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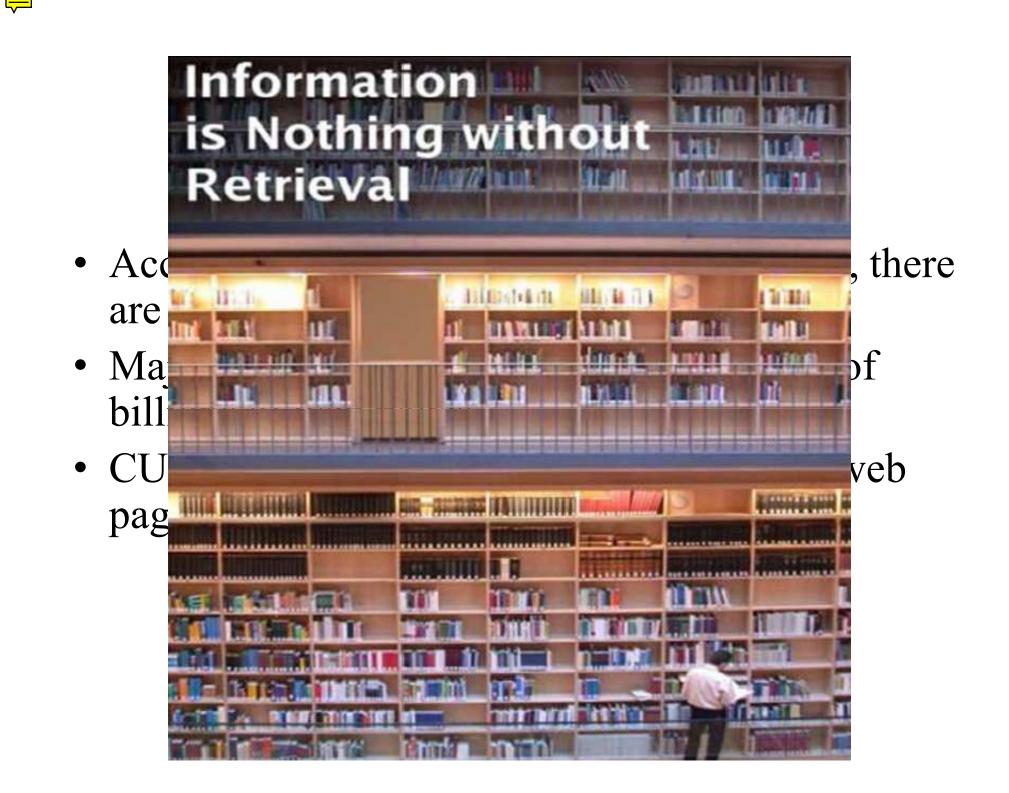
# INTRODUCTION

## Overwhelmed by Flood of Information



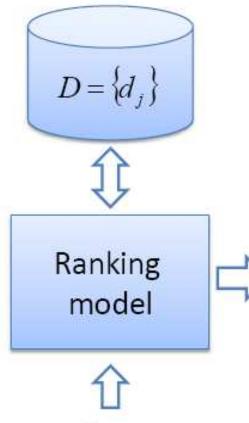


- According to www.worldwidewebsize.com, there are more than 25 billion pages on the Web.
- Major search engines indexed at least tens of billions of web pages.
- CUIL.com indexed more than 120 Billion web pages.

