

# Hidden Markov Models for Information Extraction

Speaker:

Bibek Paudel

Tutor:


Maximilian Dylla

Saarland University, Germany

May 26, 2011

- Location -> *Russisches Haus der Wissenschaft und Kultur*

**FRI 20**  
MAY  
**StudyWorld 2011**  
12:00am at Russisches Haus der Wissenschaft und Kultur  
International Fair for Higher and Continuing Education

1 Upcoming users |  [Add to calendar](#)

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**SUN 22**  
MAY  
**38th International Symposium on Compound Semicondu...**  
12:00am at MARITIM proArte Hotel Berlin  
Following the great success of the last meeting in Takamatsu, which attracted 675 attendees, the renowned conference wil...

1 Upcoming users |  [Add to calendar](#)

# Motivation

- *Location -> Russisches Haus der Wissenschaft und Kultur*
- *Speaker -> Prof. Barbara Liskov*

**Subject:** Invitation: Prof. Barbara Liskov  
**From:** "SWS Office Team" <office@mpi-sws.org>  
**Date:** Mon, May 9, 2011 1:32 pm  
**To:** sws-science@mpi-sws.org ([more](#))  
**Priority:** Normal  
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As part of its Distinguished Lecture Series, the Max Planck Institute for Software Systems is pleased to announce:

Prof. Barbara Liskov, Massachusetts Institute of Technology

The Power of Abstraction

Monday, May 23, 2011 4:00 p.m., rotunda 57, TU Kaiserslautern

Simultaneous videocast to MPI-Inf room 024

# Motivation

- *Location -> Russisches Haus der Wissenschaft und Kultur*
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- Document usually contains much irrelevant text (sparse)

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- Document usually contains much irrelevant text (sparse)
- Find relations
- Populate database slots with phrases from documents

# Agenda

- 1 Motivation
- 2 Hidden Markov Models
  - Introduction
  - The Task
- 3 Example
- 4 Problems
- 5 Procedure
- 6 Results
- 7 Conclusion

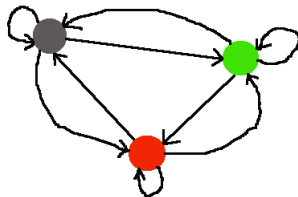
- Finite State Machines



# Introduction

- Finite State Machines
- A generative process

# Introduction

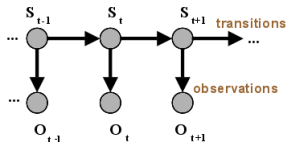


Yes, Albert Einstein was born in Ulm.



- Finite State Machines
- A generative process
- Next state depends only on current state

# Introduction



$$P(s, o) \propto \prod_{t=1}^{|o|} P(s_t | s_{t-1}) P(o_t | s_t)$$

Parameters: for all states  $S = \{s_1, s_2, \dots\}$

Start state probabilities:  $P(s_1)$

Transition probabilities:  $P(s_t | s_{t-1})$

Observation (emission) probabilities:  $P(o_t | s_t)$

Usually a multinomial over  
atomic, fixed alphabet

Training:

Maximize probability of training observations (w/ prior)

# Introduction

- Finite State Machines
- A generative process
- Next state depends only on current state
- Given some text, recover the states that generated the text

# Why HMM

- Reasons for using HMM

# The Task

Given:

a sequence of observations: Yes, Albert Einstein was born in Ulm.  
a trained HMM

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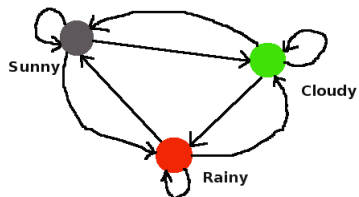
a sequence of observations: Yes, Albert Einstein was born in Ulm.  
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- Find the most likely state sequence
- Any words generated by the 'red state' are 'names'
- Viterbi Algorithm

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# An Example



S3, S3, S1, S1, S1, S3, S2, S3



## An Example

- Prepare a matrix of conditional probabilities (pairwise)

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix}$$

## An Example

- Prepare a matrix of conditional probabilities (pairwise)

$$\begin{bmatrix} 0.4 & 0.3 & 0.3 \\ 0.2 & 0.6 & 0.2 \\ 0.1 & 0.1 & 0.8 \end{bmatrix}$$

