow \infty} \mathbf{D}^k \right) \mathbf{U}^{-1} \begin{pmatrix} x_-^{[l]} \\ x^{[l]} \\ x_+^{[l]} \end{pmatrix}$$
$$= \begin{pmatrix} 1 & -1 & -2 \\ 1 & 0 & 1 \\ 1 & 1 & -2 \end{pmatrix} \lim_{k \rightarrow \infty} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \frac{1}{2} & 0 \\ 0 & 0 & \frac{1}{4} \end{pmatrix}^k \begin{pmatrix} \frac{1}{6} & \frac{2}{3} & \frac{1}{6} \\ -\frac{1}{2} & 0 & \frac{1}{2} \\ -\frac{1}{6} & \frac{1}{3} & -\frac{1}{6} \end{pmatrix} \begin{pmatrix} x_-^{[l]} \\ x^{[l]} \\ x_+^{[l]} \end{pmatrix}$$

# To the Limit...

## Spectral power:

- For cubic B-splines:

$$\begin{pmatrix} x_-^{[\infty]} \\ x^{[\infty]} \\ x_+^{[\infty]} \end{pmatrix} = \begin{pmatrix} 1 & -1 & -2 \\ 1 & 0 & 1 \\ 1 & 1 & -2 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \frac{1}{6} & \frac{2}{3} & \frac{1}{6} \\ -\frac{1}{2} & 0 & \frac{1}{2} \\ -\frac{1}{6} & \frac{1}{3} & -\frac{1}{6} \end{pmatrix} \begin{pmatrix} x_-^{[l]} \\ x^{[l]} \\ x_+^{[l]} \end{pmatrix} = \begin{pmatrix} \frac{1}{6} & \frac{2}{3} & \frac{1}{6} \\ \frac{1}{6} & \frac{3}{2} & \frac{1}{6} \\ \frac{1}{6} & \frac{2}{3} & \frac{1}{6} \end{pmatrix} \begin{pmatrix} x_-^{[l]} \\ x^{[l]} \\ x_+^{[l]} \end{pmatrix}$$

- and hence:

$$x^{[\infty]} = \left[ \frac{1}{6}, \frac{2}{3}, \frac{1}{6} \right] \begin{pmatrix} x_-^{[l]} \\ x^{[l]} \\ x_+^{[l]} \end{pmatrix}$$

# To the Limit, in General

In general:

- The dominant eigenvalue / eigenvector of the subdivision scheme determines the limit mask

# Necessary Condition

## Necessary condition for convergence:

- 1 must be the largest eigenvalue (in absolute value)
- Otherwise, the subdivision either explodes ( $>1$ ) or shrinks to the origin ( $<1$ )

$$\begin{pmatrix} x_{-n}^{[l+k]} \\ \vdots \\ x_0^{[l+k]} \\ \vdots \\ x_{+n}^{[l+k]} \end{pmatrix} = \mathbf{M}_{subdiv}^k \begin{pmatrix} x_{-n}^{[l]} \\ \vdots \\ x_0^{[l]} \\ \vdots \\ x_{+n}^{[l]} \end{pmatrix} = \mathbf{U} \mathbf{D}^k \mathbf{U}^{-1} \begin{pmatrix} x_{-n}^{[l]} \\ \vdots \\ x_0^{[l]} \\ \vdots \\ x_{+n}^{[l]} \end{pmatrix}$$

# Affine Invariance

## Affine Invariance:

- The limit curve should be independent of the choice of a coordinate system
- We get this, if the intermediate subdivision points are all affine invariant
- For this, the rows of the (local) subdivision matrix must sum to one:

$$\left( \begin{array}{ccc} \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{8} & \frac{6}{8} & \frac{1}{8} \\ 0 & \frac{1}{2} & \frac{1}{2} \end{array} \right)$$

# Affine Invariance

## Affine Invariance:

- The rows of the (local) subdivision matrix must sum to one:  
$$\begin{pmatrix} \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{8} & \frac{6}{8} & \frac{1}{8} \\ \frac{1}{8} & \frac{8}{8} & \frac{1}{8} \\ 0 & \frac{1}{2} & \frac{1}{2} \end{pmatrix}$$
- This means: The one-vector **1** must be an eigenvector with eigenvalue 1:
  - $\mathbf{M}_{subdiv} \mathbf{1} = \mathbf{1}$
  - This must also be the largest eigenvalue/vector pair
  - One can show: it must be the only eigenvector with eigenvalue 1, otherwise the scheme does not converge

# Derivatives

## One can show:

- The tangent vectors are related to the second largest eigenvalue
- Intuition:
  - Difference to neighboring points is zero for first eigenvalue/eigenvector in the limit
  - Second largest shrinks faster, spreads the tangent direction
- For a  $C^1$  continuous curve, the second largest eigenvalue must be unique (only one eigenvector). This is a necessary condition.

# Summary

**For a reasonable subdivision scheme, we need at least:**

- **1** must be a eigenvector with eigenvalue **1**.
- This must be the largest eigenvalue.
- The second eigenvalue should be smaller than **1**.
- All other eigenvalues should be smaller than the second one.

**(This is assuming a diagonalizable subdivision matrix.)**

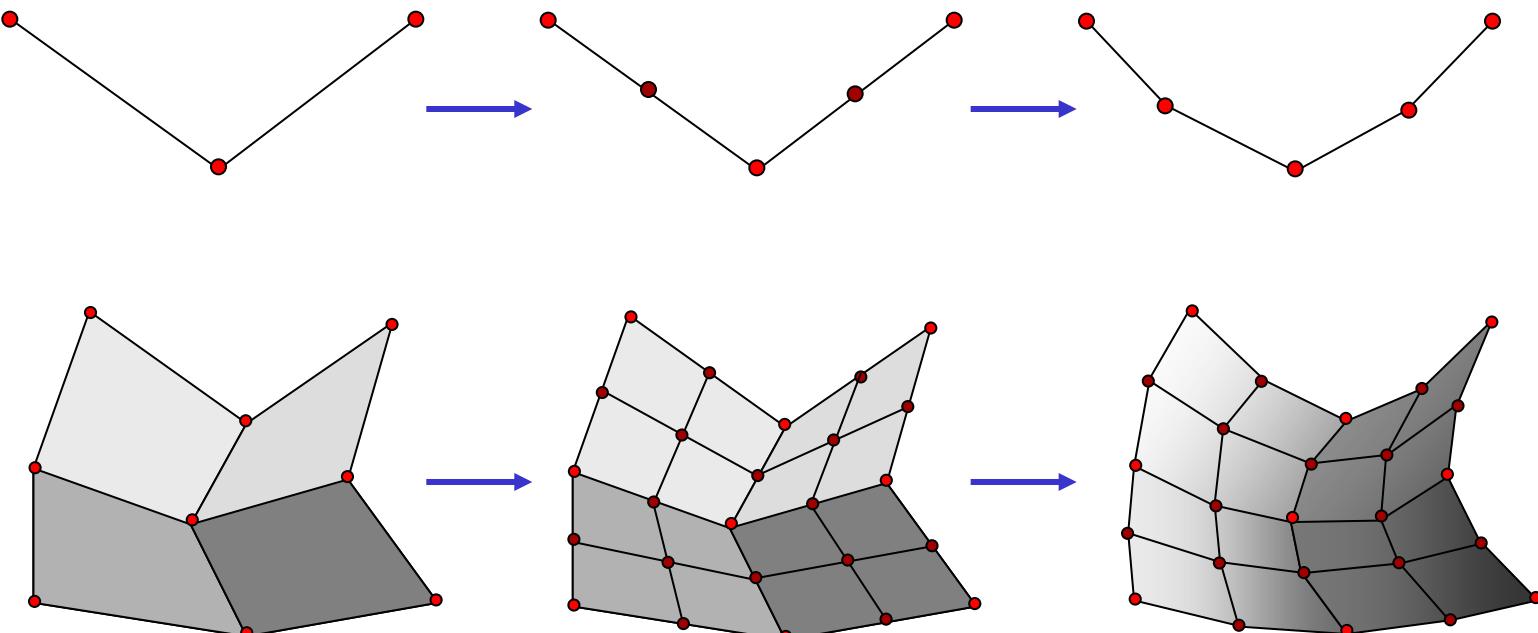
More details: Zorin, Schröder – Subdivision for Modeling and Animation, Siggraph 2000 course, available online (use Google).