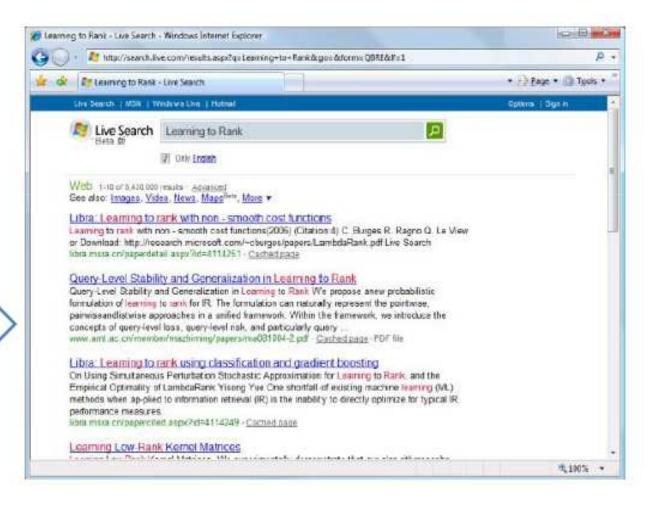


# Ranking is Essential

#### Indexed Document Repository



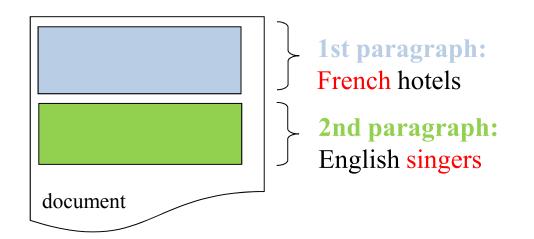
Query



# Term Proximity

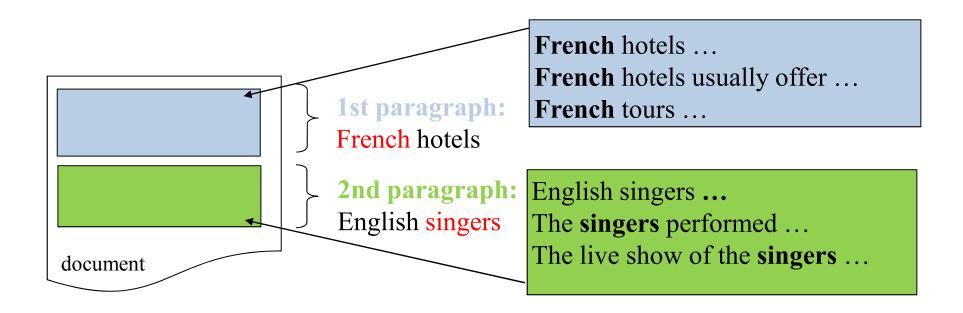
Content scores: "bag of words", no term proximity => frequently unsatisfactory results

Example: query: French singers



All query terms individually important, but appear in different paragraphs. Phrase queries can avoid such bad results. But: prevent also many potentially good results.

## Reason



*Idea behind proximity scores:* 

Proximity scores will be low for high positional distances between query term

# Ranking Models

- BM25;

- BM25-P1;
- BM25-P2;
- BM25-P3;

- λBM25;
- λBM25-2;
- λBM25-2RC;

- SPAN;
- SPAN-F;
- SPAN-P.

## BM25

- a probabilistic model of information retrieval

*Relevance score* S is computed as:

$$S = \sum_{t \in q} w_t \frac{(k+1) \cdot f_t}{K + f_t},$$
$$K = k \left[ (1-b) + b \cdot \frac{l}{avl} \right]$$

t - a term in query q;

 $\ell$  – the length of document *d*;

 $f_t$  – the frequency of t in document d;

 $\alpha v\ell$  – the average document length in the collection;

 $w_t$  – Robertson-Sparck-Jones inverse document frequency of term t;

k, b – tuning parameters.

## Robertson-Spark-Jones

- inverse document frequency of term t (IDF).

$$w_t = \log \frac{N - df_t + 0.5}{df_t + 0.5},$$

N- the number of documents in the collection; dft- the document frequency of term t.

# Integration Term Proximity into BM25 BM25-P1

*– incorporates matches of adjacent and non-adjacent query bigram frequencies.* 

$$BM25 - P1 = S + \sum_{t_i, t_j \in q/i < j} \left[ \min(w_i, w_j) \cdot \frac{(k+1) \cdot \sum_{occ(t_i, t_j)} |p_j - p_i|^{-2}}{K + \sum_{occ(t_i, t_j)} |p_j - p_i|^{-2}} \right]$$

*p<sub>i</sub>*, *p<sub>j</sub>* – respective positions of query terms *t<sub>i</sub>*, *t<sub>j</sub>* in the document; *occ(t<sub>i</sub>*, *t<sub>j</sub>)* – occurrences of a query term pair *t<sub>i</sub>*, *t<sub>j</sub>* in the document; *min(w<sub>i</sub>*, *w<sub>j</sub>)* – minimum of the Robertson-Sparck- Jones inverse document frequencies of term *i* and term *j*.

## Example of BM25-P1

Query 
$$q = (t_i, t_j, t_k)$$
 is:

"sea thousand years" and  $d_{max} = 10$ .

