

# Splitting and Propositional Variables in Resolution Theorem Provers

# Splitting and Propositional Variables in Resolution Theorem Provers

Andrei Voronkov (The University of Manchester)

# Splitting and Propositional Variables in Resolution Theorem Provers

Krystof Hoder (The University of Manchester)  
Andrei Voronkov (The University of Manchester)

# Outline

Introduction. Resolution Theorem Proving

Propositional Variables. RePro

Splitting

Experiments

Results

# Introduction

- ▶ FO problems often contain propositional variables;
- ▶ Long clauses can be generated;

# Introduction

- ▶ FO problems often contain propositional variables;
- ▶ Long clauses can be generated;
- ▶ Treating propositional variables as ordinary atoms **slows down the prover**;
- ▶ Long clauses **slow it down even more**.

# Introduction

- ▶ FO problems often contain propositional variables;
- ▶ Long clauses can be generated;
- ▶ Treating propositional variables as ordinary atoms **slows down the prover**;
- ▶ Long clauses **slow it down even more**.

Solutions:

- ▶ **DPLL-style splitting** (SPASS)
- ▶ **Splitting without backtracking** (Vampire)

# Introduction

- ▶ FO problems often contain propositional variables;
- ▶ Long clauses can be generated;
- ▶ Treating propositional variables as ordinary atoms **slows down the prover**;
- ▶ Long clauses **slow it down even more**.

Solutions:

- ▶ **DPLL-style splitting** (SPASS)
- ▶ **Splitting without backtracking** (Vampire)

Problem: **no extensive evaluation**.



# Saturation algorithms

1. **Simplifying inferences** replace a clause by another clause that is simpler in some strict sense.
2. **Deletion inferences** delete clauses from the search space.
3. **Generating inferences** derive a new clause from clauses in the search space. This new clause can then be immediately simplified and/or deleted by other kinds of inference.

# Long Clauses

They **degrade performance** considerably.

1. Some inference rules have complexity linear in the size of clauses (for example, rewriting by unit equalities). Some deletion rules (**subsumption**) and simplification rules (**subsumption resolution**) are **NP-complete**. Algorithms for these deletion rules are exponential in the number of literals in clauses.

# Long Clauses

They **degrade performance** considerably.

1. Some inference rules have complexity linear in the size of clauses (for example, rewriting by unit equalities). Some deletion rules (**subsumption**) and simplification rules (**subsumption resolution**) are **NP-complete**. Algorithms for these deletion rules are exponential in the number of literals in clauses.
2. Generating inferences applied to **heavy clauses** usually generate **heavy clauses**. Generating inferences applied to **long clauses** usually generate **even longer clauses**. For example, resolution applied to two clauses containing  $n_1$  and  $n_2$  literals normally gives a clause with  $n_1 + n_2 - 2$  literals.

# Known Solutions?

- ▶ Limited Resource Strategy (Vampire);
- ▶ Splitting (SPASS, Vampire, E)

# Outline

Introduction. Resolution Theorem Proving

Propositional Variables. RePro

Splitting

Experiments

Results

# Propositional Variables: Calculus RePro

This calculus:

- ▶ separates propositional reasoning from non-propositional;
- ▶ works with *augmented clauses* of the form  $C|P$ , where  $C$  is a clause having no propositional formulas at all and  $P$  is a propositional formula.

# Propositional Variables: Calculus RePro

This calculus:

- ▶ separates propositional reasoning from non-propositional;
- ▶ works with *augmented clauses* of the form  $C|P$ , where  $C$  is a clause having no propositional formulas at all and  $P$  is a propositional formula.
- ▶  $C|P$  is logically equivalent to  $C \vee P$ ;
- ▶ RePro is a *family* of calculi, depending on the *underlying resolution calculus*.