# B-Spline Subdivision Surfaces

# B-Spline Subdivision Surfaces

## B-Spline Subdivision Surfaces

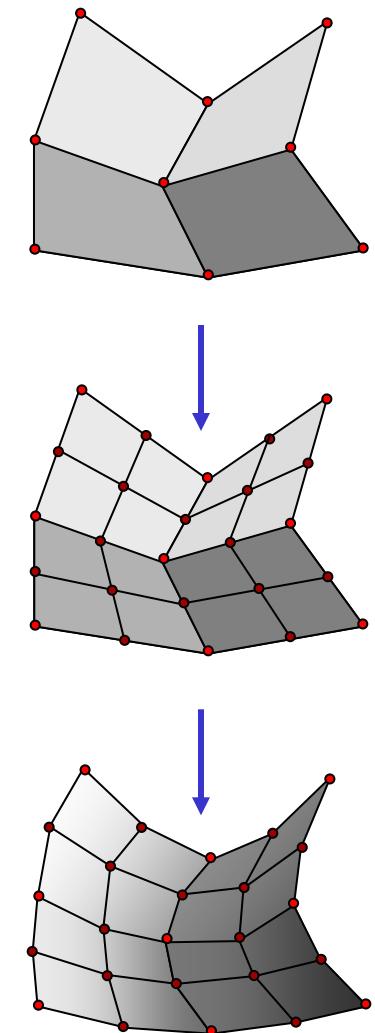
- We can apply the tensor product construction to obtain subdivision surfaces:



# B-Spline Subdivision Surfaces

## Tensor Product B-Spline Subdivision Surfaces:

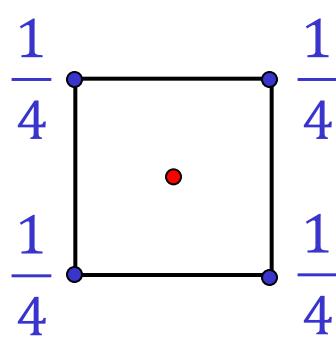
- Start with a regular quad mesh (will be relaxed later)
- In each subdivision step:
  - Divide each quad in four (quadtree subdivision)
  - Place linearly interpolated vertices
  - Apply 2-dimensional averaging mask



# Subdivision and Averaging Masks

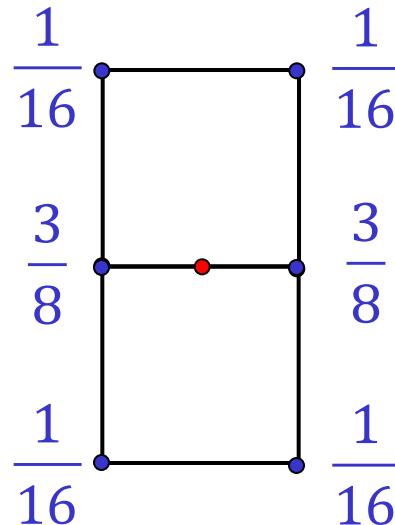
## What is the subdivision mask?

- Can be derived from tensor product construction:



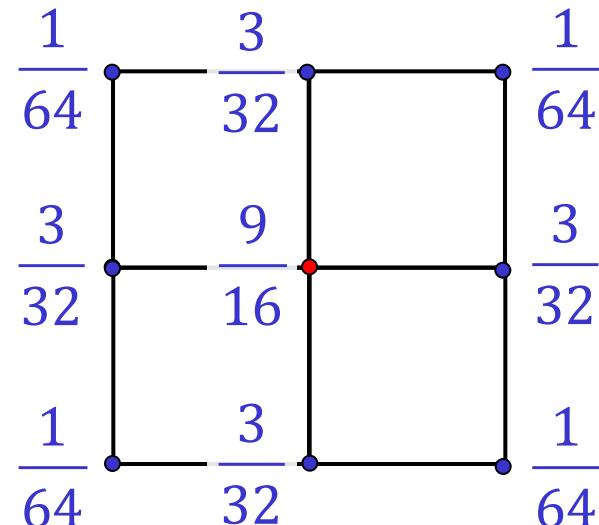
face midpoint  
(odd/odd)

$$\begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix} \cdot \begin{bmatrix} \frac{1}{2}, \frac{1}{2} \end{bmatrix}$$



edge midpoint  
(even/odd)

$$\begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \\ \frac{1}{2} \end{pmatrix} \cdot \begin{bmatrix} \frac{1}{8}, \frac{3}{4}, \frac{1}{8} \end{bmatrix}$$



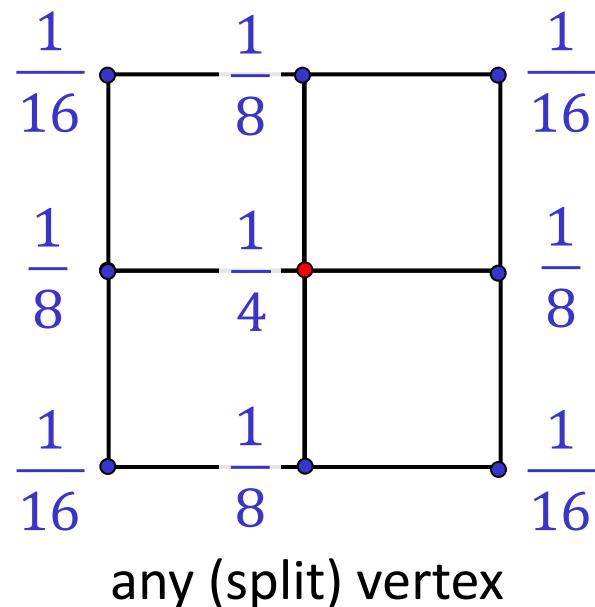
original vertex  
(even/even)

$$\begin{pmatrix} \frac{1}{8} \\ \frac{3}{4} \\ \frac{1}{8} \end{pmatrix} \cdot \begin{bmatrix} \frac{1}{8}, \frac{3}{4}, \frac{1}{8} \end{bmatrix}$$

# Subdivision and Averaging Masks

## What is the averaging mask?

- Can be derived from tensor product construction, too:



$$\begin{pmatrix} \frac{1}{4} \\ \frac{1}{2} \\ \frac{1}{4} \end{pmatrix} \cdot \left[ \frac{1}{4}, \frac{1}{2}, \frac{1}{4} \right]$$

# Generalizations

## Generalizations:

- General degree B-spline tensor product surface subdivision rules can be derived in the same way
- Just use the 1-dimensional subdivision / averaging masks and form a tensor product mask
- Guaranteed to converge to a  $C^{d-1}$ -smooth surface
- Ok, but something is missing...

