

ADFOCS 2017

intro & simulations

Amir Yehudayoff (Technion)

we have limited resources

time

space

energy

...

computational complexity studies the achievable

algebraic complexity theory

goal: to compute polynomials

tools: algebraic devices (use $+$, \times , \div)

field \mathbb{F}

variables $X = \{x_1, \dots, x_n\}$

polynomial $f \in \mathbb{F}[X]$

what is the complexity of f ?

examples

polynomials

matrix product

$$(XY)_{i,j} = \sum_k x_{i,k} y_{k,j}$$

determinant (linear algebra)

$$\det(X) = \det_n(X) = \sum_{\pi \in S_n} \text{sign}(\pi) \prod_{i \in [n]} x_{i,\pi(i)}$$

permanent (combinatorics & complexity)

$$\text{perm}(X) = \text{perm}_n(X) = \sum_{\pi \in S_n} \prod_{i \in [n]} x_{i,\pi(i)}$$

determinant

\det_n has $n!$ monomials

can we compute it efficiently?

determinant

\det_n has $n!$ monomials

can we compute it efficiently?

[...,Gauss,...]

(i) in $O(n^3)$ steps write $X = SU$

where $\det(S) = \pm 1$ and U upper triangular

(ii) $\det(X) = \det(U) = \prod_i U_{i,i}$

(iii) can avoid divisions [Strassen]

permanent

$perm_n$ has $n!$ monomials

can we compute it efficiently?

permanent

$perm_n$ has $n!$ monomials

can we compute it efficiently?

[Ryser]

$$perm_n(X) = (-1)^n \sum_{T \subseteq [n]} (-1)^{|T|} \prod_{i \in [n]} \sum_{j \in T} x_{i,j}$$

(best known)

devices

circuits

straight line programs: f_1, f_2, \dots, f_s

$$f_i \in X \cup \mathbb{F} \text{ or } f_i = f_j \star f_k$$

with $j, k < i$ and $\star \in \{+, \times, \div\}$

f_s is the output

circuits: DAGs representing the SLP

costs:

size - number of gates

depth - length of longest path

ABPs

algebraic branching programs: DAG from a to b

edge e is labelled $\lambda_e \in X \cup \mathbb{F}$

$$f = \sum_{\gamma: a \rightarrow b} \prod_{e \in \gamma} \lambda_e$$

iterated matrix product:

$w \times w$ matrices M_1, \dots, M_ℓ where $(M_i)_{j,k} \in X \cup \mathbb{F}$

$$f = (M_1 M_2 \dots M_\ell)_{1,1}$$

costs:

size

length - ℓ

width - w

formulas

formulas are circuits where graph is a tree

allowed to use “subcomputations” once

costs:

size

depth

claim $\log(\text{size}) \leq \text{depth} \leq O(\log(\text{size}))$

complexity

devices have **costs**

polynomials have **complexities**

e.g. circuit-size of f is the minimum size of a circuit for f

main question: what are the complexities of f ?

simulations

first simulations

theorem: formulas \leq ABPs \leq circuits

formula of size s

→ ABP with $w, \ell \leq O(s)$

→ circuit of size $O(w^3 \cdot \ell)$

next simulations

useful ideas

homogeneous devices

partial derivatives

homogeneous circuits

a circuit is *homogeneous* if all subcomputations are homogeneous

syntactic degrees = semantic degrees

[Strassen]

circuit of size s for f of degree r

→ homogeneous circuit of size $O(sr^2)$

[Raz]

formula of size s for f of degree r

→ homogeneous formula of size $\text{poly}(s) \binom{r+O(\log s)}{r}$

grading polynomials by degree is very useful

partial derivatives

given a homogeneous circuit for f of degree r

definition ($\partial_v f$)

let v be so that $\deg(v) > r/2$

substitute a new variable y instead of v

the new output is $y \cdot \partial_v f + g$

properties

★ $\partial_v f$ and g can be computed by “sub-circuits”

