

ADFOCS 2017

monotonicity

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# introduction

# monotone polynomials

matrix product

$$XY$$

convolution

$$(x * y)_g = \sum_{h \in G} x_h y_{h^{-1}g}$$

permanent

$$\text{perm}_n(X) = \sum_{\pi \in S_n} \prod_{i \in [n]} X_{i, \pi(i)}$$

symmetric polynomials

$$S_{n,d}(X) = \sum_{T \subseteq [n]: |T|=d} \prod_{i \in T} x_i$$

# monotone model

monotone polynomials have non-negative coefficients

monotone devices use only positive numbers

## other models

### **monotonicity also appears in other models**

- ★ context-free grammars
- ★ algorithms use the semi-ring  $(+, \min)$

## (tropical) algorithmic example

Bellman-Ford dynamic program (shortest  $s$ - $t$  path)

$$f_{\ell+1}(v) = \min\{f_{\ell}(v)\} \cup \{f_{\ell}(u) + w_{u,v} : u \neq s\}$$

Floyd-Warshall dynamic program (all pairs shortest path)

$$f_{\ell+1}(v, u) = \min\{f_{\ell}(v, u), f_{\ell}(v, \ell + 1) + f_{\ell}(\ell + 1, u)\}$$

[BF] is **incremental** and [FW] is not

# dynamic programs

## [Jukna, Hrubes-Y]

there is an optimization problem over  $n$  elements

$$\min_{h \in H} \sum_{v \in V} x_{v, h(v)}$$

that can be solved in  $\text{poly}(n)$  steps using a non-incremental dynamic program but every incremental dynamic program must use  $n^{\Omega(\log n)}$  steps to solve

**monotone complexities of a monotone polynomial?**



## [Schnorr]

the monotone circuit complexity of  $n \times n$  matrix product is  $\Theta(n^3)$

the monotone circuit complexity of convolution is  $\Theta(n^2)$  over  $\mathbb{Z}_n$

**false for non-monotone** [Strassen,...] & FFT

# one negation suffices

## [Valiant]

every circuit of size  $s$  over  $\mathbb{R}$  can be written as the difference of two monotone circuits, each of which is of size  $O(s)$

# one negation can be powerful

## [Valiant]

the perfect matching polynomial

$$p(x) = \sum_{M \subseteq E} \prod_{e \in M} x_e$$

where  $M$  is perfect matching of the triangle grid of length  $n$

★  $p$  is monotone

★  $p$  has a circuit of size  $\text{poly}(n)$  [**Kasteleyn**]

★ every monotone circuit for  $p$  has size  $\text{exp}(n)$

**relations between monotone devices?**

as before

all simulations preserve monotonicity, except reduction to depth 3

**are they sharp?**

# formulas versus circuits/ABPs

## [Shamir-Snir 79]

a monotone formula for  $IMM_{n,n \times n}$  has size  $n^{\Omega(\log n)}$

## conclusion

Hyafil's simulation is sharp; every

$$ABP_{\text{monotone}} \rightarrow \text{formula}$$

must incur super-poly blowup

# ABPs versus circuits

## [Hrubes-Y 15]

there is an  $n$ -variate polynomial with monotone circuit complexity  $\text{poly}(n)$  but monotone ABP complexity  $n^{\Omega(\log n)}$

## conclusion

VSBR can not be made more efficient for ABPs, without “violating monotonicity”

ABPs are much stronger than formulas (IMM)

how to prove lower bounds?



## **outline of lower bounds proofs**

weakness

combinatorics / counting

# weakness of circuits

## lemma

a monotone circuit of size  $s$  and pure degree  $r$  can be written as:

$$f = \sum_{i=1}^s h_i g_i$$

where for each  $i$

★  $h_i, g_i$  are homogeneous and monotone

★  $r/3 \leq \deg(h_i) < 2r/3$  and  $\deg(g_i) = r - \deg(h_i)$

# weakness of circuits

## lemma

a monotone circuit of size  $s$  and pure degree  $r$  can be written as:

$$f = \sum_{i=1}^s h_i g_i$$

where each  $h_i g_i$  is a “balanced” product

## comments:

- ★  $f$  is hard if “far from a product set”  $\frac{|mon(hg)|}{|mon(f)|} \ll 1$
- ★ importance of grading polynomials
- ★ can potentially prove non-monotone lower bounds

