## 4. Accessing the Data

In this chapter we go into some details:

- deep into the (runtime) system
- close to the hardware

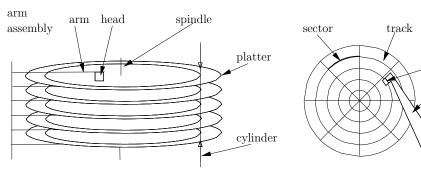
#### Goal:

• estimation and optimization of disk access costs

# 4. Accessing the Data (2)

- disk drives
- database buffer
- physical database organization
- physical algebra
- temporal relations and table functions
- indices
- counting the number of accesses
- disk drive costs for n uniform accesses

## Assembly



a. side view

**b.** top view

head arm arm pivot

#### **Zones**

- outer tracks/sectors longer than inner ones
- · highest density is fixed
- results in waste in outer sectors
- thus: cylinders organized into zones

## Zones (2)

- every zone contains a fixed number of consecutive cylinders
- every cylinder in a zone has the same number of sectors per track
- outer zones have more sectors per track than inner zones
- since rotation speed is fixed: higher throughput on outer cylinders

#### Track Skew

Read all sectors of all tracks of some consecutive cylinders:

- read all sectors of one track
- switch to next track: small adjustment of head necessary called: head switch
- this causes tiny delay
- thus, if all tracks start at the same angular position then we miss the start of the first sector of the next track
- remedy: *track skew*

## Cylinder Skew

Read all sectors of all tracks of some consecutive cylinders:

- read all sectors of all tracks of some cylinder
- switching to the next cylinder causes some delay
- again, we miss the start of the first sector, if the tracks start all start at the same angular position
- remedy: cylinder skew

### **Addressing Sectors**

- physical Address: cylinder number, head (surface) number, sector number
- logical Address: LBN (logical block number)

## LBN to Physical Address

### Mapping:

Cylinder	Track	LBN	number of sectors per track
0	0	0	573
	1	573	573
	5	2865	573
1	0	3438	573
15041	0	35841845	253

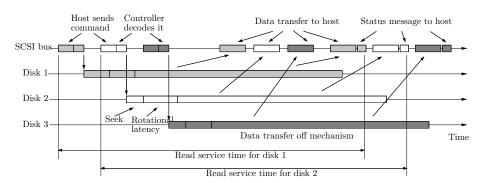
## LBN to Physical Address (2)

This ideal view of the mapping is disturbed by bad blocks

- due to the high density, no perfect manufacturing is possible
- as a consequence bad blocks occur (sectors that cannot be used)
- reserve some blocks, tracks, cylinders for remapping bad blocks

Bad blocks may cause hickups during sequential reads

# Reading/Writing a Block



# Reading/Writing a Block (2)

- the host sends the SCSI command.
- 2. the disk controller decodes the command and calculates the physical address.
- 3. during the seek the disk drive's arm is positioned such that the according head is correctly placed over the cylinder where the requested block resides. This step consists of several phases.
  - 3.1 the disk controler accelerates the arm.
  - 3.2 for long seeks, the arm moves with maximum velocity (coast).
  - 3.3 the disk controler slows down the arm.
  - 3.4 the disk arm settles for the desired location. The settle times differ for read and write requests. For reads, an aggressive strategy is used. If, after all, it turns out that the block could not be read correctly, we can just discard it. For writing, a more conservative strategy is in order.
- 4. the disk has to wait until the sector where the requested block resides comes under the head (rotation latency).
- 5. the disk reads the sector and transfers data to the host.
- 6. finally, it sends a status message.



## Optimizing Round Trip Time

- caching
- read-ahead
- command queuing

### Seek Time

A good approximation of the seek time where d cylinders have to be travelled is given by

$$seektime(d) = \begin{cases} c_1 + c_2\sqrt{d} & d \leq c_0 \\ c_3 + c_4d & d > c_0 \end{cases}$$

where the constants  $c_i$  are disk specific. The constant  $c_0$  indicates the maximum number cylinders where no coast takes place: seeking over a distance of more than  $c_0$  cylinders results in a phase where the disk arm moves with maximum velocity.