★ $f = f_v \cdot \partial_v f + g$

★ $\deg(\partial_v f) = r - \deg(v)$

circuits \rightarrow formulas

Hyafil's simulation

theorem [Hyafil 79]

circuit of size s and degree r

→ formula of depth $O(\log(sr) \log(r))$

poly(n) size and degree → *quasi-poly*(n) size

Hyafil's simulation

circuit of size s and degree r

→ formula of depth $O(\log(sr) \log(r))$

sketch

1. w.l.o.g. circuit is homogeneous

2. induction:

find $v = v_1 \times v_2$ so that $\deg(v_1) \leq \deg(v_2) \leq r/2 < \deg(v)$

write

$$f = \partial_v f \cdot f_v + g = \partial_v f \cdot f_{v_1} \cdot f_{v_2} + g$$

degrees of $\partial_v f, f_{v_1}, f_{v_2}$ at most $r/2$

g has smaller circuit

circuits \rightarrow depth 4 formulas

depth 4 simulations

[Agrawal-Vinay 08, Koiran 12, Tavenas 14]¹

a circuit of size s and degree r can be simulated by a homogenous circuit of depth 4 and size

$$2^{O(\sqrt{r \log(sr) \log(n)})}$$

depth 4 is of form $\Sigma \Pi \Sigma \Pi$ with unbounded fanin

¹chasm (noun):

- i. a deep fissure in the earth, rock, or another surface
- ii. a profound difference between people, viewpoints, feelings, etc.

depth 4 simulations

saw: circuit of size s and degree r

→ formula of product depth $O(\log(r))$

depth 4 simulations

saw: circuit of size s and degree r

→ formula of product depth $O(\log(r))$

idea: cut in middle → two depth 2 circuits → depth 4

depth 4 simulations

saw: circuit of size s and degree r
→ formula of product depth $O(\log(r))$

idea: cut in middle → two depth 2 circuits → depth 4

let V be set of $v = v_1 \times v_2$ with $\deg(v) > t$ and $\deg(v_i) \leq t$

each f_{v_i} has degree at most t

if we replace each v by a new variable y_v then new output has degree $< r/t$ in Y

depth 4 simulations

circuit of size s and degree $r \rightarrow$ formula \rightarrow depth 4

let V be set of $v = v_1 \times v_2$ with $\deg(v) > t$ and $\deg(v_i) \leq t$

“upper part” has $\leq 2^{O(\log(sr)\log(r))}$ variables & degree $< r/t$

“lower parts” have n variables & degree $\leq t$

\rightarrow depth 4 circuit of size

$$\begin{aligned} 2^{O(\log(sr)\log(r)) \cdot r/t} + 2^{O(\log(sr)\log(r)) + t \log(n)} \\ = 2^{O(\sqrt{r \log(sr)\log(r)\log(n)})} \end{aligned}$$

(worse than Tavenas (VSB...))

algebraic $P = NC_2$

depth $\log^2(\cdot)$ simulations

[Valiant-Skyum-Berkowitz-Rackoff 83]

a circuit of size s and degree r

→ circuit of size $O(s^3 r^6)$ and depth $O(\log(sr) \log(r))$

depth $\log^2(\cdot)$ simulations

sketch (induction - over simplification)

homogeneous circuit of size s and degree r

for each v recursively compute f_v by

$$f_v = \sum_u f_u \cdot \partial_u f_v$$

over u so that $\deg(u) \approx \deg(v)/2$

depth $\log^2(\cdot)$ simulations

sketch (induction - over simplification)

homogeneous circuit of size s and degree r

for each v recursively compute f_v by

$$f_v = \sum_u f_u \cdot \partial_u f_v$$

over u so that $\deg(u) \approx \deg(v)/2$

“chain rule”

$$\partial_u f_v = \sum_w \partial_u f_w \cdot \partial_w f_v$$

circuits \rightarrow depth 3 circuits

depth 3 simulations

[Gupta-Kamath-Kayal-Saptharishi 13]

over \mathbb{Q} a circuit of size s and degree r can be simulated by circuit of depth 3 and size

$$2^{O(\sqrt{r \log(sr) \log(n)})}$$

comments:

