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# Computer Graphics

## - Rasterization & Clipping -

**Hendrik Lensch**

# Overview

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- **Last lecture:**
  - Camera Transformations
  - Projection
- **Today:**
  - Rasterization of Lines and Triangles
  - Clipping
- **Next lecture:**
  - OpenGL

# Rasterization

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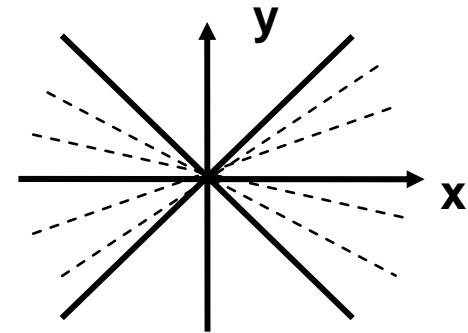
- **Definition**
  - Given a primitive (usually 2D lines, circles, polygons), specify which pixels on a raster display are covered by this primitive
  - Extension: specify what part of a pixel is covered
    - filtering & anti-aliasing
- **OpenGL lecture**
  - From an application programmer's point of view
- **This lecture**
  - From a graphics package implementer's point of view
- **Usages of rasterization in practice**
  - 2D-raster graphics
    - e.g. Postscript
  - 3D-raster graphics
  - 3D volume modeling and rendering
  - Volume operations (CSG operations, collision detection)
  - Space subdivision
    - Construction and traversing

# Rasterization

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- **Assumption**

- Pixels are sample *points* on a 2D-integer-grid
  - OpenGL: integer-coordinate bottom left; X11, Foley: in the center
- Simple raster operations
  - Just setting pixel values
    - Antialiasing later
- Endpoints at pixel coordinates
  - simple generalization with fixed point
- Limiting to lines with gradient  $|m| \leq 1$ 
  - Separate handling of horizontal and vertical lines
  - Otherwise exchange of x & y:  $|1/m| \leq 1$
- Line size is one pixel
  - $|m| \leq 1$ : 1 pixel per column (X-driving axis)
  - $|m| > 1$ : 1 pixel per row (Y-driving axis)



# Lines: As Functions

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- **Specification**

- Initial and end points:  $(x_0, y_0), (x_e, y_e)$
- Functional form:  $y = mx + B$  with  $m = dy/dx$

- **Goal**

- Find pixels whose distance to the line is smallest

- **Brute-Force-Algorithm**

- It is assumed that +X is the driving axis

```
for  $x_i = x_0$  to  $x_e$   
     $y_i = m * x_i + B$   
    setpixel( $x_i$ , Round( $y_i$ )) // Round( $y_i$ )=Floor( $y_i+0.5$ )
```

- **Comments**

- Variables  $m$  and  $y_i$  must be calculated in floating-point
- Expensive operations per pixel (e.g. in HW)

# Lines: DDA

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- **DDA: Digital Differential Analyzer**
  - Origin of solvers for simple incremental differential equations (the Euler method)
    - Per step in time:  $x' = x + dx/dt$ ,  $y' = y + dy/dt$
- **Incremental algorithm**
  - Per pixel
    - $x_{i+1} = x_i + 1$
    - $y_{i+1} = m (x_i + 1) + B = y_i + m$
    - `setpixel(xi+1, Round(yi+1))`
- **Remark**
  - Utilization of line **coherence** through **incremental** calculation
    - Avoid the costly multiplication
  - Accumulates error over the length of the line
  - Floating point calculations may be moved to fixed point
    - Must control accuracy of fixed point representation

# Lines: Bresenham ('63)

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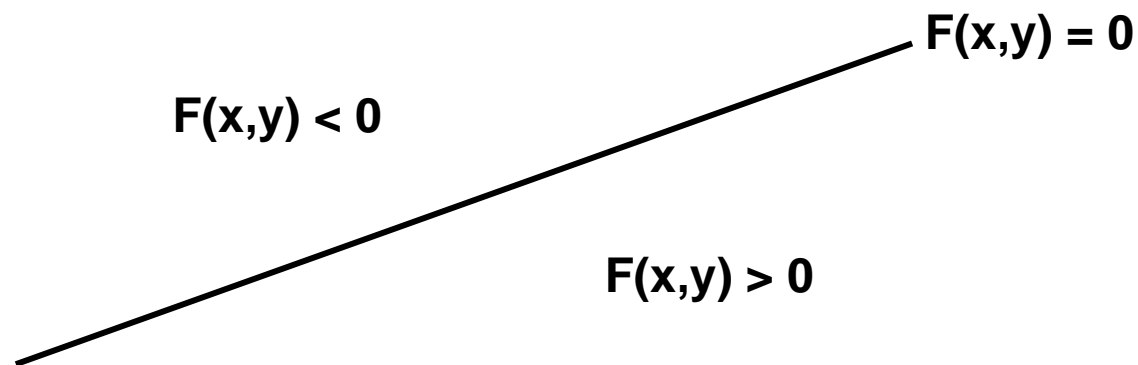
- **DDA analysis**

- Critical point: decision by rounding up or down
- Integer-based decision through implicit functions

- **Implicit version**

$$F(x, y) = dy x - dx y + dx B = 0$$

$$F(x, y) = ax + by + c = 0 \quad \text{where } a = dy, b = -dx, c = Bdx$$



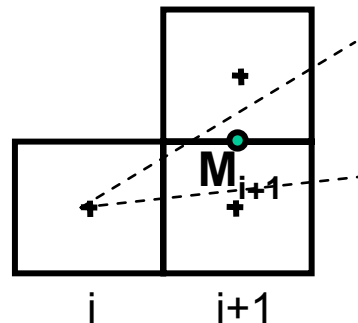
# Lines: Bresenham

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- **Decision variable (the midpoint formulation)**

- Measures the vertical distance of midpoint from line:

$$d_{i+1} = F(M_{i+1}) = F(x_i+1, y_i+1/2) = a(x_i+1) + b(y_i+1/2) + c$$



- **Preparations for the next pixel**

- if ( $d_i \leq 0$ )
  - $d_{i+1} = d_i + a = d_i + dy$  // incremental calculation
- else
  - $d_{i+1} = d_i + a + b = d_i + dy - dx$
  - $y = y + 1$
- $x = x + 1$



# Lines: Integer Bresenham

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- **Initialization**

- $d_{\text{start}} = F(x_0+1, y_0+1/2) = a(x_0+1) + b(y_0+1/2) + c$   
 $= ax_0 + by_0 + c + a + b/2 = F(x_0, y_0) + a + b/2$   
 $= a + b/2$

- Because  $F(x_0, y_0)$  is zero by definition (line goes through end point)
    - Pixel is always set

- **Elimination of fractions**

- Any positive scale factor maintains the sign of  $F(x,y)$
  - $F(x_0, y_0) = 2(ax_0 + by_0 + c) \rightarrow d_{\text{start}} = 2a + b$