Erosion<sup>1</sup> It<sup>2</sup> took<sup>3</sup> the<sup>4</sup> *sea*<sup>5</sup> a<sup>6</sup> *thousand*<sup>7</sup> *years*,<sup>8</sup>

Obtain set of term query pairs:

$$q = \{(t_i, t_j), (t_i, t_k), (t_j, t_k)\}$$

Term pair instance weight is:

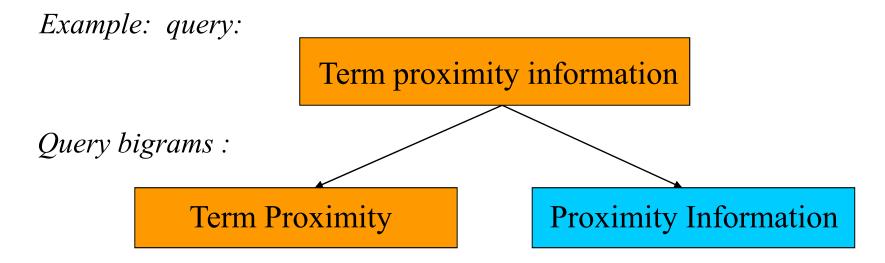
$$\sum_{occ(t_i,t_j)} \begin{cases} |p_j - p_i|^{-2} (\text{sea}^5, \text{ thousand } ^7) = \left(\frac{1}{7-5}\right)^2 = 0.25 \\ |p_k - p_i|^{-2} (\text{sea}^5, \text{ years}^8) = \left(\frac{1}{8-5}\right)^2 = 0.111 \\ |p_k - p_j|^{-2} (\text{thousand } ^7, \text{ years}^8) = \left(\frac{1}{8-7}\right)^2 = 1 \end{cases}$$

## BM25-P2

- employs matches of adjacent query bigrams in the document.

$$BM25 - P2 = \sum_{t_i, t_{i+1} \in q} \left[ w_{i,i+1} \cdot \frac{(k+1) \cdot f_{i,i+1}}{K + f_{i,i+1}} \right],$$

 $W_{i,i+1}$  – document frequency of query bigram  $t_i$ ,  $t_{i+1}$ ;  $f_{i,i+1}$  – term frequency of query bigram  $t_i$ ,  $t_{i+1}$ .



# Four Cases of Span

- 1. The distance between the current and the next is bigger than a threshold *dmax*, then the chain is separated between these two terms;
- 2. The current and the next terms are identical, then the chain is separated between these two terms;
- 3. The next term is identical to a term with former continuous sub-chain, then the distance between the current and the next and the distance between the identical term and its next is compared, the chain is separated at the bigger gap.
- 4. Otherwise, go on scanning the next term.

## Example: How Does Span Proximity Work?

Query is: "sea thousand years" and  $d_{max} = 10$ .

Erosion<sup>1</sup> It<sup>2</sup> took<sup>3</sup> the<sup>4</sup> *sea*<sup>5</sup> a<sup>6</sup> *thousand*<sup>7</sup> *years*,<sup>8</sup> A<sup>9</sup> *thousand*<sup>10</sup> *years*<sup>11</sup> to<sup>12</sup> trace<sup>13</sup> The<sup>14</sup> granite<sup>15</sup> features<sup>16</sup> of<sup>17</sup> this<sup>18</sup> cliff,<sup>19</sup> In<sup>20</sup> crag<sup>21</sup> and<sup>22</sup> scarp<sup>23</sup> and<sup>24</sup> base<sup>25</sup>.

It<sup>26</sup> took<sup>27</sup> the<sup>28</sup> *sea*<sup>29</sup> an<sup>30</sup> hour<sup>31</sup> one<sup>32</sup> night,<sup>33</sup> An<sup>34</sup> hour<sup>35</sup> of<sup>36</sup> storm<sup>37</sup> to<sup>38</sup> place<sup>39</sup> The<sup>40</sup> sculpture<sup>41</sup> of<sup>42</sup> this<sup>43</sup> granite<sup>44</sup> seams,<sup>45</sup> Upon<sup>46</sup> a<sup>47</sup> woman<sup>48</sup>'s<sup>49</sup> face<sup>50</sup>. —E.<sup>51</sup> J.<sup>52</sup> Pratt<sup>53</sup> (1882 <sup>54</sup>—1964)<sup>55</sup>

## First Span ...

Erosion<sup>1</sup> It<sup>2</sup> took<sup>3</sup> the<sup>4</sup> sea<sup>5</sup> a<sup>6</sup> thousand<sup>7</sup> years,<sup>8</sup> A<sup>9</sup> thousand<sup>10</sup> years<sup>11</sup> to<sup>12</sup> trace<sup>13</sup>

– Scanning *sea*<sup>5</sup>. For *sea*<sup>5</sup> and *thousand*<sup>7</sup>, the 4<sup>th</sup> case is applied.