# Remaining Problems

## Remaining Problems:

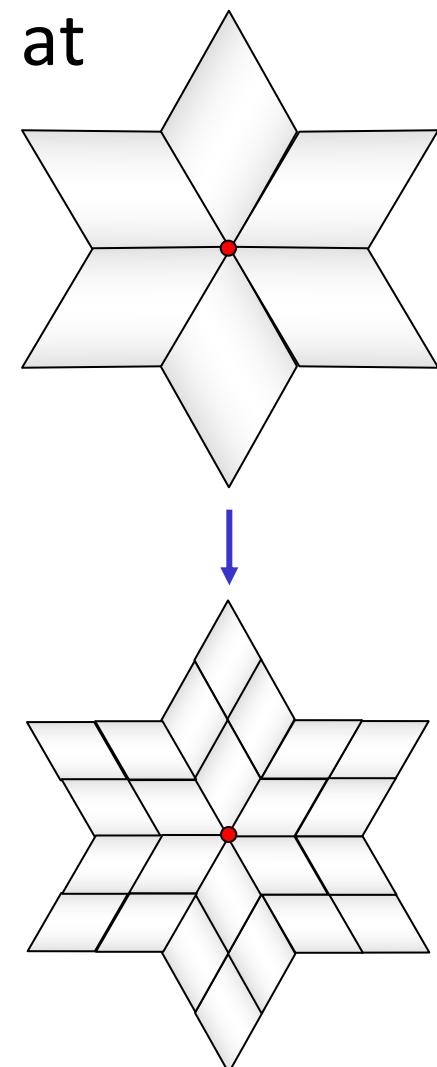
- The derived rules work only in the interior or a regular quad mesh
- We did not really gain any flexibility over the standard B-spline construction
- We still need to figure out, how to...:
  - ...handle quad meshes of arbitrary topology.
  - ...handle boundary regions.
    - Placing boundaries in the interior of objects will allow us to model sharp  $C^0$  creases
    - So we also have some continuity control (despite the uniform B-Spline scheme)

# Here is the answer...

**Answer:** Catmull-Clark subdivision scheme at extraordinary vertices

## Observation:

- The recursive subdivision rule always creates regular grids
- Problems can only occur at “extraordinary” vertices
  - These are vertices where the base mesh has degree  $> 4$
  - Extraordinary vertices are maintained by quadtree-like-subdivision
  - All new vertices are ordinary