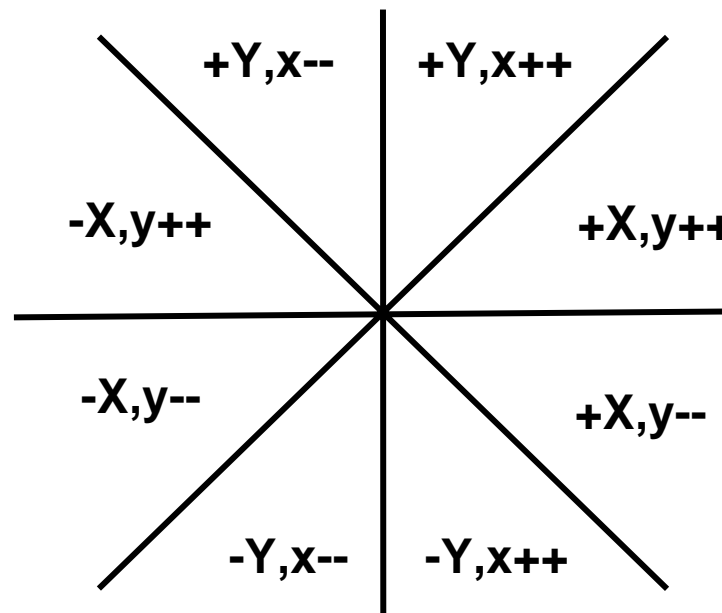
- **Observation:**

- When the start and end points have integer coordinates then  **$b = dx$**  and  **$a = -dy$**  have also integer values
  - Floating point computation can be eliminated
  - No accumulated error

# Lines: Arbitrary Directions

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- **8 different cases**
  - Driving (active) axis:  $\pm X$  or  $\pm Y$
  - Increment/decrement of  $y$  or  $x$ , respectively



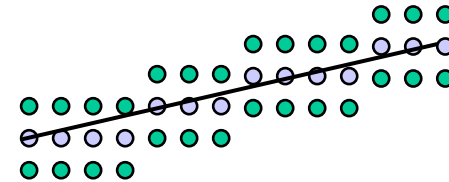
# Thick Lines

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- **Pixel replication**



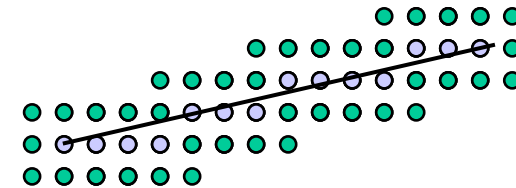
- Problems with even-numbered widths,
- Varying intensity of a line as a function of slope



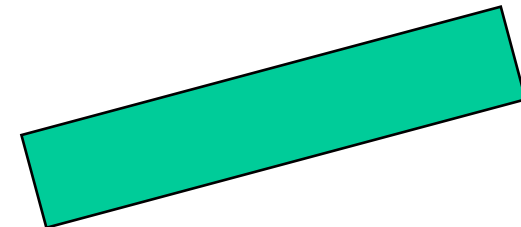
- **The moving pen**



- For some pen footprints the thickness of a line might change as a function of its slope
- Should be as „round“ as possible



- **Filling areas between boundaries**



# Reminder: Polygons

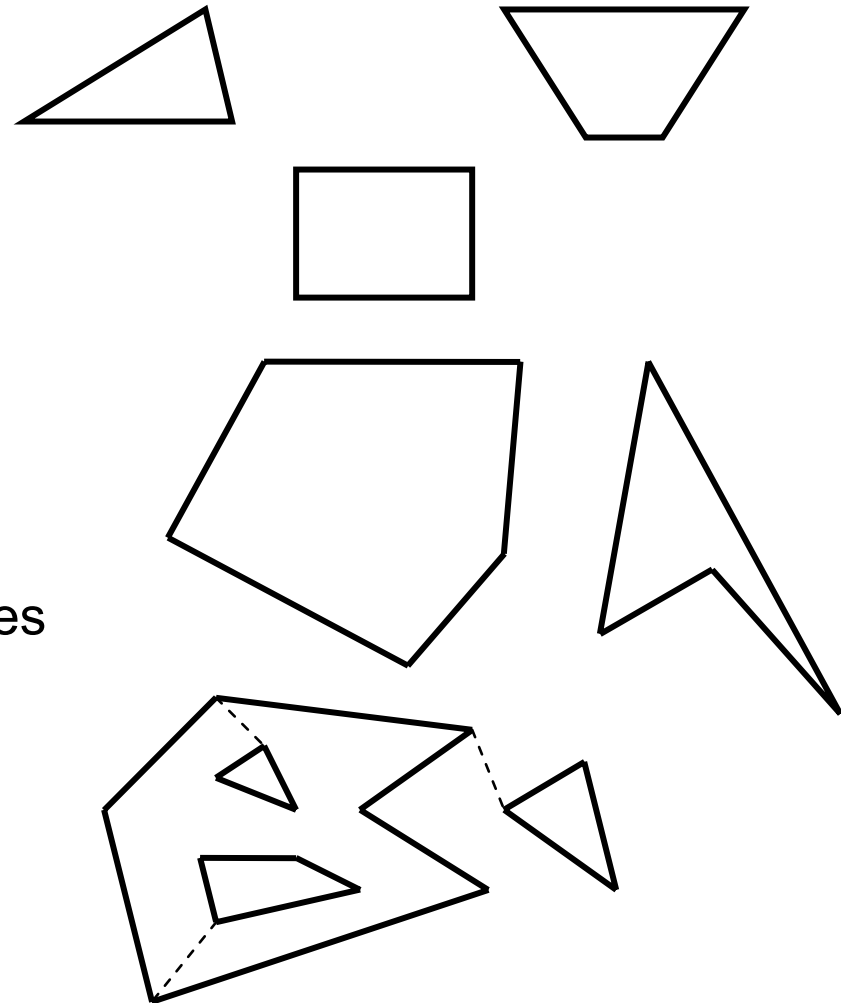
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- **Types**

- Triangles
- Trapezoids
- Rectangles
- Convex polygons
- Concave polygons
- Arbitrary polygons
  - Holes
  - Non-coherent

- **Two approaches**

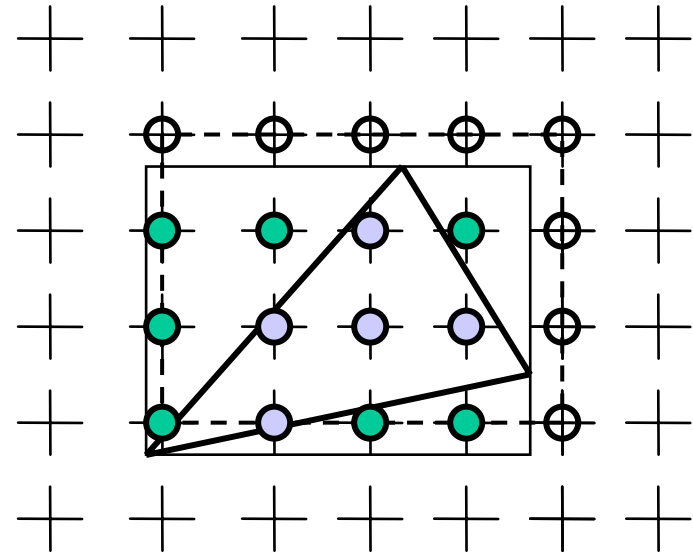
- Polygon tessellation into triangles
  - edge-flags for internal edges
- Direct scan-conversion