# Generating inferences

For every generating inference

$$\frac{C_1 \quad \dots \quad C_n}{C}$$

of the resolution calculus the following is an inference rule of RePro:

$$\frac{C_1|P_1 \quad \dots \quad C_n|P_n}{C|(P_1 \vee \dots \vee P_n)} .$$



# Simplifying inferences

For every simplifying inference

$$\frac{C_1 \quad \dots \quad C_n \quad \cancel{D}}{C}$$

of the resolution calculus, if  $P_1 \vee \dots \vee P_n \rightarrow P$  is a tautology, then the following is a simplifying inference rule of RePro:

$$\frac{C_1|P_1 \quad \dots \quad C_n|P_n \quad \cancel{D}|\cancel{P}}{C|(P_1 \vee \dots \vee P_n)} .$$

# Deletion inferences

For every deletion inference

$$C_1 \quad \dots \quad C_n \quad \cancel{\emptyset}$$

of the resolution calculus, if  $P_1 \vee \dots \vee P_n \rightarrow P$  is a tautology, then the following is a deletion inference of RePro:

$$C_1|P_1 \quad \dots \quad C_n|P_n \quad \cancel{D|P}.$$

# Completeness

It is not hard to derive **soundness and completeness** of RePro assuming the same properties of the underlying resolution calculus.

By **completeness** here we mean that every fair sequence of sets starting with an unsatisfiable set will contain a set with an empty clause in it, see for details.

# More Rules

Propositional tautology deletion:

~~$D \mid P,$~~

where  $P$  is a propositional tautology.

# More Rules

Propositional tautology deletion:

$$\cancel{D|P},$$

where  $P$  is a propositional tautology.

The merge rule of RePro:

$$\frac{\cancel{C|P_1} \quad \cancel{C|P_2}}{C|(P_1 \wedge P_2)}$$

Note that so far this is the only rule that introduces propositional formulas other than clauses.

# More Rules

Propositional tautology deletion:

$$\frac{D|\cancel{P}}{D},$$

where  $P$  is a propositional tautology.

The merge rule of RePro:

$$\frac{C|\cancel{P_1} \quad C|\cancel{P_2}}{C|(P_1 \wedge P_2)}$$

Note that so far this is the only rule that introduces propositional formulas other than clauses.

The merge subsumption rule:

$$\frac{C|P_1 \quad D|\cancel{P_2}}{D|(P_1 \wedge P_2)},$$

where  $C$  subsumes  $D$ .

# Alternative Formulation

For every simplifying inference

$$\frac{C_1 \quad \dots \quad C_n \quad \cancel{D}}{C}$$

of the resolution calculus, consider

$$\frac{C_1|P_1 \quad \dots \quad C_n|P_n \quad \cancel{D|P}}{C|(P_1 \vee \dots \vee P_n) \quad D|(P_1 \vee \dots \vee P_n \rightarrow P)} .$$

# Alternative Formulation

For every simplifying inference

$$\frac{C_1 \quad \dots \quad C_n \quad \cancel{D}}{C}$$

of the resolution calculus, consider

$$\frac{C_1|P_1 \quad \dots \quad C_n|P_n \quad \cancel{D|P}}{C|(P_1 \vee \dots \vee P_n) \quad D|(P_1 \vee \dots \vee P_n \rightarrow P)} .$$

The previously defined simplifying rule is a special case of this one, since, if  $P_1 \vee \dots \vee P_n \rightarrow P$  is a tautology, so the second inferred clause can be removed.



# Alternative Formulation

For every simplifying inference

$$\frac{C_1 \quad \dots \quad C_n \quad \cancel{D}}{C}$$

of the resolution calculus, consider

$$\frac{C_1|P_1 \quad \dots \quad C_n|P_n \quad \cancel{D|P}}{C|(P_1 \vee \dots \vee P_n) \quad D|(P_1 \vee \dots \vee P_n \rightarrow P)}$$

The previously defined simplifying rule is a special case of this one, since, if  $P_1 \vee \dots \vee P_n \rightarrow P$  is a tautology, so the second inferred clause can be removed.