## monotone LB for permanent

write

$$\text{perm}_n = \sum_{i=1}^s h_i g_i$$

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**claim**

if  $h, g$  are homogeneous,  $\text{mon}(hg) \subset \text{mon}(\text{perm})$  and  $r = \text{deg}(h)$

$$\frac{|\text{mon}(hg)|}{|\text{mon}(f)|} \leq \frac{r!(n-r)!}{n!}$$

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$$s \geq \binom{n}{n/3} = 2^{\Omega(n)}$$

# weakness of formulas

## lemma

a monotone formula of size  $s$  and pure degree  $r$  can be written as:

$$f = \sum_{i=1}^s g_{i,1} g_{i,2} \cdots g_{i,t}$$

with  $t = \Omega(\log r)$  where monotonicity holds and for all  $i, j < t$

$$(1/3)^j r \leq \deg(g_{i,j}) \leq (2/3)^j r$$

## monotone formula LB for IMM

write

$$f = (X^{(1)}X^{(2)} \dots X^{(r)})_{1,1} = \sum_{i=1}^s g_i$$

where  $g_i$  is a product of length  $t \approx \log r$

**claim** if  $g = g_1 \cdots g_t$  as above and  $\text{mon}(g) \subset \text{mon}(f)$

$$\frac{|\text{mon}(g)|}{|\text{mon}(f)|} \leq n^{-\Omega(t)}$$



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**sketch**

- i. there is partition of  $[r]$  to  $\{S_j\}$  so that  $\text{var}(g_j) \subset \bigcup_{i \in S_j} X^{(i)}$
- ii.  $x_{1,1}^{(1)}$  can multiply  $x_{1,k}^{(2)}$  but not  $x_{2,k}^{(2)}$
- iii. each product reduces number of monomials by  $1/n$

# weakness of ABPs

## lemma

for all  $k \leq r$  a monotone ABP of size  $s$  and degree  $r$  can be written as:

$$f = \sum_{i=1}^s h_i g_i$$

where for each  $i$

★  $h_i, g_i$  are homogeneous and monotone

★  $\deg(h_i) = k$  and  $\deg(g_i) = r - k$

**comment:** weaker than circuits in that  $k$  is fixed

# monotone circuits versus ABPs

## [Hrubes-Y]

there is an  $n^2$ -variate degree- $n$  polynomial  $f$  so that

★ has  $poly(n)$ -size monotone circuit

(and  $f = \sum_{i=1}^n h_i g_i$  with  $deg(h_i) = n/2$ )

★ for some  $k$  if  $f = \sum_{i=1}^s h_i g_i$  monotonically with  $deg(h_i) = k$  then

$$s \geq n^{\Omega(\log n)}$$

detour: isoperimetry

## definitions

let  $G = (V, E)$  be an undirected graph

the size of the (edge) boundary of  $A \subseteq V$  is

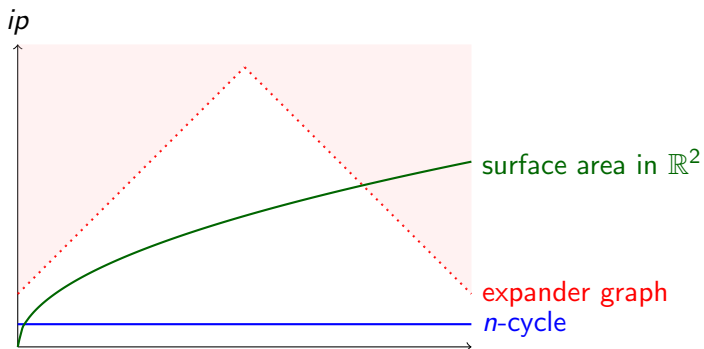
$$e(A) = |E(A, B)|$$

where  $E(A, B) = \{\{a, b\} \in E : a \in A, b \in B\}$  and  $B = V \setminus A$

the isoperimetric profile is

$$ip(k) = \min\{e(A) : |A| = k\}$$

pictorially



## sensitive isoperimetric profiles

can  $ip(k)$  be very sensitive to  $k$ ?