# Triangle Rasterization

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```
Raster3_box(vertex v[3])
{
    int x, y;
    bbox b;
    bound3(v, &b);
    for (y= b.ymin; y < b.ymax; y++)
        for (x= b.xmin; x < b.xmax; x++)
            if (inside(v, x, y))
                fragment(x,y);
}
```



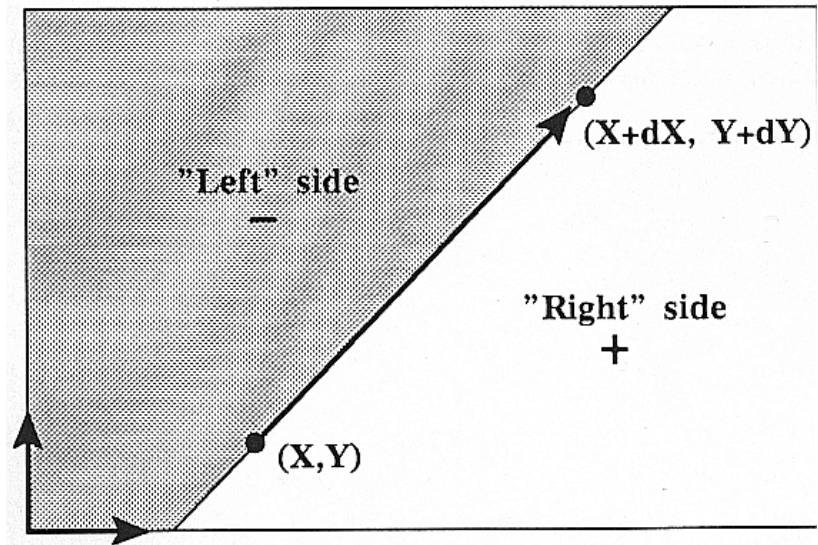
- **Brute-Force algorithm**
- **Possible approaches for dealing with scissoring**
  - Iterate over intersection of scissor box and bounding box, then test against triangle (as above)
  - Iterate over triangle, then test against scissor box

# Incremental Rasterization

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- **Approach**

- Implicit edge functions to describe the triangle  
 $F_i(x,y) = ax + by + c$
- Point inside triangle, if every  $F_i(x,y) \leq 0$
- Incremental evaluation of the linear function  $F$  by adding  $a$  or  $b$

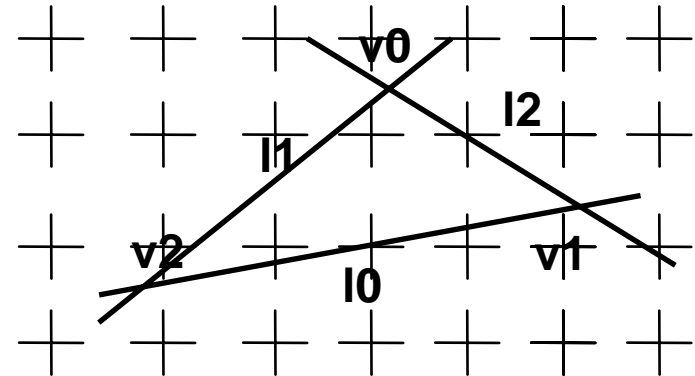


# Incremental Rasterization

```
Raster3_incr(vertex v[3])
{
    edge l0, l1, l2;
    value d0, d1, d2;
    bbox b;
    bound3(v, &b);
    mkedge(v[0],v[1],&l2);
    mkedge(v[1],v[2],&l0);
    mkedge(v[2],v[0],&l1);

    d0 = l0.a * b.xmin + l0.b * b.ymin + l0.c;
    d1 = l1.a * b.xmin + l1.b * b.ymin + l1.c;
    d2 = l2.a * b.xmin + l2.b * b.ymin + l2.c;

    for( y=b.ymin; y<b.ymax, y++ ) {
        for( x=b.xmin; x<b.xmax, x++ ) {
            if( d0<=0 && d1<=0 && d2<=0 ) fragment(x,y);
            d0 += l0.a; d1 += l1.a; d2 += l2.a;
        }
        d0 += l0.a * (b.xmin - b.xmax) + l0.b; . . . }
}
```



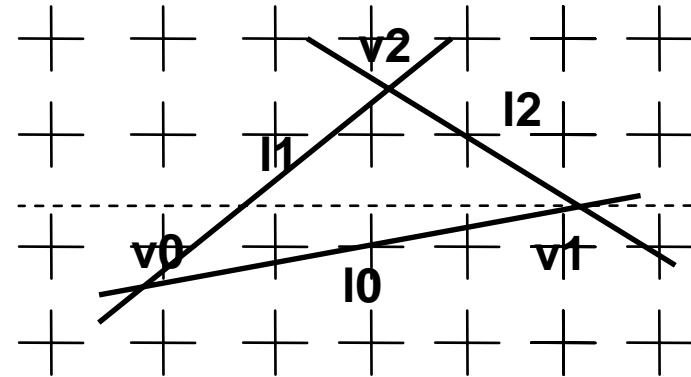
# Triangle Scan Conversion

```
Raster3_scan(vert v[3])
{
    int y;
    edge l, r;
    value ybot, ymid, ytop;

    ybot = ceil(v[0].y);
    ymid = ceil(v[1].y);
    ytop = ceil(v[2].y);

    differencey(v[0],v[2],&l,ybot);
    differencey(v[0],v[1],&r,ybot);

    for( y=ybot; y<ymid; y++ ) {
        scanx(l,r,y);
        l.x += l.dxdy; r.x += r.dxdy;
    }
    differencey(v[1],v[2],&r,ymid);
    for( y=ymid; y<ytop; y++ ) {
        scanx(l,r,y);
        l.x += l.dxdy; r.x += r.dxdy;
    }
}
```



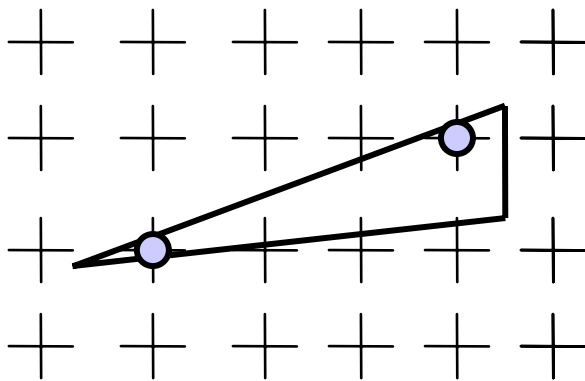
```
differencey(vert a, vert b,
            edge* e, int y) {
    e->dxdy=(b.x-a.x)/(b.y-a.y);
    e->x=a.x+(y-a.y)*e->dxdy;
}