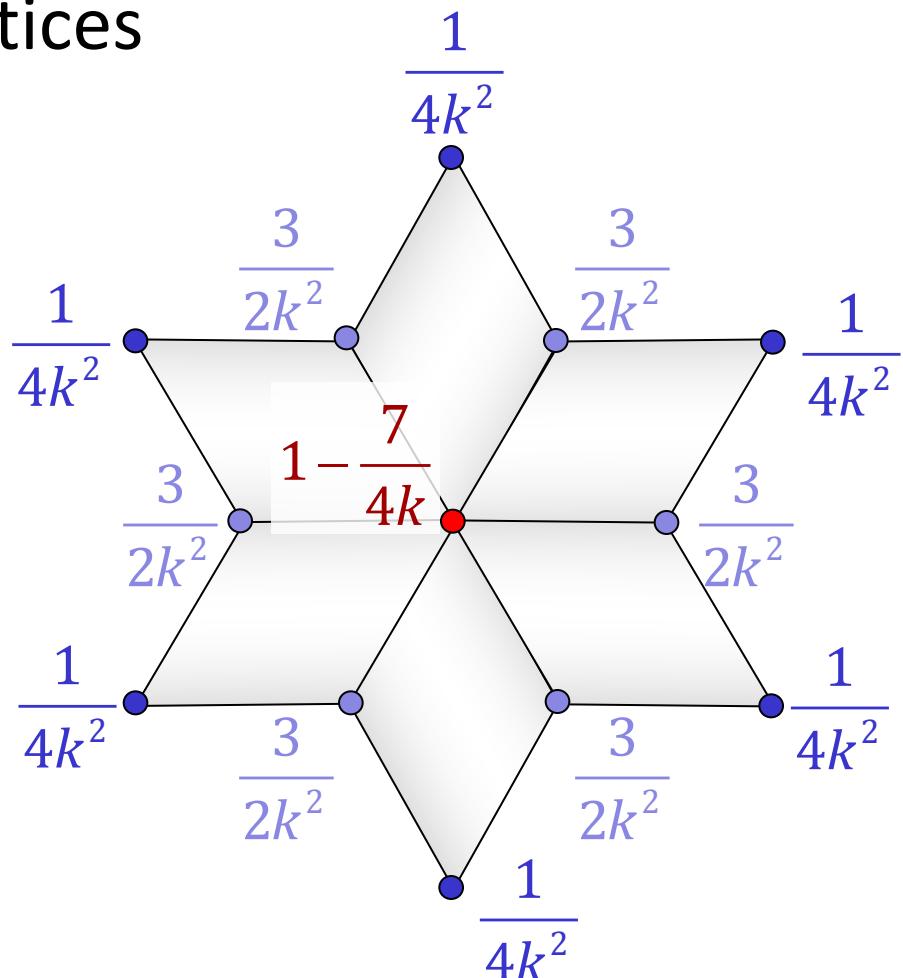


# Here is the answer...

**Answer:** Catmull-Clark subdivision scheme at extraordinary vertices

## Subdivision mask at extraordinary vertex:

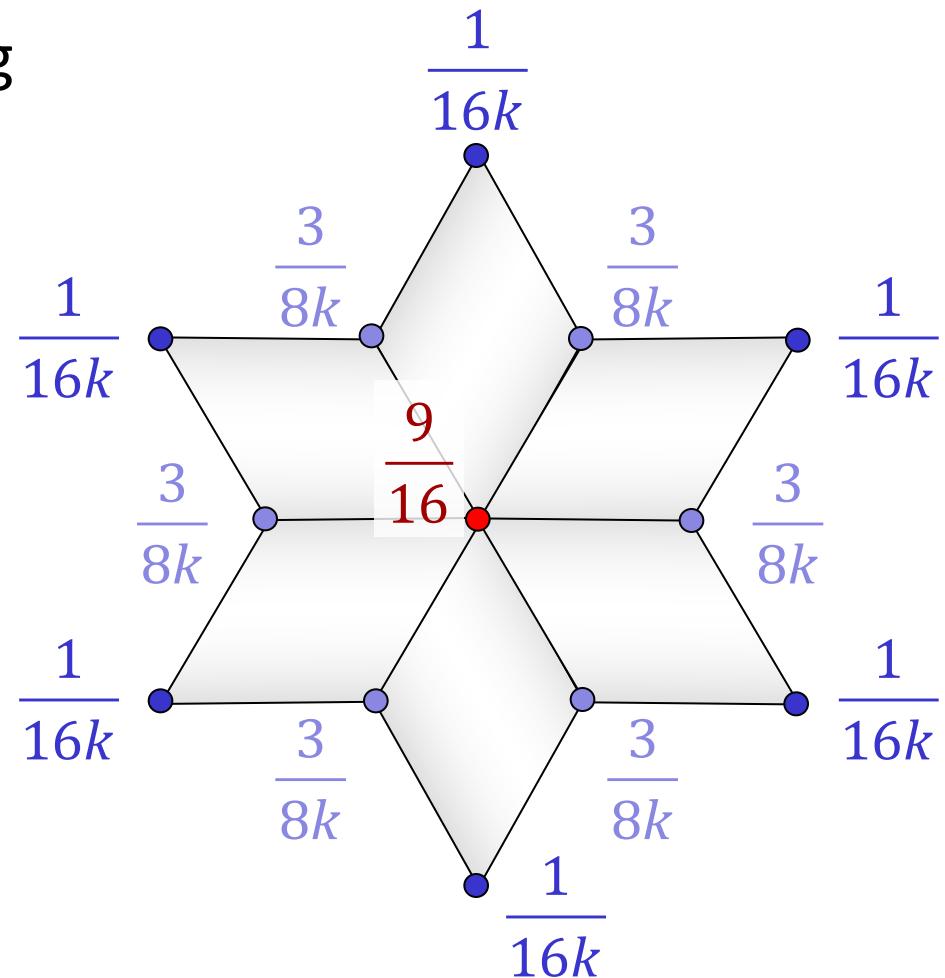
- Vertex degree  $k$
- The surface is  $C^1$  at extraordinary vertices



# Here is the answer...

## Averaging mask:

- Use after bilinear splitting



# Boundary Rules

## Subdivision mask at boundaries / sharp creases:

$$\begin{array}{c} \bullet - \bullet - \bullet \\ \frac{1}{2} \quad \frac{1}{2} \\ (\text{odd}) \end{array}$$

$$\begin{array}{c} \bullet - \bullet - \bullet \\ \frac{1}{8} \quad \frac{3}{4} \quad \frac{1}{8} \\ (\text{even}) \end{array}$$

$$\begin{array}{c} \bullet - \bullet - \bullet \\ \frac{1}{4} \quad \frac{1}{2} \quad \frac{1}{4} \\ (\text{averaging mask}) \end{array}$$

- Just use the normal spline curve rules
- This gives visually good results
- However, the surface is not strictly  $C^1$  at the boundary
- There is a modified weighting scheme that creates half-sided  $C^1$ -continuous surfaces at the boundary curves

# **Other Subdivision Schemes**

## Loop, Butterfly, ...

# Subdivision Zoo

A large number of subdivision schemes exists.

The most popular are:

- Catmull-Clark subdivision  
(quad-mesh, approximating,  $C^2$  surfaces,  $C^1$  at extraordinary vertices)
- Loop subdivision  
(triangular, approximating,  $C^2$  surfaces,  $C^1$  at extraordinary vertices)
- Butterfly subdivision  
(triangular, interpolation,  $C^1$  surfaces,  $C^1$  at extraordinary vertices)

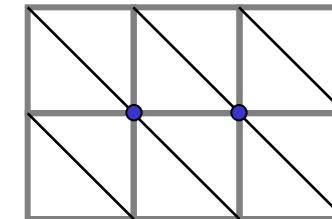
Examples of other schemes:

- $\sqrt{3}$  – subdivision (level of detail increases more slowly)
- Circular subdivision (used e.g. for surfaces of revolution)

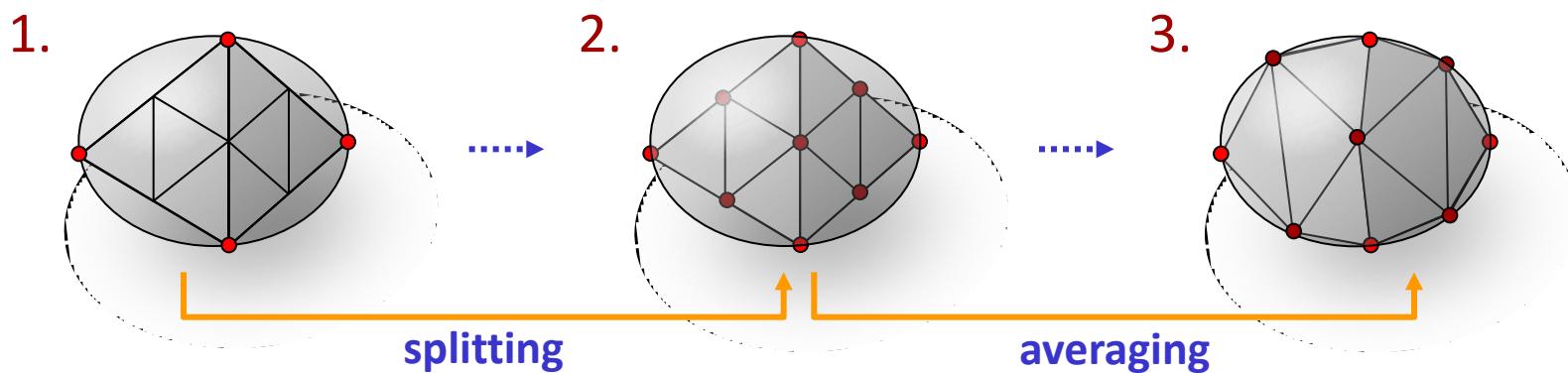
# Triangular Subdivision

## Triangular Subdivision:

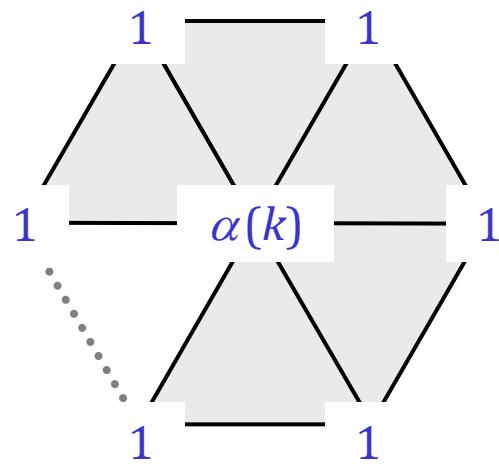
- Uses 1:4 triangle splits
  - Extraordinary vertices: valence  $\neq 6$
- Again:
  - Splitting with linear interpolation
  - Then apply averaging mask



