### Pairwise, Rigid Registration The ICP Algorithm and Its Variants



#### **Correspondence Problem Classification**

#### How many meshes?

- Two: Pairwise registration
- More than two: multi-view registration

#### Initial registration available?

- Yes: Local optimization methods
- No: Global methods

#### Class of transformations?

- Rotation and translation: Rigid-body
- Non-rigid deformations

#### Pairwise Rigid Registration Goal

Align two partiallyoverlapping meshes given initial guess for relative transform



#### Outline

#### **ICP:** Iterative Closest Points

- Find correspondences
- Minimize surface-to-surface distance

#### Classification of ICP variants

- Faster alignment
- Better robustness

ICP as function minimization

If correct correspondences are known, can find correct relative rotation/translation



How to find correspondences: User input? Feature detection? Signatures? Alternative: assume closest points correspond



... and iterate to find alignment

Iterative Closest Points (ICP) [Besl & McKay 92]
 Converges if starting position "close enough"



Select e.g. 1000 random points

Match each to closest point on other scan, using data structure such as *k*-d tree

**Reject** pairs with distance > *k* times median

Construct error function:

Minimize (closed form solution in [Horn 87])

$$E = \sum \left| \mathbf{R} \mathbf{p}_{i} + \mathbf{t} - \mathbf{q}_{i} \right|^{2}$$

Variants on the following stages of ICP have been proposed:

- 1. Selecting source points (from one or both meshes)
- 2. Matching to points in the other mesh
- 3. Weighting the correspondences
- 4. Rejecting certain (outlier) point pairs
- 5. Assigning an error metric to the current transform
- 6. Minimizing the error metric w.r.t. transformation

Can analyze various aspects of performance:

- Speed
- Stability
- Tolerance of noise and/or outliers
- Maximum initial misalignment

Comparisons of many variants in [Rusinkiewicz & Levoy, 3DIM 2001]



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Using point-to-plane distance instead of point-to-point lets flat regions slide along each other [Chen & Medioni 91]





Error function:

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$$E = \sum \left[ (\mathbf{R}\mathbf{p}_i + \mathbf{t} - \mathbf{q}_i) \cdot \mathbf{n}_i \right]^2$$

where **R** is a rotation matrix, **t** is translation vector

Linearize (i.e. assume that 
$$\sin \theta \approx \theta$$
,  $\cos \theta \approx 1$ ):  
 $E \approx \sum ((\mathbf{p}_i - \mathbf{q}_i) \cdot \mathbf{n}_i + \mathbf{r} \cdot (\mathbf{p}_i \times \mathbf{n}_i) + \mathbf{t} \cdot \mathbf{n}_i)^2$ , where  $\mathbf{r} = \begin{pmatrix} \mathbf{r}_x \\ \mathbf{r}_y \\ \mathbf{r}_z \end{pmatrix}$   
Result: overconstrained linear system

#### Overconstrained linear system

$$\mathbf{A}\mathbf{X} = \mathbf{D},$$

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$$\mathbf{A} = \begin{pmatrix} \leftarrow \mathbf{p}_1 \times \mathbf{n}_1 \rightarrow \leftarrow \mathbf{n}_1 \rightarrow \\ \leftarrow \mathbf{p}_2 \times \mathbf{n}_2 \rightarrow \leftarrow \mathbf{n}_2 \rightarrow \\ \vdots & \vdots & \end{pmatrix}, \qquad \mathbf{X} = \begin{pmatrix} r_x \\ r_y \\ r_z \\ t_x \\ t_y \\ t_z \end{pmatrix}, \qquad \mathbf{b} = \begin{pmatrix} -(\mathbf{p}_1 - \mathbf{q}_1) \cdot \mathbf{n}_1 \\ -(\mathbf{p}_2 - \mathbf{q}_2) \cdot \mathbf{n}_2 \\ \vdots & \end{pmatrix}$$

Solve using least squares

$$\mathbf{A}^{\mathrm{T}}\mathbf{A}\mathbf{x} = \mathbf{A}^{\mathrm{T}}\mathbf{b}$$
$$\mathbf{x} = \left(\mathbf{A}^{\mathrm{T}}\mathbf{A}\right)^{-1}\mathbf{A}^{\mathrm{T}}\mathbf{b}$$

## Can select variants to improve likelihood of reaching correct local optimum

#### **ICP** Variants

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Closest point often a bad approximation to corresponding point

Can improve matching effectiveness by restricting match to compatible points

- Compatibility of colors [Godin et al. 94]
- Compatibility of normals [Pulli 99]
- Other possibilities: curvatures, higher-order derivatives, and other local features

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Use all points

Uniform subsampling

Random sampling

Stable sampling [Gelfand et al. 2003]

Select samples that constrain all degrees of freedom of the rigid-body transformation

