# **Statistical Geometry Processing**

#### Winter Semester 2011/2012



### (Deformable) Shape Matching







### **Rigid Shape Matching**

### **Iterated Closest Points (ICP)**



#### The main idea:

- Pairwise matching technique
  - Registers two scans
  - Multi-part matching is a different story (more on this later)
- We want to minimize the distance between the two parts
  - We set up a variational problem
  - Minimize distance "energy" by rigid motion of one part

# **Iterated Closest Points (ICP)**

#### **Problem:**

- How to compute the distance
- This is simple if we know the *corresponding points*.
  - Of course, we have in general no idea of what corresponds...
- ICP-idea: set closest point as corresponding point
- Full algorithm:
  - Compute closest point points
  - Minimize distance to these closest points by a rigid motion
  - Recompute new closest points and iterate

### **Closest Points**





#### **Closest points distances:**



### Iteration



# **Variational Formulation**

#### **Variational Formulation:**

$$\underset{\mathbf{t}\in\mathbb{R}^{3}}{\operatorname{argmin}} \int_{B} dist(\mathbf{Rx} + \mathbf{t}, A)^{2} d\mathbf{x} \approx \underset{\mathbf{t}\in\mathbb{R}^{3}}{\operatorname{argmin}} \sum_{i=1}^{n} (\mathbf{Rp}_{i}^{(A)} + \mathbf{t} - \mathbf{p}_{nearest(i)}^{(B)})^{2}$$

Variables: Orthogonal matrix R, translation vector t

# **Numerical Solution**

### **Question:** How to minimize this energy?

- The energy is quadratic
- There is only one problem...-
  - Constraint optimization
  - We have to use an orthogonal matrix...
- This problem can (still) be solved exactly.



## Solution

#### First step: computing the translation

• Easy to see: average translation is optimal (c.f. total least squares)

• 
$$\mathbf{t} = \frac{1}{n} \sum_{i=1}^{n} \mathbf{p}_{i}^{(A)} - \mathbf{p}_{nearest(i)}^{(B)}$$

• This is independent of the rotation

### Second step: compute the rotation

- (2a) Compute optimal linear map
- (2b) Orthogonalize

# **Optimal Linear Map**

#### First:

- Subtract translation from points  $\widetilde{\mathbf{p}}_{i}^{(A)} = \mathbf{p}_{i}^{(A)} \mathbf{t}$
- Then: Solve an unconstrained least-squares problem

$$\forall i = 1..n: \mathbf{M} \widetilde{\mathbf{p}}_{i}^{(A)} = \mathbf{p}_{nearest(i)}^{(B)}$$

$$\forall i = 1..n: \begin{pmatrix} m_{1,1} & m_{2,1} & m_{3,1} \\ m_{1,2} & m_{2,2} & m_{3,2} \\ m_{1,3} & m_{2,3} & m_{3,3} \end{pmatrix} \widetilde{\mathbf{p}}_{i}^{(A)} = \mathbf{p}_{nearest(i)}^{(B)}$$

$$(9 \text{ variables})$$

 Finally: compute the orthogonal matrix R that is closest to M.

# **Least-Squares Optimal Rotation**

# How to compute a least-squares (Frobenius norm) orthogonal matrix that fits a general matrix:

- Compute the SVD: M = UDV<sup>T</sup>
- The least-squares orthogonal fit is: R = UV<sup>T</sup> (just set all singular values to one)
- We can compute this in one step:
  - Solve the least-squares matrix fitting problem using SVD
  - Omit the diagonal matrix straight ahead

### Generalizations

#### **Convergence speed:**

- Convergence of basic "*point-to-point*" ICP is not so great
  - Typically: 20-50 iterations for simple examples
  - Problem: Zero-th order method (flip point correspondences in each step)
- Improvement: "point-to-plane" ICP
  - First order approximation
  - Match points to tangential planes rather than points
  - Converges much faster (3-5 iterations for similar examples)

### Implementation



 $\underset{\mathbf{t}\in\mathbb{R}^{3}}{\operatorname{argmin}} \int_{B} \langle \mathbf{R}\mathbf{x} + \mathbf{t} - \operatorname{nearest}(A), \mathbf{n}(\operatorname{nearest}(A)) \rangle^{2} d\mathbf{x}$ 