ordinary vertices



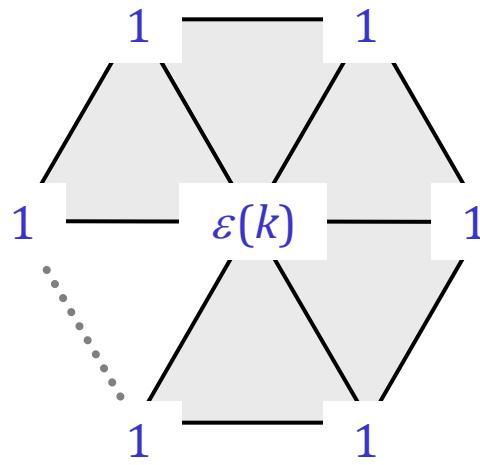
# Loop Subdivision



averaging mask

$$\alpha(k) = k(1 - \beta(k))/\beta(k)$$

$$\beta(k) = \frac{5}{4} - \frac{(3 + 2\cos(2\pi/k))^2}{32}$$



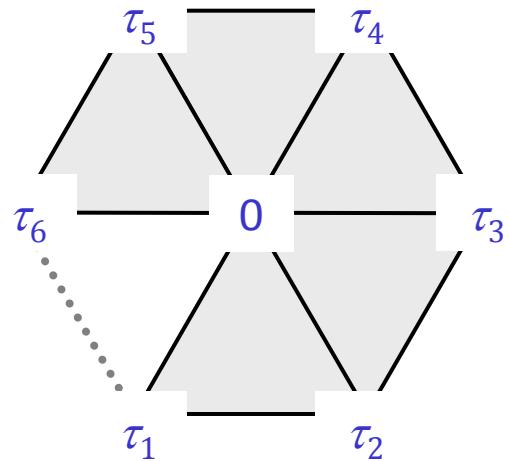
evaluation (limit) mask

$$\varepsilon(k) = 3k/(4\beta(k))$$

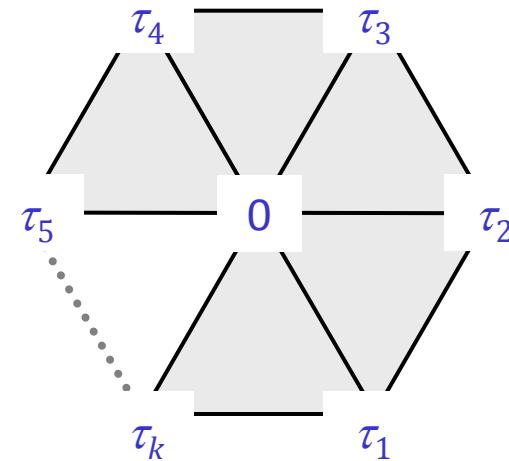
$$\frac{1}{4} \quad \frac{1}{2} \quad \frac{1}{4}$$

boundary / sharp  
crease mask

# Loop Subdivision



tangent mask 1



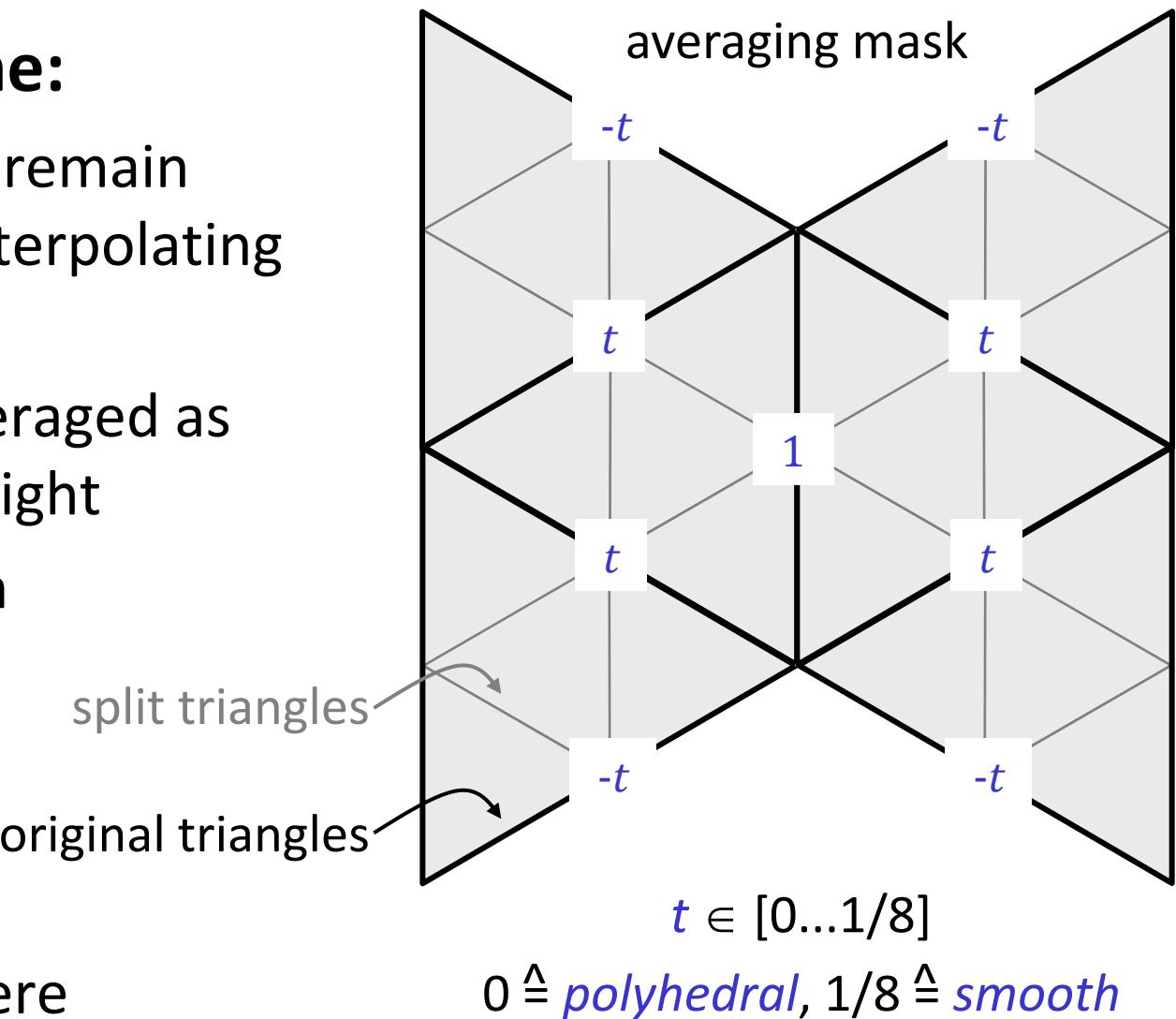
tangent mask 2

$$\tau_i = \cos(2\pi i/k)$$

# Butterfly Scheme

## Butterfly scheme:

- Original points remain unmodified (interpolating scheme)
- New points averaged as shown on the right
- $C^1$ , except from extraordinary vertices
- Can be modified to be  $C^1$  everywhere



# **Connection to Wavelet**

## Nested Function Spaces and Wavelet Bases

# Wavelets

## Wavelets:

- Wavelet bases are an interesting tool that is very useful in computer graphics (and many other fields)
- Main idea:
  - Define a basis for a function space
  - Separate large scale from fine scale information
  - “Level-of-detail” basis
  - This allows for compression, scale-dependent processing and often leads to numerical more efficient and stable algorithms (e.g. wavelet preconditioning)

# Nested Function Space

## Starting Point:

- We consider a set of nested function spaces:  
 $\mathbb{V}_0 \subset \mathbb{V}_1 \subset \dots \subset \mathbb{V}_i \subset \dots$
- This means: with increasing index, a larger number of functions can be represented.
- We can find a basis  $B_i$  for  $\mathbb{V}_i$  and  $B_{i+1}$  for  $\mathbb{V}_{i+1}$  such that  
 $B_i \subset B_{i+1}$ .