#### Stable Sampling



#### **Uniform Sampling**

**Stable Sampling** 

Aligning transform is given by  $A^{T}Ax = A^{T}b$ , where

$$\mathbf{A} = \begin{pmatrix} \leftarrow \mathbf{p}_1 \times \mathbf{n}_1 \rightarrow \leftarrow \mathbf{n}_1 \rightarrow \\ \leftarrow \mathbf{p}_2 \times \mathbf{n}_2 \rightarrow \leftarrow \mathbf{n}_2 \rightarrow \\ \vdots & \vdots & \end{pmatrix}, \qquad \mathbf{X} = \begin{pmatrix} \mathbf{r}_{\mathbf{x}} \\ \mathbf{r}_{\mathbf{y}} \\ \mathbf{r}_{\mathbf{z}} \\ \mathbf{t}_{\mathbf{x}} \\ \mathbf{t}_{\mathbf{y}} \\ \mathbf{t}_{\mathbf{z}} \end{pmatrix}, \qquad \mathbf{b} = \begin{pmatrix} -(\mathbf{p}_1 - \mathbf{q}_{\mathbf{h}}) \cdot \mathbf{n}_1 \\ -(\mathbf{p}_2 - \mathbf{q}_2) \cdot \mathbf{n}_2 \\ \vdots & \end{pmatrix}$$

Covariance matrix  $\mathbf{C} = \mathbf{A}^{T}\mathbf{A}$  determines the change in error when surfaces are moved from optimal alignment

### Eigenvectors of **C** with small eigenvalues correspond to sliding transformations



3 small eigenvalues2 translation1 rotation



3 small eigenvalues 3 rotation



2 small eigenvalues1 translation1 rotation



1 small eigenvalue 1 rotation



1 small eigenvalue 1 translation



#### Stability Analysis





Select points to prevent small eigenvalues

Based on C obtained from sparse sampling

Simpler variant: normal-space sampling

- Select points with uniform distribution of normals
- Pro: faster, does not require eigenanalysis
- Con: only constrains translation

#### Result

### Stability-based or normal-space sampling important for smooth areas with small features





#### Random sampling

#### Normal-space sampling

Could achieve same effect with weighting

Hard to ensure enough samples in features except at high sampling rates

However, have to build special data structure

Preprocessing / run-time cost tradeoff

#### Projection-based matching

- 1. Selecting source points (from one or both meshes)
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#### Finding Corresponding Points

Finding closest point is most expensive stage of the ICP algorithm

• Brute force search – O(n)

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• Spatial data structure (e.g., k-d tree) – O(log n)



#### Projection to Find Correspondences

Idea: use a simpler algorithm to find correspondences For range images, can simply project point [Blais 95]

- Constant-time
- Does not require precomputing a spatial data structure



Slightly worse performance per iteration

Each iteration is one to two orders of magnitude faster than closest-point

Result: can align two range images in a few milliseconds, vs. a few seconds



#### Application

#### Given:

- A scanner that returns range images in real time
- Fast ICP
- Real-time merging and rendering

Result: 3D model acquisition

- Tight feedback loop with user
- Can see and fill holes while scanning

#### Scanner Layout



#### Photograph



#### Real-Time Result



#### Real-Time Result



One way of studying performance is via empirical tests on various scenes

How to analyze performance analytically?

For example, when does point-to-plane help? Under what conditions does projection-based matching work? Two ways of thinking about ICP:

- Solving the correspondence problem (expectation maximization)
- Minimizing point-to-surface squared distance

ICP is like (Gauss-) Newton method on an approximation of the distance function



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f'(x)

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 ICP variants affect shape of global error function or local approximation

f'(x)

#### Point-to-Surface Distance



#### Point-to-Point Distance



#### Point-to-Plane Distance



#### Point-to-Multiple-Point Distance



#### Point-to-Multiple-Point Distance



Soft matching equivalent to standard ICP on (some) filtered surface

Produces filtered version of distance function  $\Rightarrow$  fewer local minima

Multiresolution minimization [Turk & Levoy 94] or softassign with simulated annealing (good description in [Chui 03])

[Mitra et al. 2004]

# Precompute piecewise-quadratic approximation to distance field throughout space

Store in "d2tree" data structure



2D



Precompute piecewise-quadratic approximation to distance field throughout space

Store in "d2tree" data structure

At run time, look up quadratic approximants and optimize using Newton's method

- Often fewer iterations, but more precomputation
- More robust, wider basin of convergence

#### Convergence Funnel



Translation in x-z plane. Rotation about y-axis.





Converges

Does not converge

#### Convergence Funnel



Plane-to-plane ICP



distance-field formulation

#### Summary

#### ICP: Prototypical local alignment algorithm

Either:

- Find unknown correspondences using expectation maximization
- or
  - Find unknown transformation by iteratively minimizing surface-to-surface distance