It<sup>2</sup> took<sup>3</sup> the<sup>4</sup> *sea*<sup>5</sup> a<sup>6</sup> *thousand*<sup>7</sup> *years*,<sup>8</sup> A<sup>9</sup> *thousand*<sup>10</sup> *years*<sup>11</sup> to<sup>12</sup> trace<sup>13</sup>

– For *years*<sup>8</sup>, next term is *thousand*<sup>10</sup>, is identical to *thousand*<sup>7</sup>, the  $3^{rd}$  case is applied.

- As *thousand*<sup>7</sup> is nearer to *years*<sup>8</sup> than is *thousand*<sup>10</sup>, so the chain is separated before *thousand*<sup>10</sup>.

First span is:  $(sea^5 \dots years^8)$ .

# The Second, ... Spans

A<sup>9</sup> *thousand*<sup>10</sup> *years*<sup>11</sup> to<sup>12</sup> trace<sup>13</sup> The<sup>14</sup> granite<sup>15</sup> features<sup>16</sup> of<sup>17</sup> this<sup>18</sup> cliff,<sup>19</sup>

Apply the  $4^{th}$  case for thousand<sup>10</sup>. After scanning years<sup>11</sup>, the distance between sea<sup>29</sup> and years<sup>11</sup> is further than  $d_{max}$ . Applying the 1<sup>st</sup> case, expand span: (thousand<sup>10</sup> years<sup>11</sup>)

> A<sup>9</sup> *thousand*<sup>10</sup> *years*<sup>11</sup> to<sup>12</sup> trace<sup>13</sup> The<sup>14</sup> granite<sup>15</sup> features<sup>16</sup> of<sup>17</sup> this<sup>18</sup> cliff,<sup>19</sup> In<sup>20</sup> crag<sup>21</sup> and<sup>22</sup> scarp<sup>23</sup> and<sup>24</sup> base<sup>25</sup>.

It<sup>26</sup> took<sup>27</sup> the<sup>28</sup> sea<sup>29</sup> an<sup>30</sup> hour<sup>31</sup> one<sup>32</sup> night,<sup>33</sup>

An expanded span:  $(sea^{29})$  is a single query term.

Spans of the document is:

{(*sea*<sup>5</sup> ... *years*<sup>8</sup>), (*thousand*<sup>10</sup> *years*<sup>11</sup>), (*sea*<sup>29</sup>)}

## Width? Relevance?

Width of an expanded spans is:  $\{4, 2, 10\}$ .

*Relevance Contribution* – of one term occurrence, only for which contain term, is:

$$f(t, span_i) = \left(\frac{n_i}{d(span_i)}\right)^x \cdot (n_i)^y$$

$$f(sea, (sea^5...year^8)) = \frac{3}{4} \times 3 = 2.25$$

$$f(year,(thousand^{10}year^{11})) = \frac{2}{2} \times 2 = 2$$

$$f(sea, (sea^{29})) = \frac{1}{10} \times 1 = 0.1$$

Relevance Contribution:  $rc = \sum_{i} f(t, span_{i})$ 

## **Relevance** Contribution

$$rc(t) = \sum_{i/t \in s_i} n_i^{\lambda} d(s_i)^{-\gamma}$$

$$d(s_i) = \begin{cases} p_{i,e} - p_{i,b} + 1, p_{i,b} \neq p_{i,e}, \\ d_{\max}, otherwise \end{cases}$$

 $d(s_i)$  – width of span  $s_i$ ;

 $n_i$  – is the number of query terms that occur in span  $s_i$ ;

 $\lambda$ ,  $\gamma$  – tuning parameters;

 $p_{i,b}$ ,  $p_{i,e}$  – span's beginning and end positions in the document;  $d_{max}$  – distance threshold.