scanx(edge l, edge r, int y){
    lx= ceil(l.x);
    rx= ceil(r.x);
    for (x=lx; x < rx; x++)
        // ggf. Scissor-Test
        fragment(x,y);
}
```

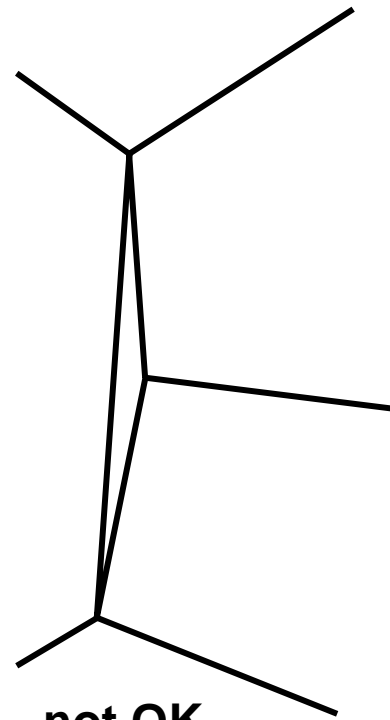


# Gap and T-Vertices

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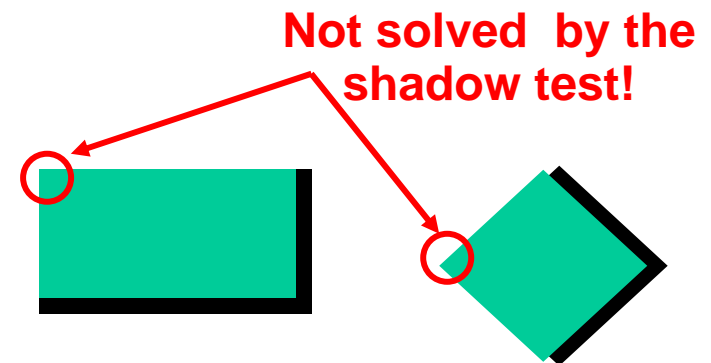
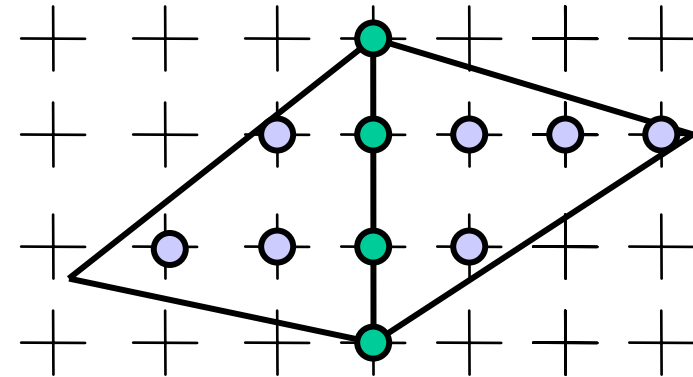
**OK**



**not OK**  
**Modeling problem**

# Problem on Edges

- **Singularity**
  - If term  $d = ax + by + c = 0$
  - Multiple pixels for  $d \leq 0$ :
    - Problem with some algorithms
      - transparency, XOR, CSG, ...
  - Missing pixels for  $d < 0$ :
- **Partial solution: shadow test**
  - Pixels are not drawn on the right and bottom edges
  - Pixels are drawn on the left and upper edges

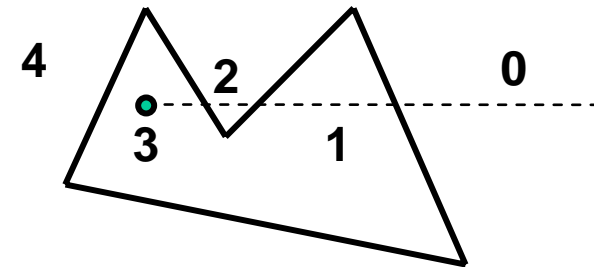