# Special Spaces

We consider a special case:

- The basis functions in  $B_i$  are dilates of one and the same “mother” basis function  $\phi(t)$ :

$$\phi_i^j = \phi(2^j t - i)$$

$$B_j = \{\phi_i^j\}_i$$

- The  $\phi_i^j(t)$  are called scaling functions.
- With increasing  $j$ , the scaling functions should provide a more detailed representation of the *same* function.
- In order to achieve this, we need an additional property...

# Subdivision Property

## Nested Spaces:

- We want to have  $\text{span}(\mathbf{B}_j) \subset \text{span}(\mathbf{B}_{j+1})$ .
- For this, we need to be able to reproduce the  $\phi_i^j$  by linear combinations of functions  $\phi_i^{j+1}$ .
- This is the same condition we need for our geometric subdivision schemes.
- This means: Each subdivision scheme creates a corresponding nested scaling space (and vice versa).

# Wavelet Bases

## What we get so far:

- The span of the set  $B_0 \cup B_1 \cup \dots \cup B_n$  can describe functions at various level of detail.
- Problem:
  - This is not a basis. The set is still redundant.
  - The finest resolution set would be sufficient.
- Solution:
  - Remove redundant functions
  - Store only differences

# Wavelet Bases

## Constructing a wavelet basis:

- Start with  $\mathbb{V}_0 = \text{span}(\mathbb{B}_0)$ . Add all  $\{\phi_i^0\}_i$  to the wavelet basis.
- Then add *wavelet basis functions*  $\{\psi_i^1\}_i$  that form a basis of  $\mathbb{V}_1 \setminus \mathbb{V}_0$  to this set.
- Continue with the next level of wavelets  $\{\psi_i^1\}_i$  that form a basis of  $\mathbb{V}_2 \setminus \mathbb{V}_1$ .
- And so on.

## Same structure:

- We again want a dilation property:  $\psi_i^j = \psi(2^j t - i)$
- $\psi(t)$  is called the “mother wavelet”

# Wavelet Basis

We get:

- Scaling functions for the coarsest level function space.
- Wavelets for the missing details at level  $1, 2, 3, \dots, n$ .

$$V_n \left\{ \begin{array}{lll} V_0 & \rightarrow & \{\phi_i^0\} \\ V_1 \setminus V_0 & \rightarrow & \{\psi_i^1\} \\ V_2 \setminus V_1 & \rightarrow & \{\psi_i^2\} \\ & & \vdots \\ V_n \setminus V_{n-1} & \rightarrow & \{\psi_i^n\} \end{array} \right.$$

# Wavelet Basis Construction

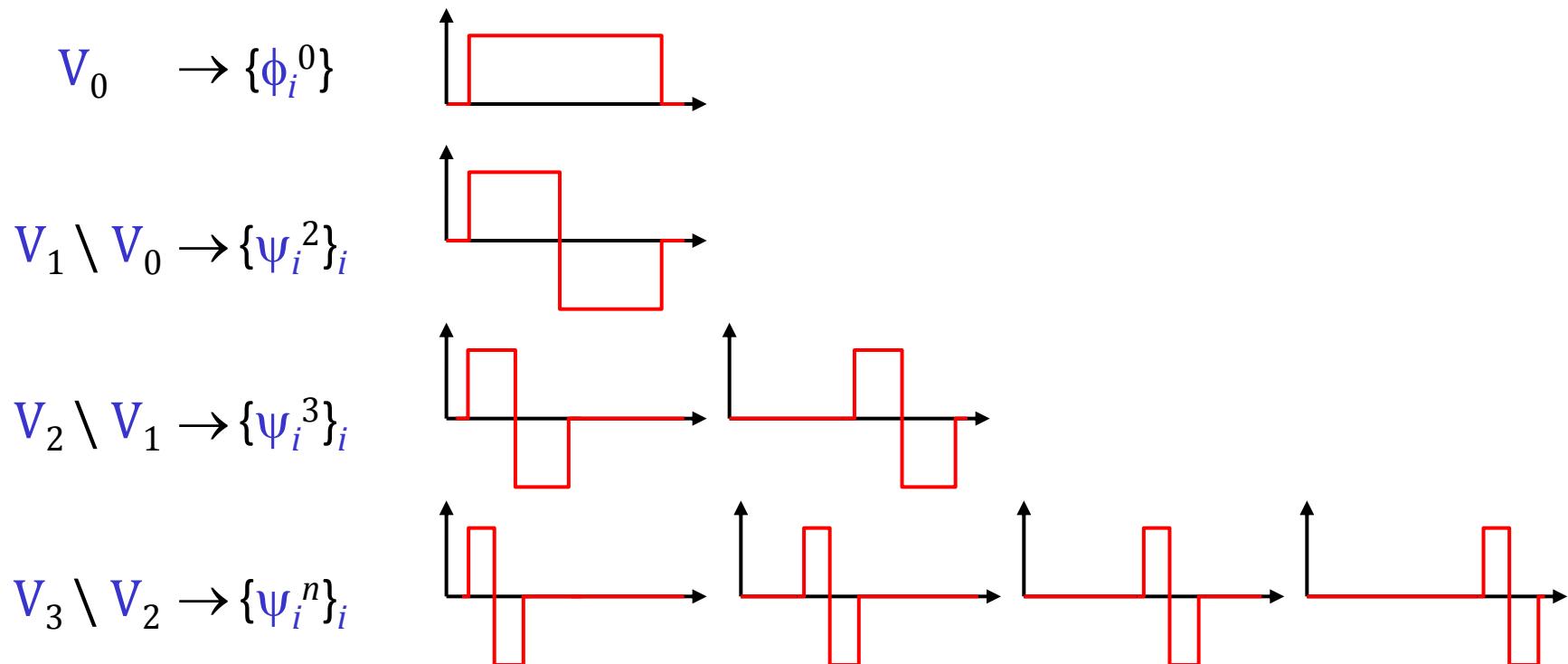
## How to choose the wavelet basis?

- Assume we are given the scaling functions.
- We (usually) still have a lot of freedom for choosing the wavelet basis.
- Desirable properties:
  - Small support (efficiency)
  - Orthogonality (good for compression)
  - Symmetry (useful for signal processing)
  - High order of smoothness (depends on scaling function)
- Not all can be obtained at the same time
- Various compromises have been proposed in literature

# Example: Haar basis

## Haar Basis:

- Corresponds to zero-order subdivision curves (piecewise constant)



# Function compression

## Function compression recipe:

- Transform to a wavelet basis
- Make sure the basis functions have unit norm (integral square norm)
- Keep the only the largest wavelet coefficients and the top level scaling function coefficient(s)
- If you do this with a smooth wavelet basis (such as higher order B-spline subdivision based wavelets), combined with arithmetic encoding of the coefficients, you basically get JPEG 2000.

# Image Compression Example

## Haar basis wavelet compression:

- This is 10 lines of code...