One can also reformulate the deletion rules in the same way.

# Advantages?

A clause  $A \vee B | (p \wedge q)$  is redundant in the presence if  $A | p$  and  $B | q$

# Advantages?

A clause  $A \vee B | (p \wedge q)$  is redundant in the presence of  $A | p$  and  $B | q$  using the following sequence of deletion rules:

$$\frac{\frac{A | p \quad \cancel{A \vee B | (p \wedge q)}}{B | q \quad \cancel{A \vee B | (p \rightarrow (p \wedge q))}}{A \vee B | (q \rightarrow (p \rightarrow (p \wedge q)))}$$

whose conclusion is a tautology.

# Outline

Introduction. Resolution Theorem Proving

Propositional Variables. RePro

**Splitting**

Experiments

Results

# Observation

Suppose that  $S$  is a set of clauses and  $C_1 \vee C_2$  a clause such that the variables of  $C_1$  and  $C_2$  are disjoint.

# Observation

Suppose that  $S$  is a set of clauses and  $C_1 \vee C_2$  a clause such that the variables of  $C_1$  and  $C_2$  are disjoint.

Then the set  $S \cup \{C_1 \vee C_2\}$  is unsatisfiable if and only if both  $S \cup \{C_1\}$  and  $S \cup \{C_2\}$  are unsatisfiable.

# Splittable Clause

Let  $C_1, \dots, C_n$  be clauses such that  $n \geq 2$  and all the  $C_i$ 's have pairwise disjoint sets of variables. Then we say that the clause  $C \stackrel{\text{def}}{=} C_1 \vee \dots \vee C_n$  is **splittable** into **components**  $C_1, \dots, C_n$ .

# Splittable Clause

Let  $C_1, \dots, C_n$  be clauses such that  $n \geq 2$  and all the  $C_i$ 's have pairwise disjoint sets of variables. Then we say that the clause  $C \stackrel{\text{def}}{=} C_1 \vee \dots \vee C_n$  is **splittable** into **components**  $C_1, \dots, C_n$ .

There may be more than one way to split a clause, however there is always a unique splitting such that each component  $C_i$  is non-splittable: we call this splitting **maximal**.



# Splittable Clause

Let  $C_1, \dots, C_n$  be clauses such that  $n \geq 2$  and all the  $C_i$ 's have pairwise disjoint sets of variables. Then we say that the clause  $C \stackrel{\text{def}}{=} C_1 \vee \dots \vee C_n$  is **splittable** into **components**  $C_1, \dots, C_n$ .

There may be more than one way to split a clause, however there is always a unique splitting such that each component  $C_i$  is non-splittable: we call this splitting **maximal**.

- ▶ a maximal splitting has the largest number of components and every splitting with the largest number of components is the maximal one.
- ▶ There is a simple algorithm for finding the maximal splitting of a clause, which is, essentially, the **union-find algorithm**.

# Two Ways of Splitting

- ▶ DPLL-like (with backtracking)
- ▶ Without backtracking (using naming).

# Splitting With Backtracking

- ▶ DPLL-like. Clauses are marked by a splitting history.
- ▶ A lot of work upon backtracking.

# Splitting Without Backtracking

$$\frac{\cancel{C_1} \vee \cancel{C_2}}{C_1 \vee p \quad C_2 \vee \neg p},$$

where

- ▶  $C_1$  is a minimal component in  $C_1$  and  $C_2$ ;
- ▶  $C_1$  has no propositional variables;
- ▶  $C_2$  has a non-propositional atom;
- ▶  $p$  is fresh.

# Splitting Without Backtracking

$$\frac{\cancel{C_1} \vee \cancel{C_2}}{C_1 \vee p \quad C_2 \vee \neg p},$$

where

- ▶  $C_1$  is a minimal component in  $C_1$  and  $C_2$ ;
- ▶  $C_1$  has no propositional variables;
- ▶  $C_2$  has a non-propositional atom;
- ▶  $p$  is fresh.

$$\frac{\cancel{C_1} \vee \cancel{C_3}}{C_3 \vee \neg p},$$

if this rule was previously applied to  $C_1$ .