```
inside(value d, value a, value b) { // ax + by + c = 0
    return (d < 0) || (d == 0 && !shadow(a,b));
shadow(value a, value b) {
    return (a > 0) || (a == 0 && b > 0) }
}
```

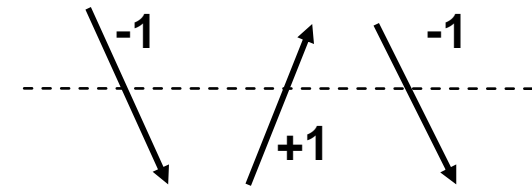
# Inside-Outside Tests

- **What is the interior of a polygon?**

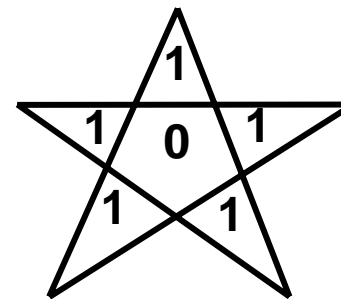
- Jordan Curve Theorem
  - Any continuous *simple* closed curve in the plane, separates the plane into two disjoint regions, the inside and the outside, one of which is bounded.
- Even-odd rule (odd parity rule)
  - Counting the number of edge crossings with a ray starting at the queried point **P**
  - Inside, if the number of crossings is odd
- Nonzero winding number rule
  - Signed intersections with a ray
  - Inside, if the number is not equal to zero
- Differences only in the case of non-simple curves (self-intersection)



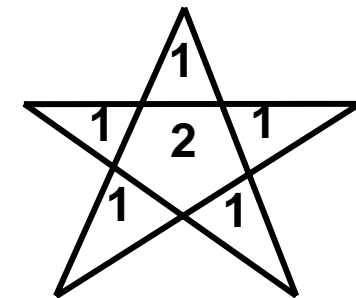
Even-Odd



Winding



Even-Odd



Winding

# Polygon Scan-Conversion

- **Special cases**

- Edge along a scanline

- shadow test:

- draw the upper edge

- skip the bottom edge

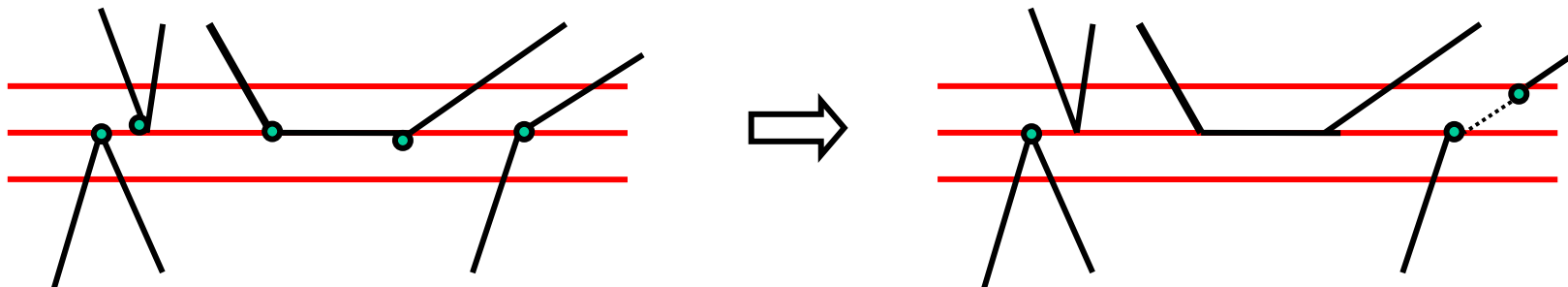
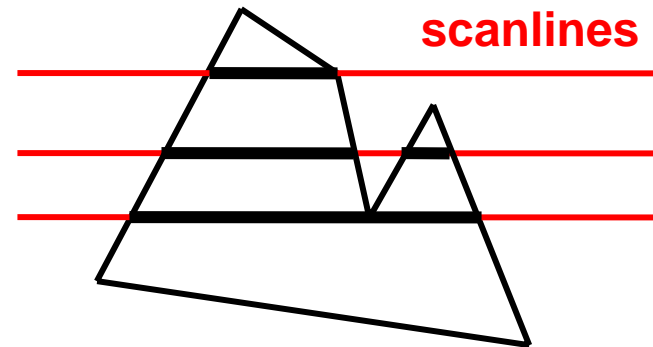
- Vertex at a scanline

- If edges sharing the vertex are located on the **same side** of the scanline – properly handled

- If edges sharing the vertex are located on the **opposite sides** of the scanline – one edge (bottom) is shortened: the  $y_{\min}/y_{\max}$  rule

- Complex situations

- In general use randomization: Offset point by  $\epsilon$

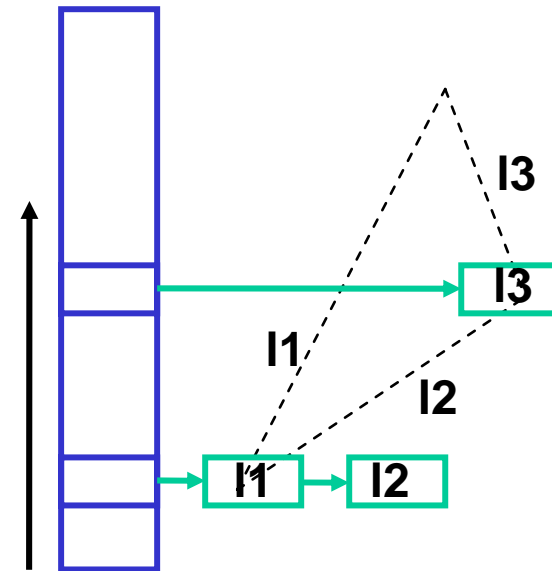


# Scanline Algorithm

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- **Incremental algorithm**

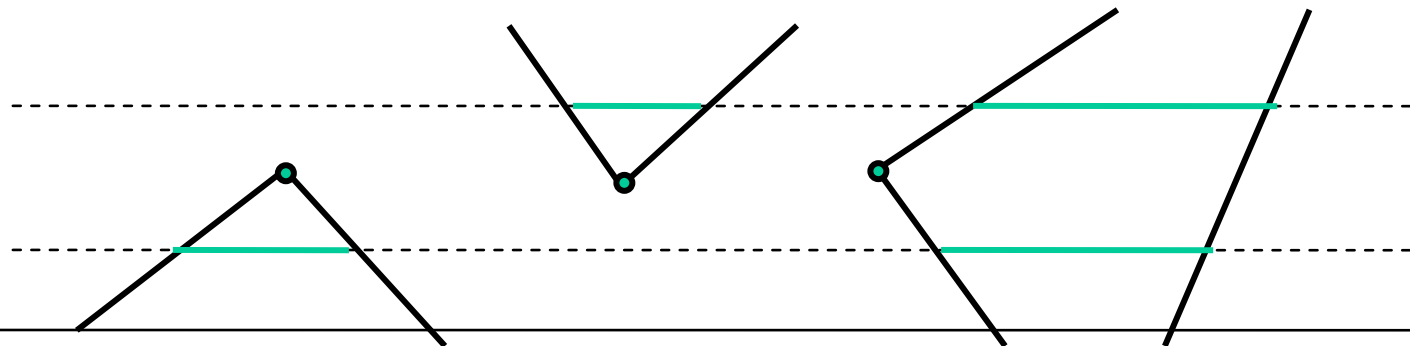
- Use the odd-even parity rule to determine that a point is inside a polygon
- Utilization of coherence
  - along the edges
  - on scanlines
  - „sweep-line-algorithm“
- **Edge-Table** initialization :
  - Bucket sort (one bucket for each scanline)
  - Edges ordered by  $x_{min}$
  - Linked list of **edge-entries**
    - $y_{max}$
    - $x_{min}$
    - $dx/dy$
    - link to triangle data



# Scanline Algorithm

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- **For each scan line**
  - Update the Active-Edge-Table
    - Linked-list of entries
      - Link to edge-entries,
      - $x$ , horizontal increment of depth, color, etc
    - Remove edges if their  $y_{max}$  is reached
    - Insert new edges (from Edge-Table)
  - Sorting
    - Incremental update of  $x$