- (i) non homogeneous: degree \approx size
- (ii) over fields of large characteristic (necessary)

depth 3 simulations

overview (quantitatively inaccurate)

circuit of size s and degree r

$\rightarrow \Sigma \Pi^{(\sqrt{r})} \Sigma \Pi^{(\sqrt{r})}$ circuit of size $2^{\sqrt{r}}$

$\rightarrow \Sigma \wedge^{(\sqrt{r})} \Sigma \Sigma \wedge^{(\sqrt{r})} \Sigma$ circuit of size $2^{\sqrt{r}}$

$\rightarrow \Sigma \Pi \Sigma$ circuit of size $2^{\sqrt{r}}$

\wedge is a powering gate

$$\Sigma \Pi \Sigma \Pi \rightarrow \Sigma \wedge \Sigma \wedge \Sigma$$

[Fischer-Ryser]

$$\Pi^{(d)} \rightarrow \Sigma^{(2^d)} \wedge^{(d)} \Sigma:$$

$$\prod_{i=1}^d x_i = \frac{(-1)^d}{d!} \sum_{T \subseteq [d]} (-1)^{|T|} \left(\sum_{i \in T} x_i \right)^d$$

$$\Sigma \Pi \Sigma \Pi \rightarrow \Sigma \wedge \Sigma \wedge \Sigma$$

[Fischer-Ryser]

$$\Pi^{(d)} \rightarrow \Sigma^{(2^d)} \wedge^{(d)} \Sigma:$$

$$\prod_{i=1}^d x_i = \frac{(-1)^d}{d!} \sum_{T \subseteq [d]} (-1)^{|T|} \left(\sum_{i \in T} x_i \right)^d$$

apply to two $\Sigma \Pi$ circuits and merge

$$\Sigma^{(\sqrt{r})} \Pi \Sigma^{(\sqrt{r})} \Pi \rightarrow \Sigma^{(\sqrt{r})} \wedge \Sigma^{(\sqrt{r})} \wedge \Sigma$$

$$\Sigma \wedge \Sigma \wedge \Sigma \rightarrow \Sigma \Pi \Sigma$$

duality trick [Saxena, Shpilka-Wigderson]

$\wedge \Sigma \rightarrow *$: there are uni-variate polynomial g_{ij} so that

$$(x_1 + \dots + x_m)^d = \sum_{i=1}^{md+1} \prod_{j=1}^m g_{ij}(x_j)$$

$$\Sigma \wedge \Sigma \wedge \Sigma \rightarrow \Sigma \Pi \Sigma$$

duality trick [Saxena, Shpilka-Wigderson]

$\wedge \Sigma \rightarrow *$: there are uni-variate polynomial g_{ij} so that

$$(x_1 + \dots + x_m)^d = \sum_{i=1}^{md+1} \prod_{j=1}^m g_{ij}(x_j)$$

to a $\Sigma \Pi \Sigma$ circuit:

$$\begin{aligned} \Sigma \wedge \Sigma \wedge \Sigma &= \sum_t \sum_i \prod_j g_{ij}(\ell_{tij}^d) && \text{(apply to left } \wedge) \\ &= \sum_t \sum_i \prod_\ell (\ell_{til} - \alpha_{til}) && \text{(factor over } \mathbb{C}) \end{aligned}$$

(to go from \mathbb{C} to \mathbb{Q} need to pay some more)

summary

several depth reductions

useful for

- ▶ computations
- ▶ lower bounds

importance of homogeneous polynomials

importance of grading (degree, ...)