# Splitting Without Backtracking

$$\frac{\cancel{C_1} \vee \cancel{C_2}}{C_1 \vee p \quad C_2 \vee \neg p},$$

where

- ▶  $C_1$  is a minimal component in  $C_1$  and  $C_2$ ;
- ▶  $C_1$  has no propositional variables;
- ▶  $C_2$  has a non-propositional atom;
- ▶  $p$  is fresh.

$$\frac{\cancel{C_1} \vee \cancel{C_3}}{C_3 \vee \neg p},$$

if this rule was previously applied to  $C_1$ .

We can consider  $p$  as a **name** for  $\neg \forall C_1$  so we have  $\neg p \leftrightarrow \forall C_1$ .

# Splitting Without Backtracking

- ▶ Easy to implement, not many changes to a resolution prover.
- ▶ Thousands of names can be generated.

# Splitting and Saturation Algorithms

Both ways of splitting **affect saturation algorithms.**

- ▶ Redundancy elimination;
- ▶ Term indexing;
- ▶ Clause selection.



# Options Related to Splitting and Propositional Literals

- ▶ There are **14 different options**, 13 of them are boolean and one has 3 values.
- ▶ However, not every combination of options makes sense, so there are “only” **505 different combinations** of splitting-related parameters.

The main option `splitting` has 3 possible values:

- ▶ `off`: no splitting
- ▶ `backtracking`: splitting with backtracking
- ▶ `nobacktracking`: splitting without backtracking

# What to Split?

- ▶ `split_goal_only`: split only clauses derived from the goal
- ▶ `split_input_only`: split only input clauses
- ▶ `split_at_activation`: split clause when it is selected for a generating inference
- ▶ `split_positive`: split only to components that contain at least one positive literal

# Propositional Part

(Only for splitting without backtracking)

- ▶ `propositional_to_bdd`: use BDD to represent the propositional part

# Inference Selection

- ▶ `nonliterals_in_clause_weight`: in  $C\bar{P}$ , count not only the weight of  $C$ , but add some additional weight depending on  $P$ .
- ▶ `splitting_with_blocking` (without backtracking): select the introduced negative literal.

# Empty Clauses

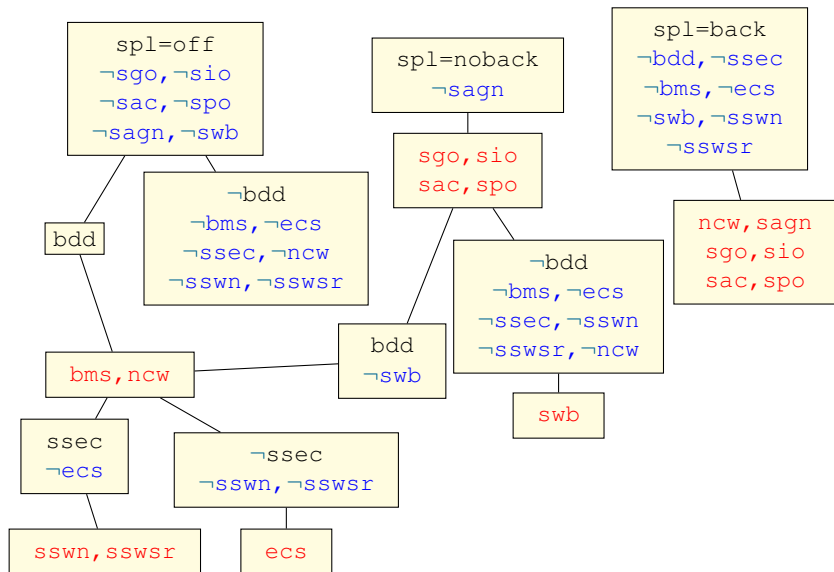
(Without backtracking).