 $\approx \underset{\substack{\mathbf{R} \in SO(3), \\ \mathbf{t} \in \mathbb{R}^{3}}}{\operatorname{argmin}} \sum_{i=1}^{n} \left\langle \mathbf{R} \mathbf{p}_{i}^{(A)} + \mathbf{t} - \mathbf{p}_{nearest(i)}^{(B)}, \mathbf{n}_{nearest(i)}^{(B)} \right\rangle^{2}$ 

### Implementation



#### **Implementation:**

- We need normals for each point (unoriented)  $\rightarrow$  kNN+PCA
- Compute closest point, project distance vector to its normal
- Minimize the sum of all such distances:

$$\underset{\mathbf{t}\in\mathbb{R}^{3}}{\operatorname{argmin}} \sum_{i=1}^{n} \left\langle \mathbf{R}\mathbf{p}_{i}^{(A)} + \mathbf{t} - \mathbf{p}_{nearest(i)}^{(B)}, \mathbf{n}_{nearest(i)}^{(B)} \right\rangle^{2}$$

### Comparison



#### *Point-to-plane:* 3 iterations









(much more accurate result)

(accuracy problems)

# Implementation

### **Problem:**

 No closed form solution for the optimal rotation with point-to-plane correspondences

### Solution:

- Numerical solution
- Setup non-linear optimization problem (rotation, translation = 6 parameters)
- Use non-linear optimization technique
- Remaining problem: *Parametrization of the rotations* 
  - Trouble with singularities (spherical topology)

# **Local Linearization**

#### Standard technique: local linearization

- Transformation: T(x) = Rx + t
- Linearize rotations:

 $T_{\alpha,\beta,\gamma} = \begin{pmatrix} \cos(\alpha) & \sin(\alpha) & 0 \\ -\sin(\alpha) & \cos(\alpha) & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos(\beta) & 0 & \sin(\beta) \\ 0 & 1 & 0 \\ -\sin(\beta) & 0 & \cos(\beta) \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos(\gamma) & \sin(\gamma) \\ 0 & -\sin(\gamma) & \cos(\gamma) \end{pmatrix}$  $\nabla T_{\alpha,\beta,\gamma} = \begin{pmatrix} 0 & \alpha & \beta \\ -\alpha & 0 & \gamma \\ -\beta & -\nu & 0 \end{pmatrix}$  $\Rightarrow T_{\alpha,\beta,\gamma}(x) \approx \mathbf{x} + \nabla T_{\alpha,\beta,\gamma} \mathbf{x} = \left( \begin{pmatrix} 0 & \alpha & \beta \\ -\alpha & 0 & \gamma \\ -\beta & -y & 1 \end{pmatrix} + \mathbf{I} \right) \mathbf{x}$ 

# **Local Linearization**

#### Standard technique: local linearization

- Numerical solution: iterative solver
- We have a current rotation  $\mathbf{R}^{(i-1)}$  from the last iteration:
- Taylor expension at  $\mathbf{R}^{(i-1)}$ :

$$T_{\alpha,\beta,\gamma}^{(i)}(\mathbf{x}) \approx \begin{pmatrix} 0 & \alpha & \beta \\ -\alpha & 0 & \gamma \\ -\beta & -y & 1 \end{pmatrix} + \mathbf{I} \mathbf{R}^{(i-1)} \mathbf{x}$$

• Solve for **t**,  $\alpha$ ,  $\beta$ ,  $\gamma$  (linear expression  $\rightarrow$  quadratic opt.)

$$\underset{\mathbf{t}\in\mathbb{R}^{3}}{\operatorname{argmin}} \sum_{j=1}^{n} \left\langle \mathbf{R}^{(i)} \mathbf{p}_{j}^{(A)} + \mathbf{t} - \mathbf{p}_{nearest(j)}^{(B)}, \mathbf{n}_{nearest(j)}^{(B)} \right\rangle^{2}$$

# **Local Linearization**

#### Then:

- Project R<sup>(i)</sup> back on the manifold of orthogonal matrices. (for example using the SVD-based algorithm discussed before)
- Then iterate, until convergence.

### Why does this work?

- The parametrization is non-degenerate
  - For large α, β, γ, the norm of the matrix increases arbitrarily (i.e.: the object size increases, away from the data)
  - Therefore, the least-squares optimization will perform a number of small steps rather than collapse.