## Cost model: initial thoughts

Disk access costs depend on

- the current position of the disk arm and
- the angular position of the platters

Both are not known at query compilation time Consequence:

 estimating the costs of a single disk access at query compilation time may result in large estimation error

Better: costs of many accesses

Nonetheless: First Simplistic Cost Model to give a feeling for disk drive access costs

## Simplistic Cost Model

We introduce some disk drive parameters for out simplistic cost model:

- average latency time: average time for positioning (seek+rotational delay)
  - use average access time for a single request
  - Estimation error can (on the average) be as "low" as 35%
- sustained read/write rate:
  - after positioning, rate at which data can be delivered using sequential read

### Model 2004

A hypothetical disk (inspired by disks available in 2004) then has the following parameters:

Model 2004				
Parameter	Value	Abbreviated Name		
capacity	180 GB	$D_{cap}$		
average latency time	5 ms	$D_{lat}$		
sustained read rate	100 MB/s	$D_{srr}$		
sustained write rate	100 MB/s	$D_{swr}$		

The time a disk needs to read and transfer n bytes is then approximated by  $D_{\rm lat} + n/D_{\rm srr}$ .

## Sequential vs. Random I/O

Database management system developers distinguish between

- sequential I/O and
- random I/O.

In our simplistic cost model:

- for sequential I/O, there is only one positioning at the beginning and then, we can assume that data is read with the sustained read rate.
- for random I/O, one positioning for every unit of transfer—typically a page of say 8 KB—is assumed.

## Simplistic Cost Model

#### Read 100 MB

- Sequential read: 5 ms + 1 s
- Random read (8K pages): 65 s

# Simplistic Cost Model (2)

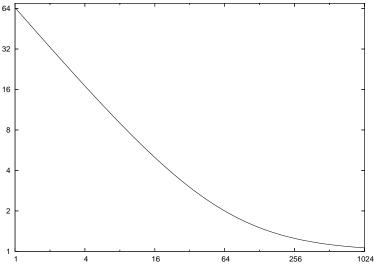
#### Problems:

- other applications
- other transactions
- other read operations in the same QEP

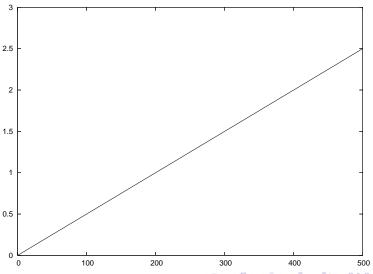
may request blocks from the same disk and move away the head(s) from the current position  $\frac{1}{2}$ 

Further: 100 MB sequential search poses problem to buffer manager

# Time to Read 100 MB (x: number of 8 KB chunks)



## Time to Read *n* Random Pages



# Simplistic Cost Model (3)

100 MB can be stored on 12800 8 KB pages.

In our simplistic cost model, reading 200 pages randomly costs about the same as reading 100 MB sequentially.

That is, reading 1/64th of 100 MB randomly takes as long as reading the 100 MB sequentially.

# Simplistic Cost Model (4)

Let us denote by a the positioning time, s the sustained read rate, p the page size, and d some amount of consecutively stored bytes. Let us calculate the break even point

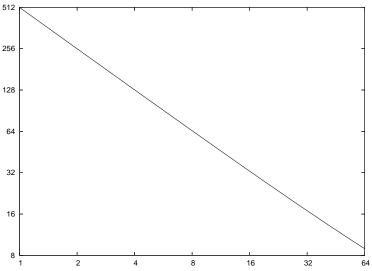
$$n*(a+p/s) = a+d/s$$
  
 $n = (a+d/s)/(a+p/s)$   
 $= (as+d)/(as+p)$ 

a and s are disk parameters and, hence, fixed. For a fixed d, the break even point depends on the page size.