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- First order truncation by Markov property gives:

$$P(S_3) \prod P(q_t \mid q_{t-1})$$

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$$P(S_3)P(S_3 \mid S_3)P(S_1 \mid S_3)P(S_1 \mid S_1)P(S_1 \mid S_1)P(S_2 \mid S_1)P(S_3 \mid S_2)$$

# An Example

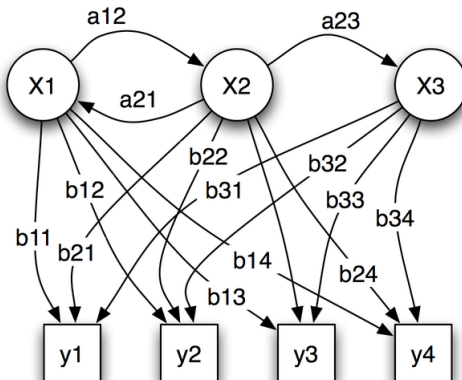


Figure: The Model

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For an observation sequence  $O = O_1 \dots O_T$ , the three *canonical* HMM problems are:

- Evaluation Problem
  - ① Given a model, find probability of Observation Sequence
  - ② Forward-Backward

For an observation sequence  $O = O_1 \dots O_T$ , the three *canonical* HMM problems are:

- Evaluation Problem
- Inference Problem
  - 1 Given the Observation Sequence  $O = O_1 \dots O_T$  and the model  $\lambda$ , find the most likely state sequence
  - 2 Choose an optimal state sequence  $Q = q_1 q_2 \dots q_T$

For an observation sequence  $O = O_1 \dots O_T$ , the three *canonical* HMM problems are:

- Evaluation Problem
- Inference Problem
- Learning Problem
  - 1 How to model parameters in order to maximize probability of Observation Sequence ?
  - 2 We have to produce the actual model, the matrix



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## Procedure: HowTo

Model  $\lambda = (A, B, \pi)$  and observation sequence  $O$

- Target, Prefix, Suffix and Background

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- Lengthen, Split and Add
- Shrinkage
- Use dynamic programming to find the most likely state sequence
- The Viterbi Algorithm

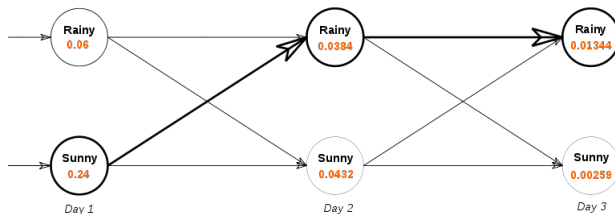


Figure: Viterbi

# Procedure: The Details

- One HMM per class of information

# Procedure: The Details

- One HMM per class of information
- Train on Labelled data



# Procedure: The Details

- One HMM per class of information
- Train on Labelled data
- Background and Target States

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# Results

	<i>speaker</i>	<i>location</i>	<i>acquired</i>	<i>dlramt</i>	<i>title</i>	<i>company</i>	<i>conf</i>	<i>deadline</i>	<b><i>Average</i></b>
Grown HMM	76.9	87.5	41.3	54.4	58.3	65.4	27.2	46.5	57.2
vs. SRV	+19.8	+16.0	+1.1	-1.6	—	—	—	—	+8.8
vs. Rapier	+23.9	+14.8	+12.5	+15.1	-11.7	+24.9	—	—	+13.3
vs. Simple HMM	+24.3	+5.6	+14.3	+5.6	+5.7	+11.1	+15.7	+6.7	+11.1
vs. Complex HMM	-2.1	+6.7	+7.5	-0.3	-0.3	+19.1	+0.0	-6.8	+3.0

Figure: Results

# Conclusion

- Automatic generation of HMMs for IE
- Very effective and popular
- Better alternatives exist today (eg: CRF)

- ① Information Extraction with HMM Structures Learned by Stochastic Optimization. Dayne Freitag and Andrew McCallum. AAAI'00.
- ② A Tutorial on Hidden Markov Models and Selected Applications in Speech Recognition. Lawrence R. Rabiner.