4. Accessing the Data

In this chapter we go into some details:

- deep into the (runtime) system
- close to the hardware

Goal:

- estimation and optimization of disk access costs
4. Accessing the Data (2)

- disk drives
- database buffer
- physical database organization
- physical algebra
- temporal relations and table functions
- indices
- counting the number of accesses
- disk drive costs
- selectivity estimations
Assembly

a. side view

b. top view
Zones

- outer tracks/sectors longer than inner ones
- highest density is fixed
- results in waste in outer sectors
- thus: cylinders organized into zones
Zones (2)

- every zone contains a fixed number of consecutive cylinders
- every cylinder in a zone has the same number of sectors per track
- outer zones have more sectors per track than inner zones
- since rotation speed is fixed: higher throughput on outer cylinders
Track Skew

Read all sectors of all tracks of some consecutive cylinders:

- read all sectors of one track
- switch to next track: small adjustment of head necessary called: \textit{head switch}
- this causes tiny delay
- thus, if all tracks start at the same angular position then we miss the start of the first sector of the next track
- remedy: \textit{track skew}
Cylinder Skew

Read all sectors of all tracks of some consecutive cylinders:

- read all sectors of all tracks of some cylinder
- switching to the next cylinder causes some delay
- again, we miss the start of the first sector, if the tracks start all start at the same angular position
- remedy: cylinder skew
Addressing Sectors

- physical Address: cylinder number, head (surface) number, sector number
- logical Address: LBN (logical block number)
# LBN to Physical Address

Mapping:

<table>
<thead>
<tr>
<th>Cylinder</th>
<th>Track</th>
<th>LBN</th>
<th>number of sectors per track</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>0</td>
<td>573</td>
</tr>
<tr>
<td>1</td>
<td>573</td>
<td>573</td>
<td>573</td>
</tr>
<tr>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
</tr>
<tr>
<td>5</td>
<td>2865</td>
<td>573</td>
<td>573</td>
</tr>
<tr>
<td>1</td>
<td>3438</td>
<td>573</td>
<td>573</td>
</tr>
<tr>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
</tr>
<tr>
<td>15041</td>
<td>0</td>
<td>35841845</td>
<td>253</td>
</tr>
<tr>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
</tr>
</tbody>
</table>
LBN to Physical Address (2)

This ideal view of the mapping is disturbed by *bad blocks*

- due to the high density, no perfect manufacturing is possible
- as a consequence *bad blocks* occur (sectors that cannot be used)
- reserve some blocks, tracks, cylinders for remapping bad blocks

Bad blocks may cause hickups during sequential reads
Reading/Writing a Block

Accessing the Data

Disk Drive

Host sends command
Controller decodes it

Rotational latency
Data transfer off mechanism

Status message to host

SCSI bus

Seek

Disk 1

Disk 2

Disk 3

Data transfer to host

Read service time for disk 1
Read service time for disk 2

Time
Reading/Writing a Block (2)

1. the host sends the SCSI command.
2. the disk controller decodes the command and calculates the physical address.
3. during the seek the disk drive’s arm is positioned such that the according head is correctly placed over the cylinder where the requested block resides. This step consists of several phases.
   3.1 the disk controller accelerates the arm.
   3.2 for long seeks, the arm moves with maximum velocity (coast).
   3.3 the disk controller slows down the arm.
   3.4 the disk arm settles for the desired location. The settle times differ for read and write requests. For reads, an aggressive strategy is used. If, after all, it turns out that the block could not be read correctly, we can just discard it. For writing, a more conservative strategy is in order.
4. the disk has to wait until the sector where the requested block resides comes under the head (rotation latency).
5. the disk reads the sector and transfers data to the host.
6. finally, it sends a status message.
Optimizing Round Trip Time

- caching
- read-ahead
- command queuing
Seek Time

A good approximation of the seek time where $d$ cylinders have to be travelled is given by

$$seektime(d) = \begin{cases} 
  c_1 + c_2 \sqrt{d} & d \leq c_0 \\
  c_3 + c_4 d & d > c_0
\end{cases}$$

where the constants $c_i$ are disk specific. The constant $c_0$ indicates the maximum number cylinders where no coast takes place: seeking over a distance of more than $c_0$ cylinders results in a phase where the disk arm moves with maximum velocity.
Cost model: initial thoughts