    - Sorting by  $X$ -coordinate of the intersection point with scanline
  - Filling the gap between pairs of entries



# Clipping

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- **Motivation**

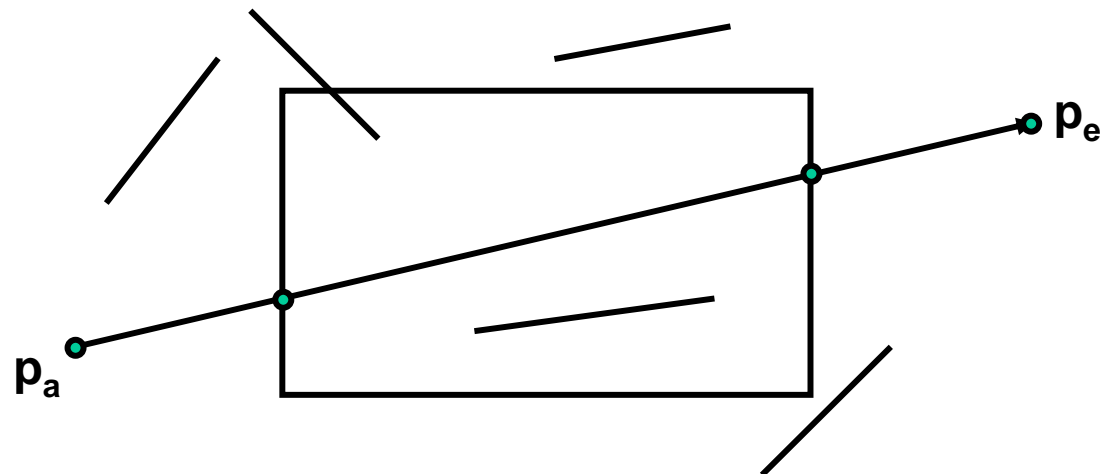
- Happens after transformation from 3D to 2D
- Many primitives will fall (partially) outside of display window
  - E.g. if standing inside a building
- Eliminates non-visible geometry early in the pipeline
- Must cut off parts outside the window
  - Cannot draw outside of window (e.g. plotter)
  - Outside geometry might not be representable (e.g. in fixed point)
- Must maintain information properly
  - Drawing the clipped geometry should give the correct results
  - Type of geometry might change
    - Cutting off a vertex of a triangle produces a quadrilateral
    - Might need to be split into triangle again
  - Polygons must remain closed after clipping

# Line clipping

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- **Definition Clipping:**

- Cut off parts of objects, which lie outside/inside of a defined region.
- Often: Clipping against a viewport (2D) or a canonical view-volume (3D)





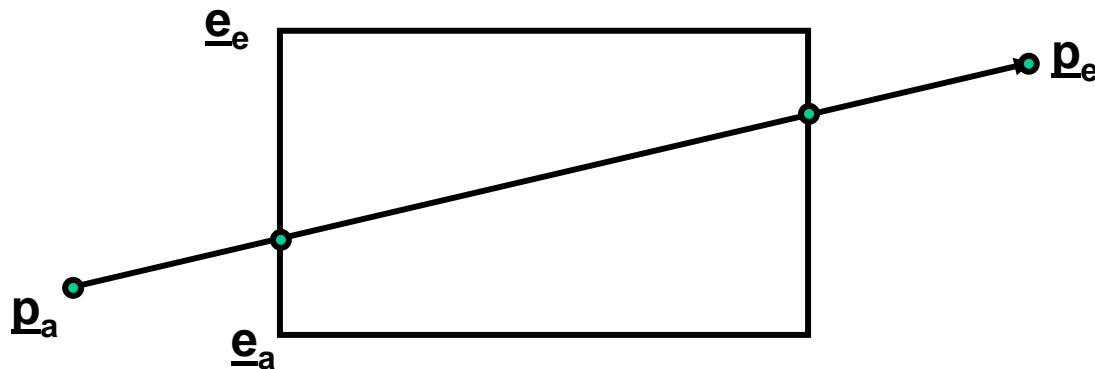
# Brute-force method

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- **Brute-Force line clipping at the viewport**
  - If both points  $\underline{p}_a$  and  $\underline{p}_e$  are inside,
    - Accept the whole line
  - Otherwise, clip the line at each edge

$$\underline{p} = \underline{p}_a + t_{line} (\underline{p}_e - \underline{p}_a) = \underline{e}_a + t_{edge} (\underline{e}_e - \underline{e}_a)$$

- Intersection point, if  $0 \leq t_{line}, t_{edge} \leq 1$
- Pick up suitable end points from the intersection points for the line



# Cohen-Sutherland ('74)

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- **Advantage: divide and conquer**

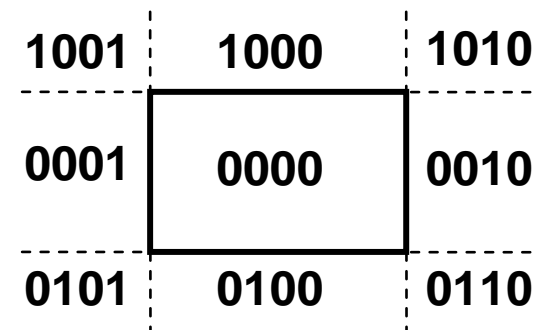
- Efficient trivial accept and trivial reject
- Non-trivial case: divide and test

- **Outcodes of points:**

- Bit encoding (**outcode**, OC)
  - Each edge defines a half space
  - Set bit, if point is outside

- **Trivial cases**

- Trivial accept:
  - $(OC(p_a) \text{ OR } OC(p_e)) = 0$
- Trivial reject:
  - $(OC(p_a) \text{ AND } OC(p_e)) \neq 0$
- Edges has to be clipped to all edges where bits are set:
  - $OC(p_a) \text{ XOR } OC(p_e)$



Bit order: **T**op, **B**ottom, Right, Left

**Viewport** ( $x_{\min}$ ,  $y_{\min}$ ,  $x_{\max}$ ,  $y_{\max}$ )

# Cohen-Sutherland

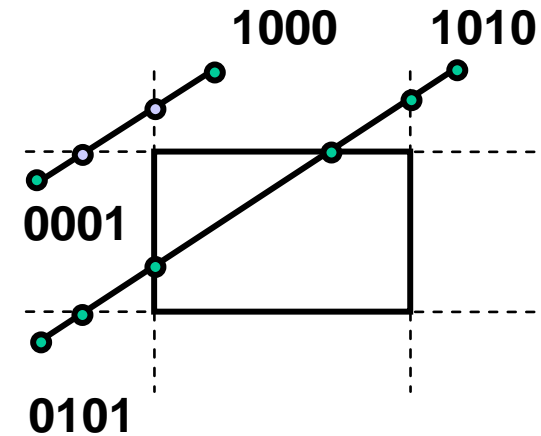
- **Clipping**