Next Figure: x-axis: is the page size p in multiples of 1 K; y-axis: (d/p)/n for d=100 MB.



# Break Even Point (depending on page size)



#### Two Lessons Learned

- sequential read is much faster than random read
- the runtime system should secure sequential read

#### The latter point can be generalized:

- the runtime system of a database management system has, as far as query execution is concerned, two equally important tasks:
  - allow for efficient query evaluation plans and
  - allow for smooth, simple, and robust cost functions.

### Measures to Achieve the Above

### Typical measures on the database side are

- carefully chosen physical layout on disk (e.g. cylinder or track-aligned extents, clustering)
- disk scheduling, multi-page requests
- (asynchronous) prefetching,
- piggy-back scans,
- buffering (e.g. multiple buffers, replacement strategy) and last but not least
- efficient and robust algorithms for algebraic operators

### Disk Drive: Parameters

 $D_{\rm hdswitch}$ 

```
D_{\text{cvl}}
              total number of cylinders
D_{\rm track}
              total number of tracks
D_{\text{sec}}
              total number of sectors
D_{\mathsf{tpc}}
              number of tracks per cylinder (= number of surfaces)
              command interpretation time
D_{\rm cmd}
D_{\rm rot}
              time for a full rotation
D_{\rm rdsettle}
              time for settle for read
              time for settle for write
D_{\text{wrsettle}}
```

time for head switch

# Disk Drive: Parameters (2)

```
\begin{array}{ll} D_{\mathsf{zone}} & \mathsf{total} \; \mathsf{number} \; \mathsf{of} \; \mathsf{zones} \\ D_{\mathsf{zcyl}}(i) & \mathsf{number} \; \mathsf{of} \; \mathsf{cylinders} \; \mathsf{in} \; \mathsf{zone} \; i \\ D_{\mathsf{zspt}}(i) & \mathsf{number} \; \mathsf{of} \; \mathsf{sectors} \; \mathsf{per} \; \mathsf{track} \; \mathsf{in} \; \mathsf{zone} \; i \\ D_{\mathsf{zspc}}(i) & \mathsf{number} \; \mathsf{of} \; \mathsf{sectors} \; \mathsf{per} \; \mathsf{cylinder} \; \mathsf{in} \; \mathsf{zone} \; i \; (= D_{\mathsf{tpc}} D_{\mathsf{zspt}}(i)) \\ D_{\mathsf{zscan}}(i) & \mathsf{time} \; \mathsf{to} \; \mathsf{scan} \; \mathsf{a} \; \mathsf{sector} \; \mathsf{in} \; \mathsf{zone} \; i \; (= D_{\mathsf{rot}} / D z s p t i) \\ \end{array}
```

# Disk Drive: Parameters (3)

average seek costs

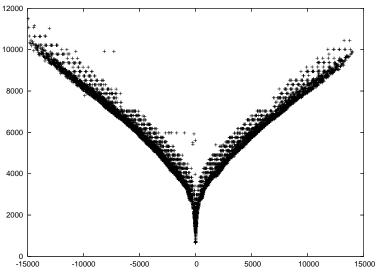
 $D_{\text{seekavg}}$ 

```
\begin{array}{lll} D_{\text{clim}} & \text{parameter for seek cost function} \\ D_{\text{ca}} & \text{parameter for seek cost function} \\ D_{\text{cb}} & \text{parameter for seek cost function} \\ D_{\text{cc}} & \text{parameter for seek cost function} \\ D_{\text{cd}} & \text{parameter for seek cost function} \\ D_{\text{cd}} & \text{parameter for seek cost function} \\ D_{\text{fseek}}(d) & \text{cost of a seek of } d \text{ cylinders} \\ D_{\text{fseek}}(d) = \left\{ \begin{array}{ll} D_{\text{ca}} + D_{\text{cb}} \sqrt{d} & \text{if } d \leq D_{\text{clim}} \\ D_{\text{cc}} + D_{\text{cd}} d & \text{if } d > D_{\text{clim}} \\ \end{array} \right. \\ D_{\text{frot}}(s,i) & \text{rotation cost for } s \text{ sectors of zone } i \ (= sD_{\text{zscan}}(i)) \end{array}
```