# **Stochastic Subdivision**

## Nice Fractal Landscapes & the Similar

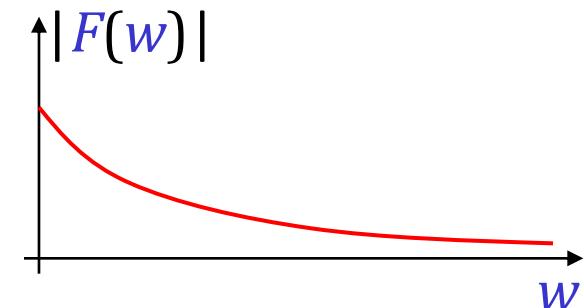
# Fractal Brownian Motion

## Modeling rough surfaces:

- Can be modeled as “Fractal Brownian Motion” (FBM).
- The signal  $f$  is a noise signal with known power spectrum.
- In the Fourier domain, we have:

$$|F(w)| \sim w^{-h},$$

i.e.: the energy in the spectrum decays with frequency.



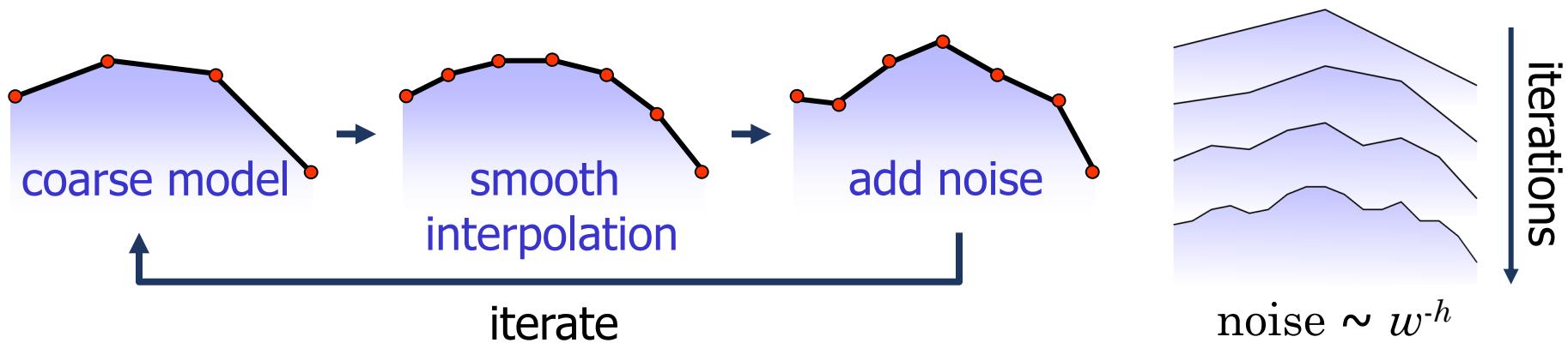
- The phase is purely random.
- The speed of decay  $h$  (typ.  $h \in [1..2]$ ) controls the roughness (“fractal exponent”).

# Stochastic Subdivision

## Modeling rough surfaces with subdivision:

- A coarse base mesh models the shape.
- Then apply a smooth subdivision scheme (e.g., Catmull-Clark)
- At each subdivision level, add random noise to the new control points, with amplitude proportional to  $2^{-hl}$ .
- Idea:
  - The large subdivision steps (small  $l$ ) control the low frequency bands, the small scale steps control the higher frequencies.
  - Create an FBM spectrum function incrementally.

# Stochastic Subdivision

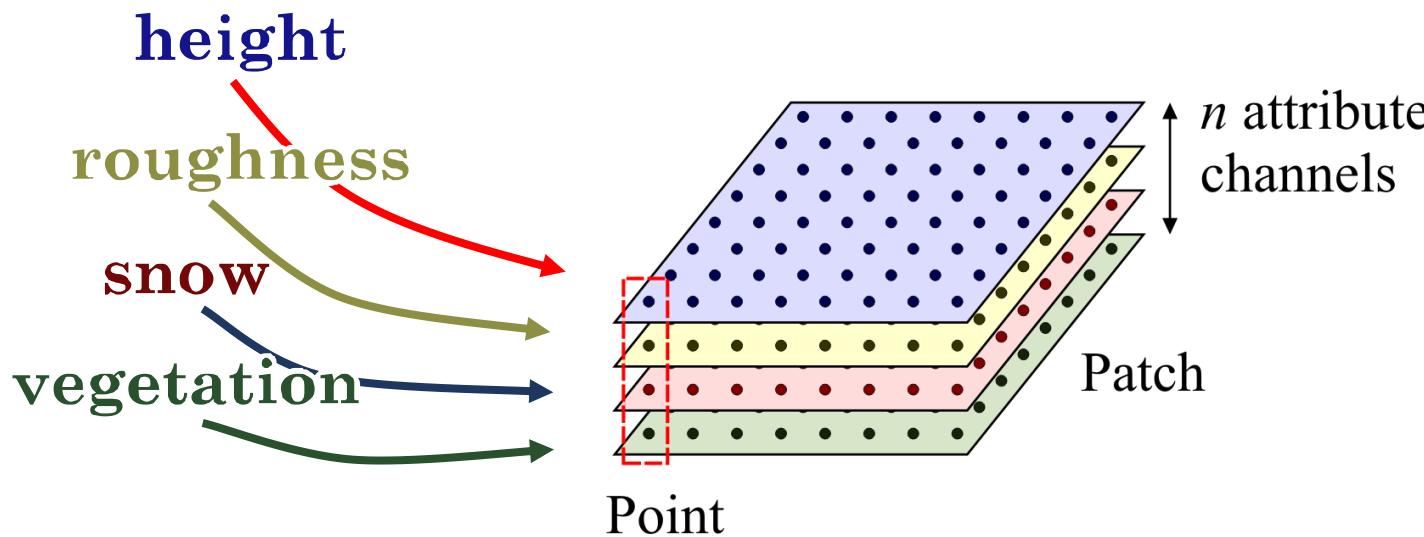


# Example

## Example Application:

- Creating “nice looking” landscapes with “multi-channel fractals”.
- This is rather art than science...

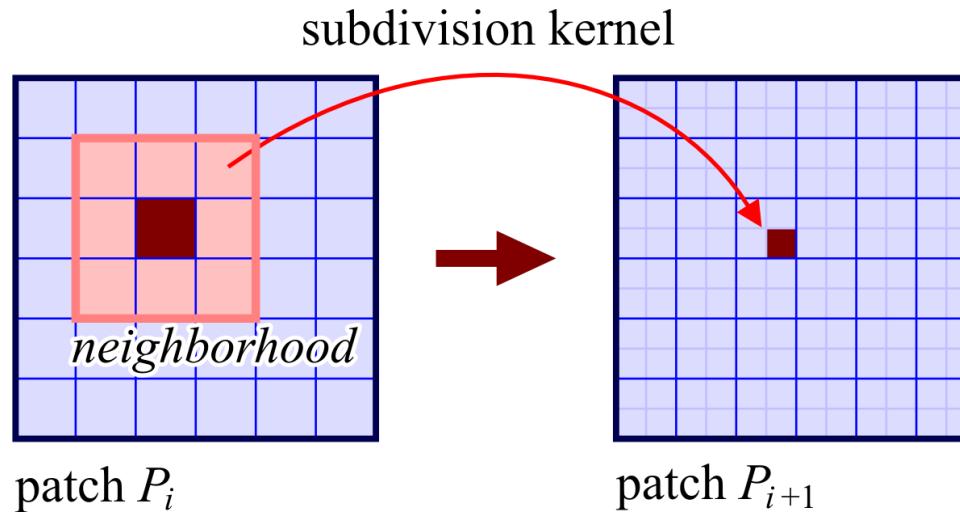
# Multi-Channel Fractals



## Modeling Primitive: Regular Patch

- Represent geometry as regularly sampled patches
- Multiple attributes per point