### **More Tricks & Tweaks**

### **ICP Problems:**

- Partial matching might lead to distortions / bias
  - Remove outliers (M-estimator, delete "far away points", e.g. 20% percentile in point-to-point distance)
  - Remove normal outliers (if connection direction deviates from normal direction)
- Sampling problems
  - Problem: for example flat surface with engraved letters
  - No convergence in that case
  - Improvement: Sample correspondence points with distribution to cover unit sphere of normal directions as uniformly as possible

### **Deformable Shape Matching**





What are the Correspondences?

### What are we looking for?

#### **Problem Statement:**

Given:

• Two surfaces  $S_1, S_2 \subseteq \mathbb{R}^3$ 

#### We are looking for:

• A *reasonable* deformation function  $f: S_1 \rightarrow \mathbb{R}^3$ that brings  $S_1$  close to  $S_2$ 



### Example



### This is a Trade-Off

### **Deformable Shape Matching is a Trade-Off:**

• We can match any two shapes using a weird deformation field



- We need to trade-off:
  - Shape matching (close to data)
  - Regularity of the deformation field (reasonable match)

### **Variational Model**



**Deformation / rigidity:** 



### **Variational Model**

#### **Variational Problem:**

• Formulate as an energy minimization problem:



### Part 1: Shape Matching

#### Assume:

- Objective Function:  $E^{(match)}(f) = dist(f_{1,2}(S_1), S_2)$
- Example: least squares distance

 Other distance measures: Hausdorf distance, L<sub>p</sub>-distances, etc.

 $x_1 \in S_1$ 

 $E^{(match)}(f) = \int dist(\mathbf{x}_1, S_2)^2 d\mathbf{x}_1$ 

• L<sub>2</sub> measure is frequently used (models Gaussian noise)





### **Point Cloud Matching**

### Implementation example: Scan matching

- Given: S<sub>1</sub>, S<sub>2</sub> as point clouds
  - $S_1 = \{\mathbf{s}_1^{(1)}, ..., \mathbf{s}_n^{(1)}\}$
  - $S_2 = \{\mathbf{s}_1^{(2)}, ..., \mathbf{s}_m^{(2)}\}$
- Energy function:

$$E^{(match)}(f) = \frac{|S_1|}{m} \sum_{i=1}^m dist(S_1, \mathbf{s}_i^{(2)})^2$$

- How to measure  $dist(S_1, \mathbf{x})$ ?
  - Estimate distance to a point sampled surface





### **Surface approximation**



#### Solution #1: Closest point matching

"Point-to-point" energy

$$E^{(match)}(f) = \frac{|S_1|}{m} \sum_{i=1}^m dist(s_i^{(2)}, NN_{inS_1}(s_i^{(2)}))^2$$

# **Surface approximation**



#### Solution #2: Linear approximation

- "Point-to-plane" energy
- Fit plane to *k*-nearest neighbors
- k proportional to noise level, typically  $k \approx 6...20$

## **Variational Model**

#### Variational Problem:

• Formulate as an energy minimization problem:



# Part II: Deformation Model

### What is a "nice" deformation field?

- Isometric "elastic" energies
  - Extrinsic ("volumetric deformation")
  - Intrinsic ("as-isometric-as possible embedding")
- Thin shell model
  - Preserves shape (metric *plus curvature*)
- Thin-plate splines
  - Allowing strong deformations, but keep shape





### **Elastic Volume Model**

#### **Extrinsic Volumetric "As-Rigid-As Possible"**

- Embed source surface S<sub>1</sub> in volume
- *f* should preserve 3×3 metric tensor (least squares)

$$E^{(regularizer)}(f) = \int_{V_1} [\nabla f \nabla f^{\mathrm{T}} - \mathbf{I}]^2 dx$$
  
first fundamental form  $\mathbf{I} (\mathbb{R}^{3\times 3})$   
 $V_1$  ambient space  $f(V_1)$   
 $S_1$ 

# **Volume Model**

#### **Variant: Thin-Plate-Splines**

• Use regularizer that penalizes curved deformation

 $E^{(regularizer)}(f) = \int_{V_1}^{U_1} H_f(x)^2 dx$ second derivative ( $\mathbb{R}^{3\times 3}$ )



### How does the deformation look like?





original

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### **Isometric Regularizer**