# BM25-P3, Song's Span Model

- this approach segments a document into spans

$$BM25 - P3 = \sum_{t \in q} w_t \frac{(k+1) \cdot rc(t)}{K + rc(t)}$$

rc(t) – relevance contribution;  $w_t$  – Robertson-Sparck-Jones inverse document frequency of term t. In *Span Model BM25* the relevance contribution of a span is the number of query terms in the span and the total number of terms in the span.

## The idea of

# Span Ranking Model is ... ?

# The Goodness Of a Span

*– through the span based features* 

Using:

- the structured nature of web documents;
- span features (formatting, third-party data, linguistic);
- machine learning techniques.

For improving the **relevance of a span**.

Reason:

– for improving retrieval effectiveness.

# Deriving Span Goodness

*– span is a vector of feature values* 

"Goodness" score  $g_s$  to each span s is:

$$g_s = \sum_f \alpha_f v_{f,s}$$

f – feature of span s;

 $v_{f,s}$  – value of feature f for span s;

 $\alpha_f$  – weight of feature f, apply machine learning to learn the weights.

## Goodness Score – for a document

– based on the spans contained in the document:

$$g_d = \sum_s \sum_f \alpha_f v_{f,s}$$

By reversing the summations:

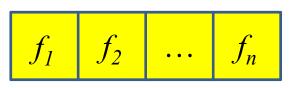
*f*-feature of span s;  

$$\alpha_f$$
-weight of feature f;  
 $v_{f,s}$ -value of feature f for span s;  
 $g_d = \sum_f \alpha_f \left( \sum_s v_{f,s} \right)$ 

 $\sum_{s} v_{f,s}$  – the sum of the document's spans' feature vectors.

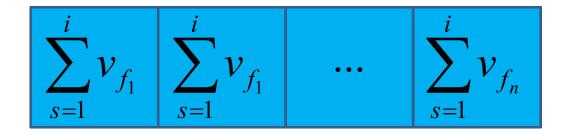
# Algorithm of "goodness" score

Span vector of features :



Document which contain *i* spans

Define the sum of values of each feature of the span vector:



Learn the feature weights  $(\alpha_f)$  over the labelled training data. Using machine learning (LambdaRank).

"Goodness" score of document is the sum of multiplications feature weights with sums of value for each feature of document

# **Span-Based** Features

Span vector consists of several types of *query dependent* features:

*– basic query match features* 

### Query Match Features

Span contains  $\geq 2$  query terms (binary) Span contains  $\geq 4$  query terms (binary) Span length (number of terms in span) Count of query terms in span Density of span

- determine how many query terms are matched in the span and how many total terms are in the span;
- the density of the span is calculated as the number of query terms in the span divided by the number of terms in the span.

# Formatting and Linguistic Features

### Formatting Features (F)

Count of indefinite articles in spans; Count of definite articles in spans; Count of stopwords in span; Span contains only stopwords (binary); Span contains a sentence boundary (binary); Span contains a paragraph boundary (binary); Span contains html markup (bold, italic, tags) (binary).

### *These features include information about:*

- definite and indefinite articles in the span;
- the html markup contained in the span.

# Third-party Phrase Features

### Third-party Phrase Features (P)

Span contains important phrase (binary); Count of important phrases in span; Density of important phrases in span.

### The third set of features determines:

- if the span contains an "important" phrasing of the query;
- if query terms found in the span match an important phrase.

The list of important phrases was extracted from Wikipedia.

# **Additional Features**

– express the attributes of specific span features

### *I. λBM25 Features*

Term frequency of query unigrams; Document frequency of query unigrams; Length of body content (number of terms).

### *II. λBM25-2 Features*

Term frequency of query bigrams; Document frequency of query bigrams.

# Additional Features

- express the attributes of specific span features

### III. Proximity Match Features

Relevance contribution per query term; Number of spans in the document; Max, avg span length; Max, avg count of query matches in spans; Max, avg span density; Length of span with highest term frequency; Term frequency of span with longest length; Term frequency of span with largest density.

The authors perform features which are most impactful and effective for improving web retrieval.

# Experimental Setup

## Datasets – Real-world Web data collection

*– was used for evaluating proximity methods* 

Queries:

- are English;
- contain up to 10 query terms;
- sampled from query logs of a search engine;
- is associated with 150-200 URLs documents;
- human-generated relevance label from 0 to 4.

### Splits separate:

- one separates short from long queries;
- the other separates head from tail queries.