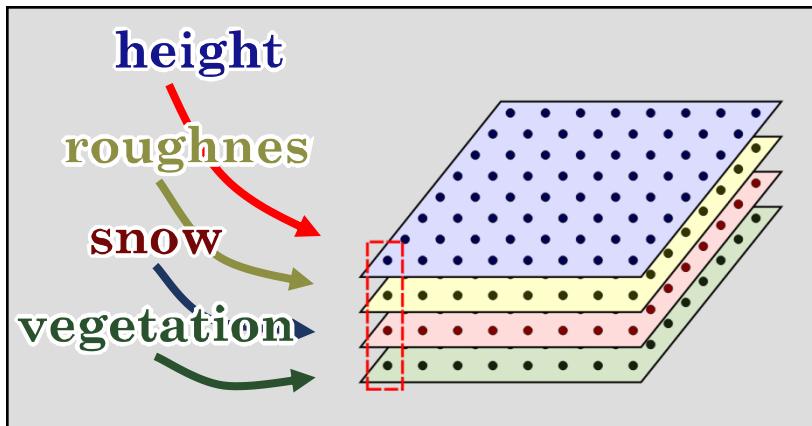
# Modeling by Subdivision



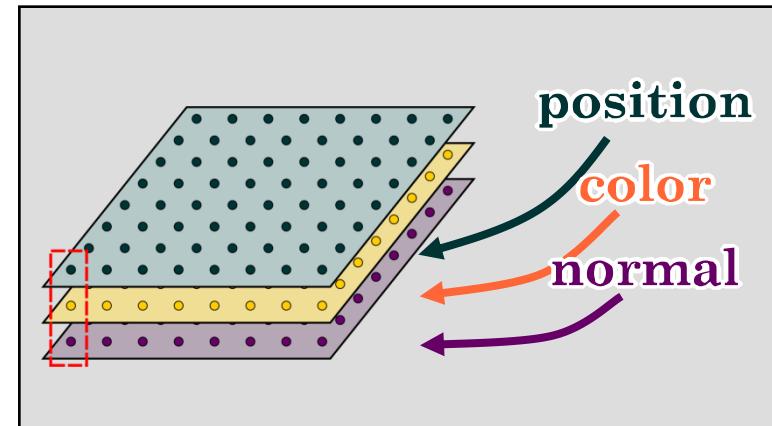
## Modeling by Subdivision:

- User defined initial patch
- Double resolution (iteratively)
- New values depend on local neighborhood

# Rendering Mapping



render-  
attribute  
mapping  
→



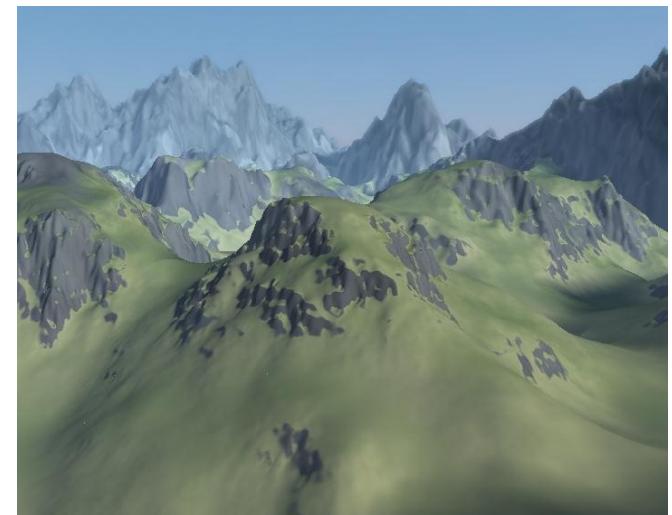
## Final Mapping before Rendering:

- Convert point attributes to rendering attributes
- Transform from “semantic” to “visual” representation

# Fractal Modeling

## Multi-Channel Fractals:

- Channels for *height*, *roughness*, *vegetation*, etc.
- Each channel is a fractal “heightfield”
- Mutual influence of channels during subdivision
  - roughness → noise decay
  - height → roughness
  - vegetation → roughness
  - height → vegetation



# Example



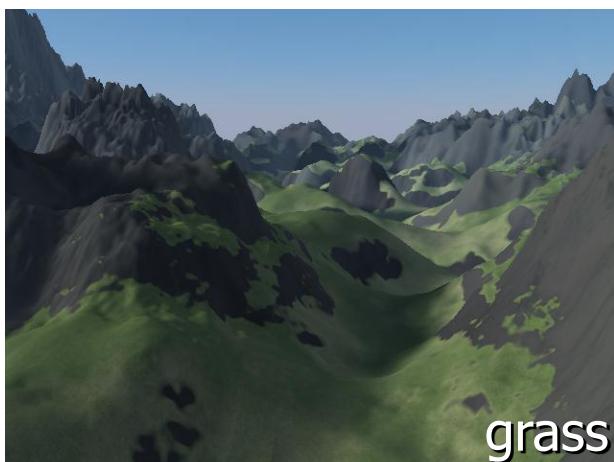
smoothing



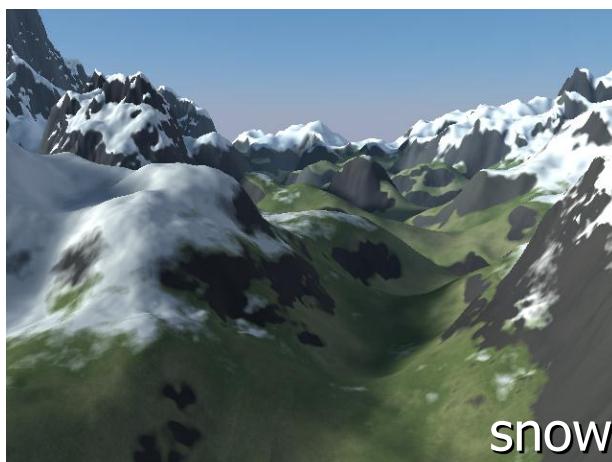
noise



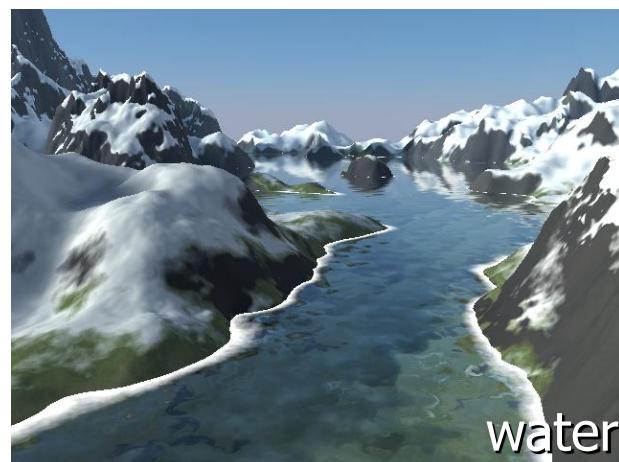
non-stationary



grass



snow



water