#### Intrinsic Matching (2-Manifold)

- Target shape is given and *complete*
- Isometric embedding

$$E^{(regularizer)}(f) = \int_{S_1} [\nabla f \nabla f^T - I]^2 dx$$
  
first fund. form (S<sub>1</sub>, intrinsic)  
$$\int_{S_1} \int_{S_1} \int_{S_2} \int_{S_2} \int_{S_1} \int_{S_2} \int_{S_1} \int_{$$

## **Elastic "Thin Shell" Regularizer**

### "Thin Shell" Energy

- Differential geometry point of view
  - Preserve first fundamental form I
  - Preserve second fundamental form II
  - ...in a least least-squares sense
- Complicated to implement
- Usually approximated

$S_1$ $II$ $f$	
S <sub>2</sub>	

## **Deformable ICP**

#### How to build a deformable ICP algorithm

- Pick a surface distance measure
- Pick an deformation model / regularizer



## **Deformable ICP**

#### How to build a deformable ICP algorithm

- Pick a surface distance measure
- Pick an deformation model / regularizer
- Initialize f(S<sub>1</sub>) with S<sub>1</sub> (i.e., f = id)
- Pick a non-linear optimization algorithm
  - Gradient decent (easy, but bad performance)
  - Preconditioned conjugate gradients (better)
  - Newton, Gauss Newton (even better, but more work)
  - LBGFS (quick & effective)
  - Always use analytical derivatives!
- Run optimization

### **Animation Reconstruction**

### **Real-time Scanners**



#### space-time stereo

courtesy of James Davis, UC Santa Cruz



color-coded structured light

courtesy of Phil Fong, Stanford University



#### motion compensated structured light

courtesy of Sören König, TU Dresden

## **Animation Reconstruction**

#### Problems

- Noisy data
- Incomplete data (acquisition holes)
- No correspondences









missing correspondences

### **Animation Reconstruction**



### **Urshape Factorization Approach**

### "Factorization"



### Components

### **Variational Model**

 Given an initial estimate, improve *urshape* and *deformation*

#### **Numerical Discretization**

- Shape
- Deformation

#### **Domain Assembly**

- Getting an initial estimate
- Urshape assembly

### Components

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# **Energy Minimization**

### **Energy Function**

 $E(\mathbf{f}, S) = E_{data} + E_{deform} + E_{smooth}$ 

### Components

- E<sub>data</sub>(f, S) data fitting
- *E<sub>deform</sub>*(f) elastic deformation, smooth trajectory
- *E<sub>smooth</sub>(S*) smooth surface

### **Optimize** *S***, f** alternatingly



### **Data Fitting**





### Data fitting

- Necessary:  $f_i(S) \approx D_i$
- Truncated squared distance function (point-to-plane)



## **Elastic Deformation Energy**

 $D_i$ 

# $E_{deform}(\mathbf{f})$



### Regularization

- Elastic energy
- Smooth trajectories





### **Surface Reconstruction**

 $D_i$ 

## E<sub>smooth</sub>(S)



### Data fitting

- Smooth surface
- Fitting to noisy data



### **Factorization**



### **Summary: Variational Model**

$$E(S, \mathbf{f}, d) = \underbrace{E_{match}(S, \mathbf{f}, d)}_{\text{data}} + \underbrace{(\underbrace{E_{rigid} + E_{volume} + E_{accel} + E_{velocity}}_{\text{deformation}})(S, \mathbf{f})}_{\text{deformation}} + \underbrace{E_{smooth}(S)}_{\text{urshape}}(S)$$

$$E_{match}(S, f, d) = \sum_{t=1}^{T} \sum_{i=1}^{n_t} trunc(dist(d_i, f(S))^2)$$

$$E_{rigid}(S, \mathbf{f}) = \int_{V(S)} \omega_{rigid}(x) \left\| \nabla_{\mathbf{x}} \mathbf{f}(\mathbf{x}, t)^T \nabla_{\mathbf{x}} \mathbf{f}(\mathbf{x}, t) - \mathbf{I} \right\|_F^2 dx$$

$$E_{volume}(S, \mathbf{f}) = \int_{V(S)} \omega_{vol}(x) \left( |\nabla_{\mathbf{x}} \mathbf{f}(\mathbf{x}, t)| - 1 \right)^2 dx$$

$$E_{accel}(S, \mathbf{f}) = \int_{S} \omega_{acc}(x) \left(\frac{\partial^2}{\partial t^2} \mathbf{f}(\mathbf{x}, t)\right)^2 dx \quad E_{velocity}(S, \mathbf{f}) = \int_{S} \omega_{velocity}(x) \left(\frac{\partial}{\partial t} \mathbf{f}(\mathbf{x}, t)\right)^2 dx$$

$$E_{smooth}(S) = \int_{S} \omega_{smooth}(x) \left(\nabla_{uv}^2 s(x)\right)^2 dx$$