Queries				
Head	Tail	Short	Long	
More popular queries	Less popular queries	Less 4 terms in query	More 4 terms in query	

## **Evaluation Measure**

Normalized Discounted Cumulative Gain (NDCG) was used for evaluating results:

$$NDCG@L_{q} = \frac{100}{Z} \sum_{r=1}^{L} \frac{2^{l(r)} - 1}{\log(1 + r)}$$

 $l(r) \in \{0, ..., 4\}$  – relevance label of the document at rank position r; L – truncation level to which NDCG is computed; Z – chosen such that the perfect ranking would result in NDCG@Lq = 100.

Mean NDCG@L: 
$$\frac{1}{N} \sum_{q=1}^{N} NDCG @ L_q$$

NDCG is well-suited for Web search applications for multilevel relevance labels.

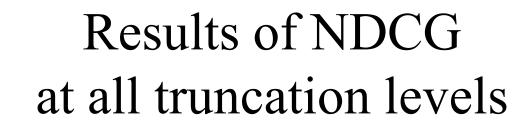
# Ranking Model Comparison

Model	Differences	Used Features
BM25	Scoring function has been used in the best performing TREC Web track systems.	Does not use features. (term frequency)
BM25-P1	Scoring function matches of adjacent and non-adjacent query bigram frequencies.	Does not use features.
BM25-P2	Scoring function. It is a slight modification to the function of BM25-P1	Does not use features.
BM25-P3	The scoring function that incorporates spans into BM25.	Does not use features.

# Ranking Model Comparison

Model	Differences	Used Features
λ <i>BM25</i>	The method of training $\lambda$ Rank= 10 <sup>-5</sup> over the input features of BM25. $\lambda$ BM25 was trained on training set (learning rate = 10 <sup>-5</sup> ).	"λBM25 Features"
λ <i>BM25-2</i>	λBM25 with additional features to incorporate bigrams.	λBM25 and λBM25-2
λBM25- 2RC	$\lambda$ BM25-2 with an additional feature, the relevance contribution score per query term based on spans.	All features.
Span model	Contains all features.	
Span-F	Contains all features except "Formatting Features".	
Span-P	Contains all features except "Third-party Phrase Features"	

#### Results



Model	N@1	N@3	N@10
BM25	24.60	27.74	34.34
BM25-P1	26.06	29.54	36.00
BM25-P2	25.27	28.72	35.35
BM25-P3	25.97	29.36	35.84
λBM25	26.22	29.41	35.92
λBM25-2	26.34	29.54	36.42
$\lambda$ BM25-2RC	26.96	30.51	37.17
Span	29.56	32.23	38.47
Span-P	28.90	31.81	38.20
Span-F	26.03	29.45	36.81

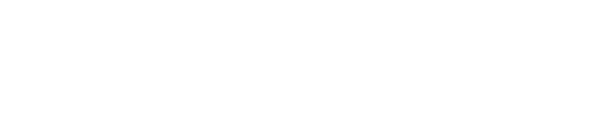
Split	Model	N@1	N@3	N@10
	BM25	25.59	28.05	35.01
	BM25-P1	26.89	29.77	35.99
	BM25-P2	25.95	28.98	35.48
	BM25-P3	26.58	29.65	36.13
Head	λBM25	27.37	30.06	36.3
IICau	λBM25-2	26.94	29.76	36.45
	$\lambda$ BM25-2RC	29.73	32.04	38.18
	Span	30.27	32.63	38.61
	Span-P	29.65	32.10	38.27
	Span-F	26.46	29.40	36.77

Scoring function is input as one of the features into ranking model.

Split	Model	N@1	N@3	N@10
	BM25	21.23	25.13	32.05
	BM25-P1	23.21	28.73	36.04
	BM25-P2	22.93	27.82	34.91
Tail	BM25-P3	23.91	28.38	34.85
	λBM25	22.31	27.17	34.62
1 4 11	λBM25-2	24.31	28.77	36.31
	λBM25-2RC	26.04	30.71	37.86
	Span	26.23*	<b>30.87*</b>	<b>37.99</b>
	Span-P	<b>26.34</b>	30.80	37.96
	Span-F	24.56+	29.62+	36.94+