Disk access costs depend on

- the current position of the disk arm and
- the angular position of the platters

Both are not known at query compilation time

Consequence:

- estimating the costs of a single disk access at query compilation time may result in large estimation error

Better: costs of many accesses

Nonetheless: First Simplistic Cost Model to give a feeling for disk drive access costs
Simplistic Cost Model

We introduce some disk drive parameters for our simplistic cost model:

• average latency time: average time for positioning (seek + rotational delay)
  ▶ use average access time for a single request
  ▶ Estimation error can (on the average) be as “low” as 35%

• sustained read/write rate:
  ▶ after positioning, rate at which data can be delivered using sequential read
Model 2004

A hypothetical disk (inspired by disks available in 2004) then has the following parameters:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Abbreviated Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>capacity</td>
<td>180 GB</td>
<td>$D_{\text{cap}}$</td>
</tr>
<tr>
<td>average latency time</td>
<td>5 ms</td>
<td>$D_{\text{lat}}$</td>
</tr>
<tr>
<td>sustained read rate</td>
<td>100 MB/s</td>
<td>$D_{\text{srr}}$</td>
</tr>
<tr>
<td>sustained write rate</td>
<td>100 MB/s</td>
<td>$D_{\text{swr}}$</td>
</tr>
</tbody>
</table>

The time a disk needs to read and transfer $n$ bytes is then approximated by $D_{\text{lat}} + n/D_{\text{srr}}$. 
Sequential vs. Random I/O

Database management system developers distinguish between

- **sequential I/O** and
- **random I/O**.

In our simplistic cost model:

- for sequential I/O, there is only one positioning at the beginning and then, we can assume that data is read with the sustained read rate.
- for random I/O, one positioning for every unit of transfer—typically a page of say 8 KB—is assumed.
Simplistic Cost Model

Read 100 MB
- Sequential read: 5 ms + 1 s
- Random read (8K pages): 65 s
Simplistic Cost Model (2)

Problems:

- other applications
- other transactions
- other read operations in the same QEP may request blocks from the same disk and move away the head(s) from the current position

Further: 100 MB sequential search poses problem to buffer manager
Time to Read 100 MB (x: number of 8 KB chunks)
Time to Read $n$ Random Pages

![Graph showing the time to read $n$ random pages on a disk drive.]
Simplistic Cost Model (3)

100 MB can be stored on 12800 8 KB pages. In our simplistic cost model, reading 200 pages randomly costs about the same as reading 100 MB sequentially. That is, reading 1/64th of 100 MB randomly takes as long as reading the 100 MB sequentially.
Simplistic Cost Model (4)

Let us denote by \( a \) the positioning time, \( s \) the sustained read rate, \( p \) the page size, and \( d \) some amount of consecutively stored bytes. Let us calculate the break even point

\[
\begin{align*}
    n \times (a + p/s) &= a + d/s \\
    n &= (a + d/s)/(a + p/s) \\
    &= (as + d)/(as + p)
\end{align*}
\]

\( a \) and \( s \) are disk parameters and, hence, fixed. For a fixed \( d \), the break even point depends on the page size.

Next Figure: x-axis: is the page size \( p \) in multiples of 1 K; y-axis: \((d/p)/n\) for \( d = 100 \) MB.
Break Even Point (depending on page size)
Two Lessons Learned

- sequential read is much faster than random read
- the runtime system should secure sequential read

The latter point can be generalized:

- the runtime system of a database management system has, as far as query execution is concerned, two equally important tasks:
  - allow for efficient query evaluation plans and
  - allow for smooth, simple, and robust cost functions.
Measures to Achieve the Above

Typical measures on the database side are

- carefully chosen physical layout on disk (e.g. cylinder or track-aligned extents, clustering)
- disk scheduling, multi-page requests
- (asynchronous) prefetching,
- piggy-back scans,
- buffering (e.g. multiple buffers, replacement strategy) and last but not least
- efficient and robust algorithms for algebraic operators