```
... // trivial cases
for each vertex p
  oc= OC(p)
  for each edge e
    if (oc[e]) {
      p= cut(p,e);
      oc= OC(p);
    }
  Reject, if point outside
```

- **Intersection calculation for  $x=x_{\min}$**

$$\frac{y - y_a}{y_e - y_a} = \frac{x - x_a}{x_e - x_a}$$

$$y = y_a + (x - x_a) \frac{y_e - y_a}{x_e - x_a}$$

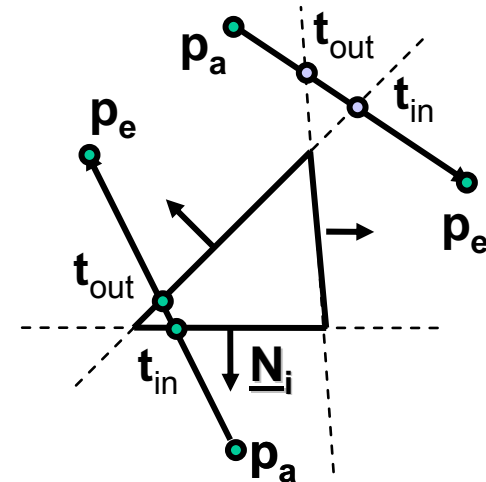


# Cyrus-Beck ('78) Clipping against Polygons

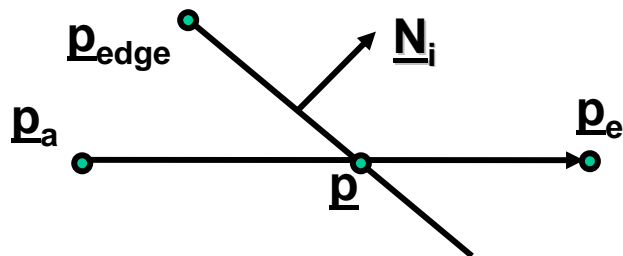
- **Parametric line-clipping algorithm**
  - Only convex polygons: max. 2 intersection points
  - Use edge orientation

- **Idea:**

- Clipping line  $\underline{p}_a + t_i(\underline{p}_e - \underline{p}_a)$  with each edge
- Intersection points sorted by parameter  $t_i$
- Select
  - $t_{in}$ : entry point ( $(\underline{p}_e - \underline{p}_a) \cdot \underline{N}_i < 0$ ) with largest  $t_i$  and
  - $t_{out}$ : exit point ( $(\underline{p}_e - \underline{p}_a) \cdot \underline{N}_i > 0$ ) with smallest  $t_i$
- If  $t_{out} < t_{in}$ , line lies completely outside



- **Intersection calculation:**



$$\begin{aligned}
 (p - p_{edge}) \cdot N_i &= 0 \\
 t_i(p_e - p_a) \cdot N_i + (p_a - p_{edge}) \cdot N_i &= 0 \\
 t_i &= \frac{(p_{edge} - p_a) \cdot N_i}{(p_e - p_a) \cdot N_i}
 \end{aligned}$$

# Liang-Barsky ('84)

- **Cyrus-Beck for axis-parallel rectangles**

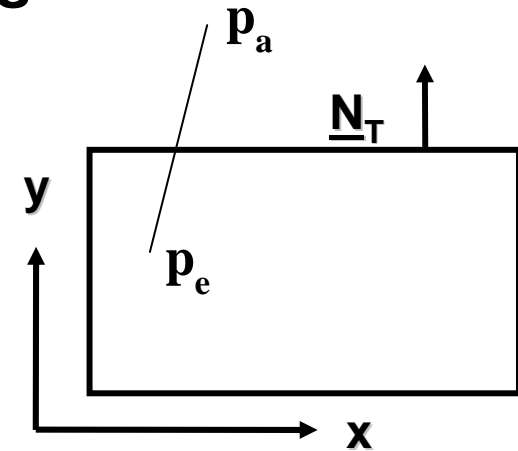
- Using Window-Edge-Coordinates (with respect to an edge T)

$$WEC_T(p) = (p - p_T) \cdot N_T$$

- **Example: top ( $y = y_{max}$ )**

$$N_T = \begin{pmatrix} 0 \\ 1 \end{pmatrix}, p_a - p_T = \begin{pmatrix} x_a - x_{max} \\ y_a - y_{max} \end{pmatrix}$$

$$t_T = \frac{(p_a - p_T) \cdot N_T}{(p_a - p_e) \cdot N_T} = \frac{WEC_T(p_a)}{WEC_T(p_a) - WEC_T(p_e)} = \frac{y_a - y_{max}}{y_a - y_e}$$



- Window-Edge-Coordinate (WEC): Decision function for an edge
  - Directed distance to edge
    - Only sign matters, similar to Cohen-Sutherland opcode
  - Sign of the dot product determines whether the point is in or out
  - Normalization unimportant

# Line clipping - Summary

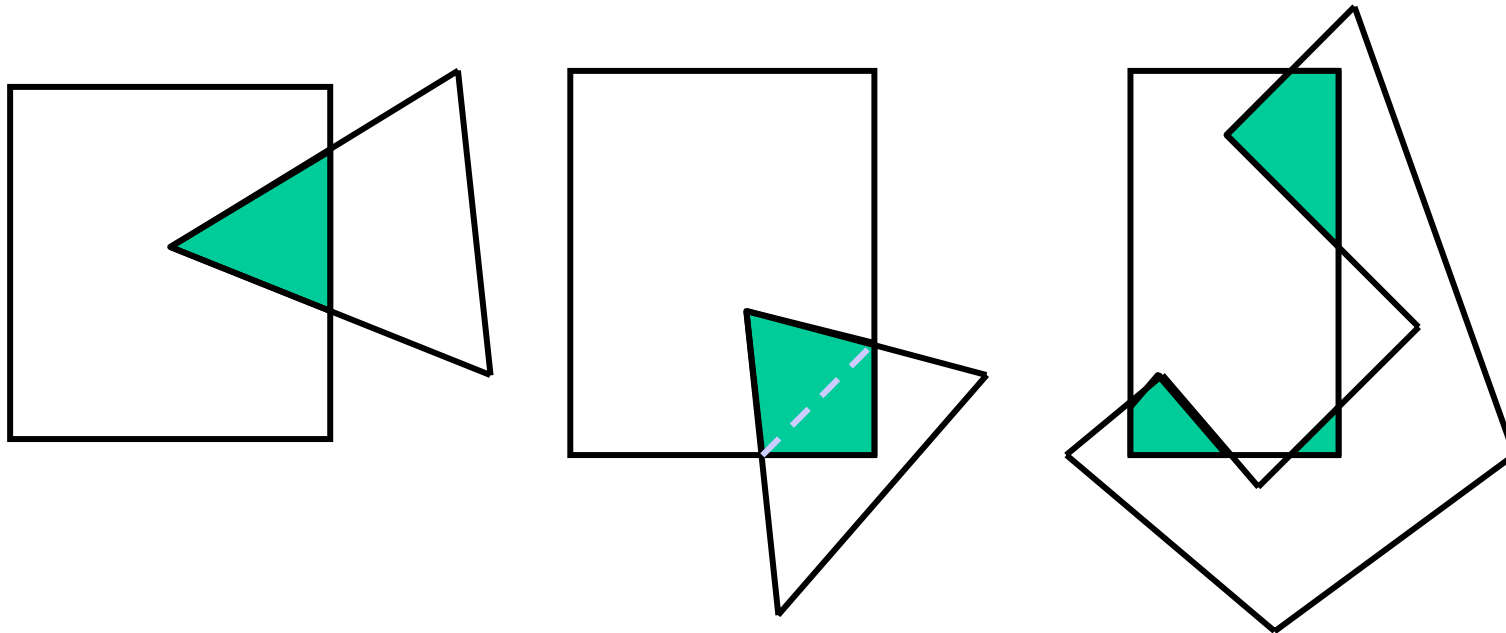
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- **Cohen-Sutherland, Cyrus-Beck, and Liang-Barsky algorithms readily extend to 3D**
- **Cohen-Sutherland algorithm**
  - + Efficient when a majority of lines can trivially be accepted or rejected
    - Very large clip rectangles: almost all lines inside
    - Very small clip rectangles: almost all lines outside
  - Repeated clipping for remaining lines
  - Testing for 2D/3D point coordinates
- **Cyrus-Beck (Liang-Barsky) algorithms**
  - + Efficient when many lines must be clipped
  - + Testing for 1D parameter values
  - Testing intersections always for all clipping edges (in the Liang-Barsky trivial rejection testing possible)

# Polygon Clipping

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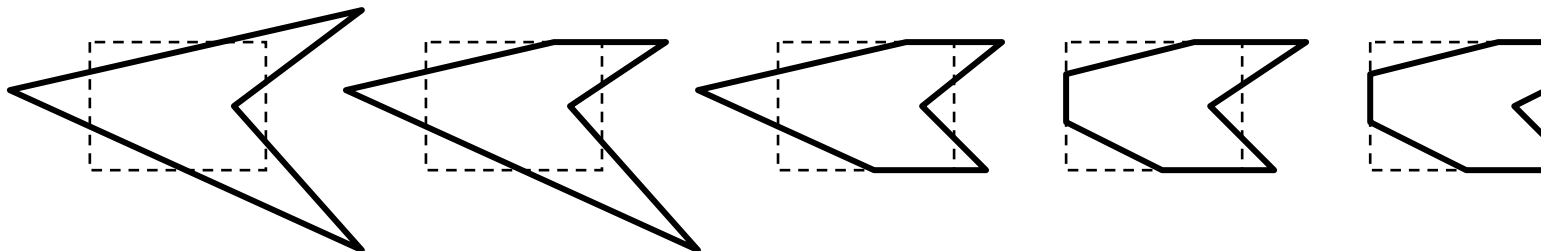
- **Extending line clipping**
  - Polygons have to remain closed
    - Filling, hatching, shading, ...



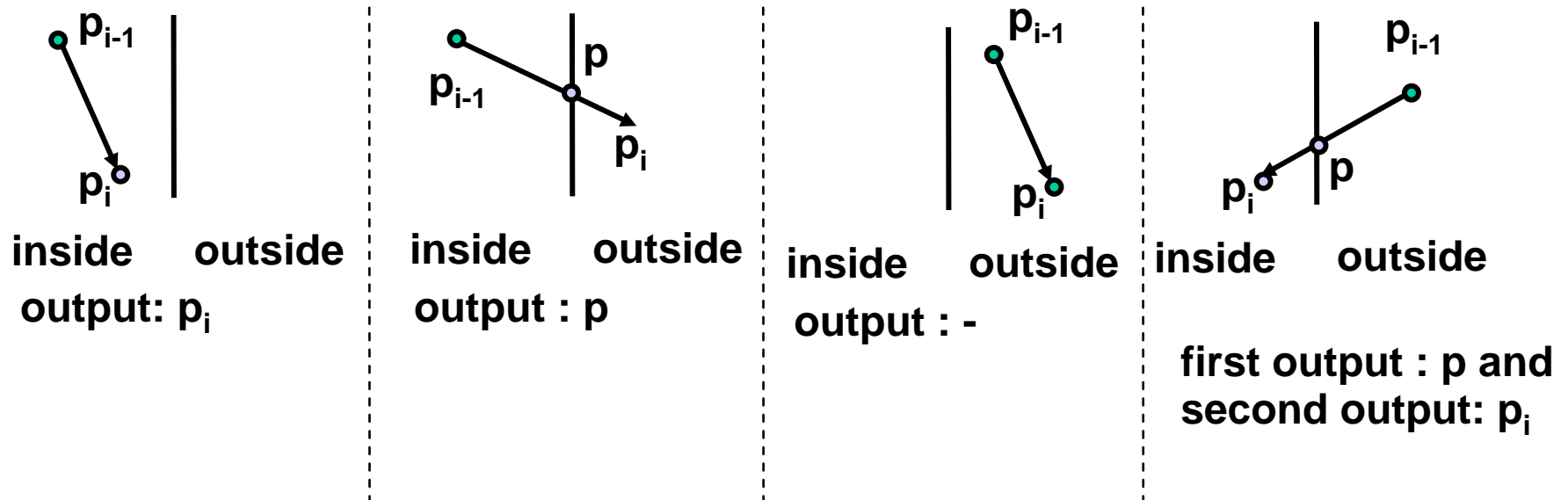
# Sutherland-Hodgeman ('74)

- **Idea:**

- Iterative clipping against each clipping line



- Local operations on  $p_{i-1}$  and  $p_i$

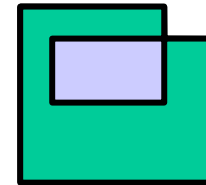