- ▶ `sat_solver_for_empty_clause`: convert the empty clause BDD to a set of clauses and use a SAT solver to deal with them.
- ▶ `sat_solver_with_naming`: introduce names for some BDD nodes to avoid an exponential number of clauses
- ▶ `bdd_marking_subsumption`: approximation of subsumption by the empty clause.
- ▶ `empty_clause_subsumption`: use a simple test for subsumption of a parent BDD by the empty child BDD.

# Other Options

- ▶ `sat_solver_with_subsumption_resolution`: use a simple test for subsumption of a parent BDD by the child BDD.
- ▶ `split_add_ground_negation`. If one of the components is a ground literal  $L$ , upon backtracking add the complementary literal.

# Dependency Tree



# Outline

Introduction. Resolution Theorem Proving

Propositional Variables. RePro

Splitting

**Experiments**

Results



# Experiments

There are **505 different combinations** of splitting-related parameters.

# Experiments

There are **505 different combinations** of splitting-related parameters.

## **4,869 TPTP Problems:**

- ▶ all TPTP problems having non-unit clauses and rating greater than **0.2** and less than **1**.
- ▶ all rating **1** problems solvable by Vampire;
- ▶ **excluding** very large problems;

# Experiments

There are **505 different combinations** of splitting-related parameters.

## 4,869 TPTP Problems:

- ▶ all TPTP problems having non-unit clauses and rating greater than **0.2** and less than **1**.
- ▶ all rating **1** problems solvable by Vampire;
- ▶ **excluding** very large problems;

## Strategy:

- ▶ take the **principal strategy**: the one that is believed to solve the largest number of problems;
- ▶ create the **505** strategies obtained from the principal one by varying only the splitting-related parameters.
- ▶ use time limit of 30 seconds.

This gives **2,458,845 runs**, which roughly corresponds to **1.5 years** CPU time on a single core computer.

# Outline

Introduction. Resolution Theorem Proving

Propositional Variables. RePro

Splitting

Experiments

**Results**

# Results

- ▶ 4,869 problems;
- ▶ 3,598 problems (about 74% of all problems) were solved by at least one strategy;
- ▶ 1,053 problems were solved by all 505 strategies;
- ▶ this gives us 2,545 “interesting” problems.

# Results

- ▶ 4,869 problems;
- ▶ 3,598 problems (about 74% of all problems) were solved by at least one strategy;
- ▶ 1,053 problems were solved by all 505 strategies;
- ▶ this gives us 2,545 “interesting” problems.

## All selected problems

splitting	strategies	worst	average	best
off	25	2,708	2,720	2,737
backtracking	64	1,825	2,710	3,143
non-backtracking	416	1,756	2,608	2,929

# Results

- ▶ 4,869 problems;
- ▶ 3,598 problems (about 74% of all problems) were solved by at least one strategy;
- ▶ 1,053 problems were solved by all 505 strategies;
- ▶ this gives us 2,545 “interesting” problems.

## All selected problems

splitting	strategies	worst	average	best
off	25	2,708	2,720	2,737
backtracking	64	1,825	2,710	3,143
non-backtracking	416	1,756	2,608	2,929

## Interesting problems

splitting	strategies	worst	average	best
off	25	1,655	1,667	1,684
backtracking	64	772	1,657	2,090
non-backtracking	416	703	1,555	1,876

# Best and Worst Strategies

	worst	best
<code>splitting</code> <code>propositional_to_bdd</code>	<code>nobacktracking</code> <code>on</code>	<code>backtracking</code>
<code>split_at_activation</code>	<code>off</code>	<code>on</code>
<code>split_goal_only</code>	<code>off</code>	<code>off</code>
<code>split_input_only</code>	<code>off</code>	<code>off</code>
<code>split_positive</code>	<code>off</code>	<code>off</code>
<code>nonliterals_in_clause_weight</code>	<code>off</code>	<code>off</code>
<code>bdd_marking_subsumption</code>	<code>off</code>	
<code>empty_clause_subsumption</code>	<code>on</code>	
<code>sat_solver_for_empty_clause</code>	<code>off</code>	
<code>split_add_ground_negation</code>		<code>on</code>