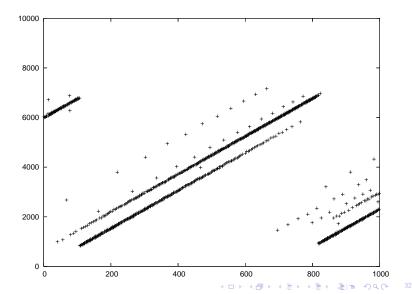
### Extraction of Disk Drive Parameters

- documentation: often not sufficient
- mapping: interrogation via SCSI-Mapping command (disk drives lie)
- use benchmarking tools, e.g.:
  - Diskbench
  - Skippy (Microbenchmark)
  - Zoned

### Seek Curve Measured with Diskbench



# Skippy Benchmark Example



### Interpretation of Skippy Results

- x-axis: distance (sectors)
- y-axis: time
- difference topmost/bottommost line: rotational latency
- difference two lowest 'lines': head switch time
- difference lowest 'line' topmost spots: cylinder switch time
- start lowest 'line': minimal time to media
- plus other parameters

### Upper bound on Seek Time

### Theorem (Qyang)

If the disk arm has to travel over a region of C cylinders, it is positioned on the first of the C cylinders, and has to stop at s-1 of them, then  $sD_{fseek}(C/s)$  is an upper bound for the seek time.

#### The database buffer

- 1. is a finite piece of memory,
- 2. typically supports a limited number of different page sizes (mostly one or two),
- 3. is often fragmented into several buffer pools,
- 4. each having a replacement strategy (typically enhanced by hints).

Given the page identifier, the buffer frame is found by a hashtable lookup. Accesses to the hash table and the buffer frame need to be synchronized. Before accessing a page in the buffer, it must be fixed.

These points account for the fact that the costs of accessing a page in the buffer are therefore greater than zero.

### **Buffer Accesses**

Consider page acceses in a buffer with 2 pages:

page no	action
0	read page 0, place it in buffer
1	read page 0, place it in buffer read page 1, place it in buffer fix page 0 in buffer
0	fix page 0 in buffer
2	swap out a page (e.g. 1), read 2, place it in buffer fix page 0 in buffer
0	fix page 0 in buffer
3	swap out a page, read 3, place it in buffer
	,

- replacement strategy is imporant
- unfixes omitted

## Replacement Strategies

### Some popular replacement strategies:

- random
- fifo
- Iru
- Q2

Iru is very popular

### Replacement Strategies - random

- when a new page slot is needed, remove a random other page from the buffer
- easy to implements, needs no additional memory
- but does not take the access patterns into account
- primarily used as base line
- suitable for analytic results

## Replacement Strategies - fifo

- first in first out
- remove the page that was place in the buffer first
- easy to implement, needs no/few additional memory
- but does not adapt very well do access patterns
- increasing buffer size may hurt it

#### Fifo Anomaly:

access pattern: 3 2 1 0 3 2 4 3 2 1 0 4

buffer sizes: 3 vs. 4

### Replacement Strategies - Iru

- · least recently used
- remove the page that has not been accessed for longest time
- requires a priority queue/linked list
- adapt to access patterns, popular pages stay in memory
- but slow to remove pages

very popular replacement strategy

### Replacement Strategies - 2Q

- two queues
- a fifo queue and a Iru queue
- place pages first in fifo, if they are accessed again place them in Iru
- gets rid of pages that are accessed only once fast
- superior to Iru, example of a "real" replacement strategy

## Replacement Strategies - Effect on the Cost Model

- replacement affects the costs
- · cost model needs predictions, though
- very hard to do in general

#### Typical approaches:

- ignore buffer effects
- assume random replacement
- make use of known access characteristics