# Other clipping algorithms

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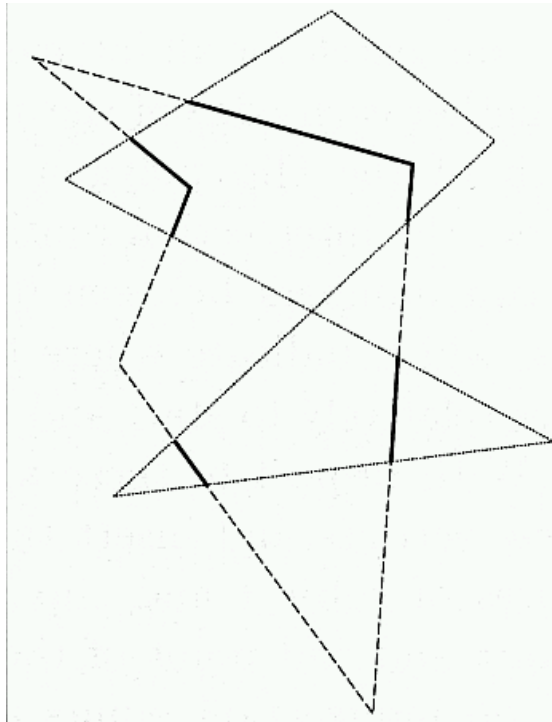
- **Weiler & Atherton ('77)**
  - Arbitrary concave polygons with holes against each other
- **Vatti ('92)**
  - Also with self-overlap
- **Greiner & Hormann (TOG '98)**
  - Simpler and faster as Vatti
  - Also supports boolean operations
  - Idea:
    - Odd winding number rule
      - Intersection with the polygon leads to a winding number  $\pm 1$
    - Walk along both polygons
    - Alternate winding number
    - Mark point of entry and point of exit
    - Combine results



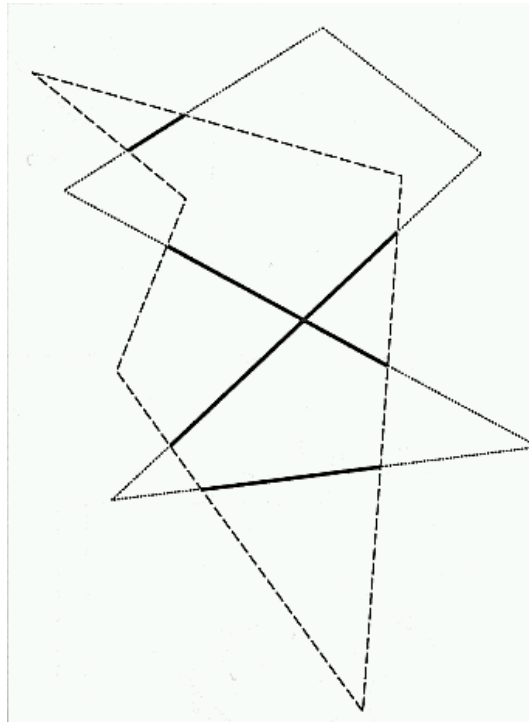
Non-zero WN: In  
Even WN: Out

# Greiner & Hormann

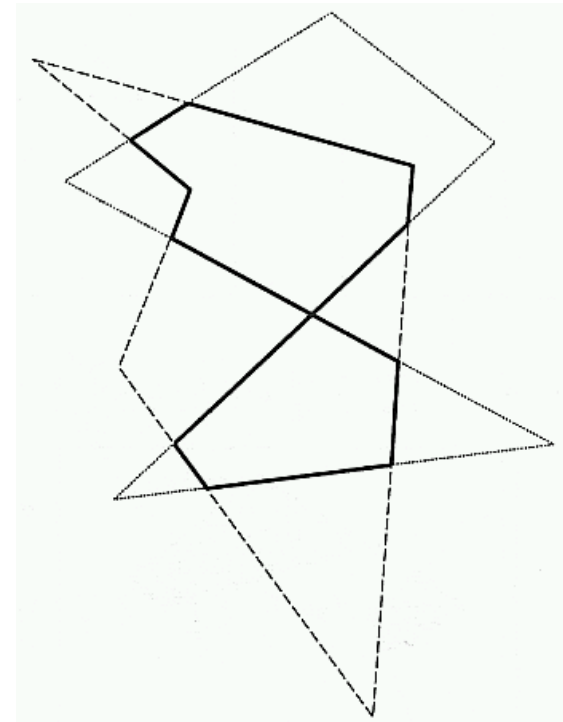
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A in B



B in A



(A in B) U (B in A)

# 3D Clipping against View Volume

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- **Requirements**
  - Avoid unnecessary rasterization
  - Avoid overflow on transformation at fixed point !
- **Clipping against viewing frustum**
  - Enhanced Cohen-Sutherland with 6-bit outcode
  - After perspective division
    - $-1 < y < 1$
    - $-1 < x < 1$
    - $-1 < z < 0$
  - Clip against side planes of the viewing frustum
  - Works analogous with Liang-Barsky or Sutherland-Hodgeman

# 3D Clipping against View Volume

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- **Clipping in homogeneous coordinates**

- Avoid division by  $w$
- Inside test with a linear distance function (WEC)
  - Left:  $X/W > -1$                        $\rightarrow W+X = WEC_L(\underline{p}) > 0$
  - Top:  $Y/W < 1$                          $\rightarrow W - Y = WEC_T(\underline{p}) > 0$
  - Back:  $Z/W > -1$                        $\rightarrow W+Z = WEC_B(\underline{p}) > 0$
  - ...
- Intersection point calculation (before homogenizing)
  - Test:  $WEC_L(\underline{p}_a) > 0$  and  $WEC_L(\underline{p}_e) < 0$
  - Calculation:

$$WEC(\underline{p}_{\underline{a}} + t(\underline{p}_{\underline{e}} - \underline{p}_{\underline{a}})) = 0$$

$$W_a + t(W_e - W_a) + X_a + t(X_e - X_a) = 0$$

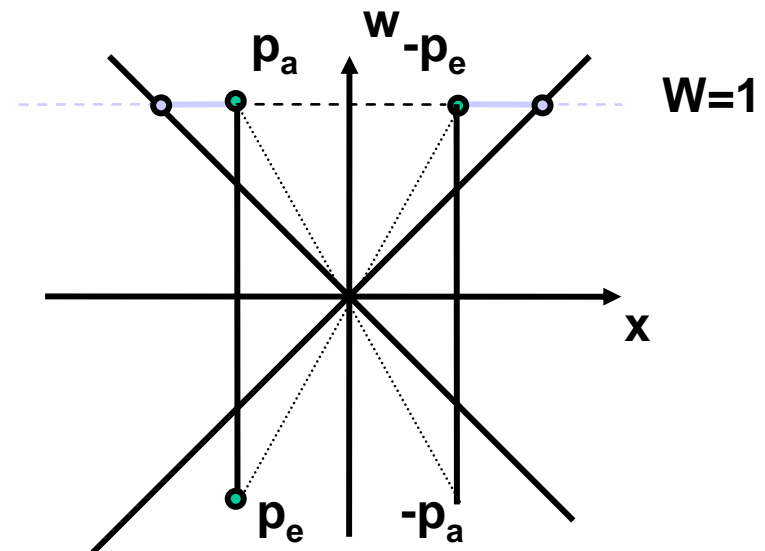
$$t = \frac{W_a + X_a}{(W_a + X_a) - (W_e + X_e)} = \frac{WEC_L(\underline{p}_{\underline{a}})}{WEC_L(\underline{p}_{\underline{a}}) - WEC_L(\underline{p}_{\underline{e}})}$$

# Problems with Homog. Coord.

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- **Negative w**

- Points with  $w < 0$  or lines with  $w_a < 0$  and  $w_e < 0$ 
  - Negate and continue
- Lines with  $w_a \cdot w_e < 0$  (NURBS)
  - Line moves through infinity
    - External line
  - Clipping two times
    - Original Line
    - Negated line
  - Generates up to two segments



# Practical Implementations

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- **Combining clipping and scissoring**
  - Clipping is expensive and should be avoided
    - Intersection calculation
    - Variable number of new points
  - Enlargement of clipping region
    - Larger than viewport, but
    - Still avoiding overflow due to fixed-point representation
  - Result
    - Less clipping
    - Applications should avoid drawing objects which are lying outside of the viewing frustum
    - Objects which are lying partially outside will be clipped implicitly during rasterization.