# Splitting

Problems solved **only by a single value of an option**

<code>off</code>	<code>nobacktracking</code>	<code>backtracking</code>
0	128	198

# What to split

split\_at\_activation

splitting	on	off
backtracking	147	73
nobacktracking	91	93
both	145	113

split\_goal\_only

split\_input\_only

split\_positive

# What to split

split\_at\_activation

splitting	on	off
backtracking	147	73
nobacktracking	91	93
both	145	113

split\_goal\_only

splitting	on	off
backtracking	31	155
nobacktracking	21	207
both	17	159

split\_input\_only

split\_positive

# What to split

## split\_at\_activation

splitting	on	off
backtracking	147	73
nobacktracking	91	93
both	145	113

## split\_goal\_only

splitting	on	off
backtracking	31	155
nobacktracking	21	207
both	17	159

## split\_input\_only

splitting	on	off
backtracking	43	414
nobacktracking	67	302
both	33	384

## split\_positive

# What to split

## split\_at\_activation

splitting	on	off
backtracking	147	73
nobacktracking	91	93
both	145	113

## split\_goal\_only

splitting	on	off
backtracking	31	155
nobacktracking	21	207
both	17	159

## split\_input\_only

splitting	on	off
backtracking	43	414
nobacktracking	67	302
both	33	384

## split\_positive

splitting	on	off
backtracking	37	262
nobacktracking	28	146
both	35	181

# Propositional Part

propositional\_to\_bdd:

splitting	on	off
off	62	45
nobacktracking	227	107
both	226	106

# Inference Selection

`nonliterals_in_clause_weight`: in  $C\bar{P}$ , count not only the weight of  $C$ , but add some additional weight depending on  $P$ .

<code>splitting</code>	<code>on</code>	<code>off</code>
<code>off</code>	17	11
<code>nobacktracking</code>	55	45
<code>nobacktracking</code>	23	62
<code>all</code>	33	91

# Inference Selection

`nonliterals_in_clause_weight`: in  $C\bar{P}$ , count not only the weight of  $C$ , but add some additional weight depending on  $P$ .

<code>splitting</code>	<code>on</code>	<code>off</code>
<code>off</code>	17	11
<code>nobacktracking</code>	55	45
<code>nobacktracking</code>	23	62
<code>all</code>	33	91

`splitting_with_blocking`: select the introduced negative literal.

<code>splitting</code>	<code>on</code>	<code>off</code>
<code>nobacktracking</code>	20	290



# Empty Clauses

sat\_solver\_for\_empty\_clause

splitting	on	off
off	8	5
nobacktracking	34	21
both	34	21

sat\_solver\_with\_naming

splitting	on	off
off	2	0
nobacktracking	22	0
both	22	0

sat\_solver\_with\_subsumption\_resolution

splitting	on	off
off	2	1
nobacktracking	1	2
both	2	2

bddmarking\_subsumption

splitting	on	off
off	62	45
nobacktracking	227	107
both	226	106

empty\_clause\_subsumption

splitting	on	off
off	5	7
nobacktracking	18	46
both	18	46

# Other Options

sat\_solver\_with\_subsumption\_resolution

splitting	on	off
off	8	17
nobacktracking	30	30
both	30	30

# Other Options

sat\_solver\_with\_subsumption\_resolution

splitting	on	off
off	8	17
nobacktracking	30	30
both	30	30

split\_add\_ground\_negation

splitting	on	off
backtracking	191	6

# Summary

- ▶ Calculi for separating the propositional part of clauses;
- ▶ Implementation and comparison of two ways of splitting.
- ▶ Implementation and comparison of various splitting-related options.