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**[Hrubes, Y]** the full binary tree  $T_d$  of depth  $d$

for each  $0 < k < |V(T_d)|$

$$drop(k)/2 \leq ip(k) \leq 2drop(k)$$

where

$$drop(k) = |\{i : B_{i+1}(k) > B_i(k)\}|$$

and  $B_0(k), B_1(k), \dots$  is the binary representation of  $k$



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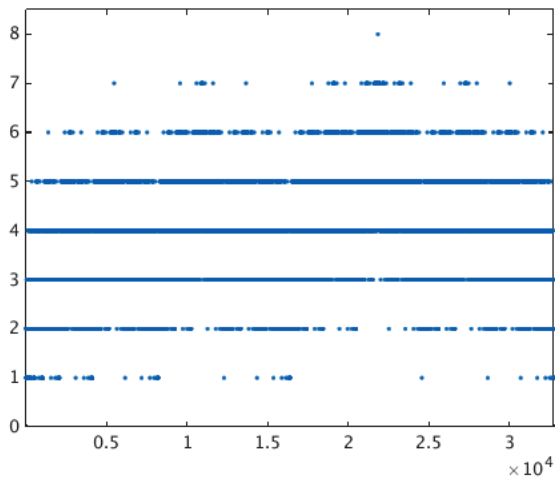
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- i.  $ip$  of infinite binary tree studied by [Bharadwaj, Chandran, Das]
- ii. have more accurate estimates on  $ip$  but no “explicit” formula

pictorially



graph of  $drop(k) \approx ip_{T_{15}}(k)$

## summary

for the full binary tree  $T_d$

1.  $ip$  constantly fluctuates between 1 and  $\Omega(d)$
2. for  $\sigma_d$  that has binary representation  $(1, 0, 1, 0, 1, 0, \dots)$

$$ip(\sigma_d) = \frac{d}{2} - \Theta(\log(d))$$

$$\sigma_d \approx \frac{2}{3}|V(T_d)|$$

sharpness of

*circuits*  $\xrightarrow{\text{monotone}}$  *ABPs*

**theorem:**

the  $n$ -variate tree polynomial  $\tau = \tau_n$  has

1. monotone circuit-size  $\leq \text{poly}(n)$
2. monotone ABP-size is  $\geq n^{\Omega(\log n)}$

fix  $d, m$  and let  $V = V(T_d)$

a function  $\gamma : V \rightarrow \mathbb{Z}_m$  is called legal if for every vertex  $v$  which is not a leaf and its two children  $v_1, v_2$ , we have

$$\gamma(v) = \gamma(v_1) + \gamma(v_2)$$

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### **the tree polynomial**

$$\tau(x) = \sum_{\gamma \in \text{legal}} \prod_{v \in V} x_{v, \gamma(v)}$$

$\tau$ 

**boundary lemma:** if  $\text{mon}(hg) \subset \text{mon}(\tau)$  and

$$A = \{v : x_{v,*} \in h\} \text{ and } B = \{v : x_{v,*} \in g\}$$

then

$$A \cap B = \emptyset$$

and

$$\frac{|\text{mon}(hg)|}{|\text{mon}(\tau)|} \leq m^{-|E(A,B)|/4}$$

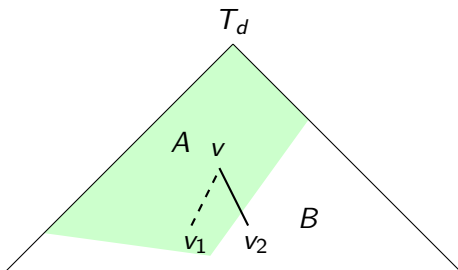


$\mathcal{T}$ 

**boundary lemma:** if  $\text{mon}(hg) \subset \text{mon}(\tau)$  and  $A = \{v : x_{v,*} \in h\}$  and  $B = \{v : x_{v,*} \in g\}$  then

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**intuition:** each edge in boundary reduces number of options by factor of  $m$  since  $\gamma(v) = \gamma(v_1) + \gamma(v_2)$



# the tree polynomial

**theorem:** for  $m = 2^d = n$

1. monotone circuit-size of  $\tau$  is  $\leq O(m^3 2^d) = \text{poly}(n)$
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**proof**

1. tree  $\Rightarrow$  simple induction
2. structure of ABPs & boundary lemma &  $ip(\sigma_d) = \Omega(d)$

# summary

monotone computations are naive (?)

still, non-trivial algorithms

appear in various contexts

demonstrate interesting phenomena

combinatorial arguments