### Components

#### **Variational Model**

 Given an initial estimate, improve *urshape* and *deformation*

#### **Numerical Discretization**

- Shape
- Deformation

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#### Sampling:

- Full resolution *geometry*
- Subsample *deformation*



#### Sampling:

- Full resolution *geometry* 
  - High frequency
- Subsample *deformation* 
  - Low frequency



#### Sampling:

- Full resolution *geometry* 
  - High frequency, stored once
- Subsample *deformation* 
  - Low frequency, all frames ⇒ more costly

### **Shape Representation**



#### **Shape Representation:**

- Graph of *surfels* (point + normal + local connectivity)
- *E<sub>smooth</sub>* neighboring planes should be similar
- Same as the bunny exercise...

## ...but how about Neighborhoods?

### **Topology estimation**

- Domain of *S*, base shape (topology)
- Here, we assume this is easy to get
- In the following
  - k-nearest neighborhood graph
  - Typically: k = 6..20

### Limitations

- This requires dense enough sampling
- Does not work for undersampled data





### Deformation



#### **Volumetric Deformation Model**

- Surfaces embedded in "stiff" volumes
- Easier to handle than "thin-shell models"
- General works for non-manifold data

### Deformation



#### **Deformation Energy**

- Keep deformation gradients ∇**f** as-rigid-as-possible
- This means:  $\nabla \mathbf{f}^{\mathsf{T}} \nabla \mathbf{f} = \mathbf{I}$
- Minimize:  $E_{deform} = \int_T \int_V ||\nabla \mathbf{f}(\mathbf{x},t)^T \nabla \mathbf{f}(\mathbf{x},t) \mathbf{I}||^2 d\mathbf{x} dt$

## Additional Terms

#### **More Regularization**

- Volume preservation:  $E_{vol} = \int_T \int_V ||\det(\nabla \mathbf{f}) 1||^2$ 
  - Stability
- Acceleration:
  - Smooth trajectories
- Velocity (weak):
  - Damping

$$\boldsymbol{E}_{acc} = \int_{T} \int_{V} \|\partial_{t}^{2} \mathbf{f}\|^{2}$$

$$\boldsymbol{E_{vel}} = \int_{T} \int_{V} \|\partial_t \mathbf{f}\|^2$$





#### How to represent the deformation?

- Goal: efficiency
- Finite basis:

As few basis functions as possible



#### **Meshless finite elements**

- Partition of unity, smoothness
- Linear precision
- Adaptive sampling is easy

## **Meshless Finite Elements**

### **Topology:**

- Separate deformation nodes for disconnected pieces
- Need to ensure
  - Consistency
  - Continuity
- Euclidean / intrinsic distance-based coupling rule
  - See references for details





## **Adaptive Sampling**

#### **Adaptive Sampling**

- Bending areas
  - Decrease rigidity
  - Decrease thickness
  - Increase sampling density
- Detecting bending areas: residuals over many frames



### Components

#### **Variational Model**

 Given an initial estimate, improve *urshape* and *deformation*

#### **Numerical Discretization**

- Deformation
- Shape

#### **Domain Assembly**

- Getting an initial estimate
- Urshape assembly

## **Urshape Assembly**

#### Adjacent frames are similar

- Solve for frame pairs first
- Assemble urshape step-by-step



[data set courtesy of C. Theobald, MPC-VCC]

## **Hierarchical Merging**











### **f**(*S*)

f S

## **Hierarchical Merging**



### **Initial Urshapes**







() f S








#### **Initial Urshapes**



# Alignment



# Align & Optimize



## **Hierarchical Alignment**



## **Hierarchical Alignment**



#### **Results**







79 frames, 24M data pts, 21K surfels, 315 nodes



98 firames, 5M data pts, 6.4K surfels, 423 nodes







120 frames, 30M data pts, 17K surfels, 1,939 nodes





34 frames, 4M data pts, 23K surfels, 414 nodes