	BM25 BM25-P1	24.77 25.49	28.08	34.86
Short	BM25-P2 BM25-P3 λBM25	22.93 25.75 26.05	29.08 27.82 29.24 29.29	35.76 34.91 35.87 35.93
-	λBM25-2 λBM25-2RC <b>Span</b> Span-P Span-F	25.62 28.15 <b>28.73*</b> 28.16 24.74+	29.02 31.16 <b>31.82*</b> 31.43 28.27+	36.07 37.76 <b>38.23*</b> 37.91 36.09+

Split	Model	N@1	N@3	N@10
	BM25	24.13	26.75	32.86
	BM25-P1	27.68	30.83	36.68
	BM25-P2	25.08	28.61	35.43
	BM25-P3	26.60	29.73	35.75
	λBM25	26.72	29.73	35.88
Long	λBM25-2	28.38	31.02	37.41
	λBM25-2RC	30.99	33.37	39.09
	Span	31.15	33.41	39.13
	Span-P	31.00	32.88	39.02
	Span-F	29.67+	32.81+	38.08+



# Evaluation of Features in a Full Ranking Model

Full ranking model "R+":

- Combine query-dependent and query-independent features;
- LambdaRank was trained on the various feature sets .

Previous scoring function is input as one of the features into ranking model.

### Results of NDCG

*– at all Truncation levels within a full ranking model* 

Model	N@1	N@3	N@10
R+BM25	36.86	39.17	44.62
R+BM25-P3	37.09	39.14	44.49
R+λBM25	37.51	39.58	44.93
R+λBM25-2	37.24	39.12	44.66
R+λBM25-2RC	37.94	39.93	45.34
R+Span	38.18	40.29*	45.65*
R+Span-P	38.43	40.49	45.75
R+Span-F	37.57+	39.69+	45.01+

#### NDCG results on test set splits

-full ranking model

Split	Model	N@1	N@3	N@10
	R+BM25	39.11	40.73	45.79
	R+BM25-P3	39.20	40.62	45.59
Head	R+λBM25	39.68	41.19	46.13
	R+λBM25-2	39.17	40.63	45.84
	R+λBM25-2RC	40.29	41.70	46.70
	R+Span	40.29	41.97	46.96
	R+Span-P	<b>40.55</b>	<b>42.09</b>	<b>47.01</b>
	R+Span-F	39.66+	41.20+	46.29+

Split	Model	N@1	N@3	N@10
	R+BM25	29.22	33.86	40.64
	R+BM25-P3	29.91	34.09	40.73
	$R+\lambda BM25$	30.15	34.10	40.81
Tail	R+λBM25-2	30.67	33.96	40.66
	R+λBM25-2RC	29.91	33.88	40.86
	R+Span	30.98*	34.55*	41.19*
	R+Span-P	31.19	35.04	41.44
	R+Span-F	30.43	34.58	41.04
	R+BM25	37.83	40.22	45.78
	R+BM25-P3	38.05	40.13	45.62
~1	$R+\lambda BM25$	38.49	40.55	46.09
Short	R+λBM25-2	38.25	40.09	45.84
	R+λBM25-2RC	39.17	41.16	46.67
	R+Span	39.25	41.48*	46.93
	R+Span-P	39.45	41.53	47.02
	R+Span-F	38.49+	40.75+	46.27+

Split	Model	N@1	N@3	N@10
	R+BM25	34.12	36.20	41.32
	R+BM25-P3	34.39	36.32	41.3
Long	R+λBM25	34.76	36.84	41.61
	R+λBM25-2	34.39	36.36	41.31
	R+λBM25-2RC	34.46	36.44	41.72
	R+Span	35.15*	36.89	42.01
	R+Span-P	<b>35.55</b>	<b>37.54</b> *	<b>42.13</b>
	R+Span-F	34.96+	36.69	41.76

# Conclusion

#### • Advantages:

Was proposed a new approach for combining term proximity into a machine learning framework;

Introduced novel span-based ranking features ;

Proximity information is best extracted using spans;

Span-based features outperform BM25 function;

Formatting features are more effective in retrieval.

• Drawbacks:

There are not information about values of the following parameters:

k, b – parameters in BM25;

 $\lambda$ ,  $\gamma$  – parameters (in Relevance Contribution);

How was created ranking model BM25-P2, it was not explained.

For experiments was used Real-world Web data collection:

- we do not know which documents are there;

- documents have human-generated relevance label.

# Thank you for your attention