# Symbolic Computation and Theorem Proving in Program Analysis

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# Outline

Part 1: Weakest Precondition for Program Analysis and Verification

Part 2: Polynomial Invariant Generation (TACAS'08, LPAR'10)

Part 3: Quantified Invariant Generation (FASE'09, MICAI'11)

Part 4: Invariants, Interpolants and Symbol Elimination (CADE'09, POPL'12, APLAS'12)

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# Part 4: Invariants, Interpolants and Symbol Eliminatio Symbol Elimination by First-Order Theorem Proving

Invariants, Interpolants and Symbol Elimination

Interpolants from Proofs

Interpolation in Vampire

Quality of Interpolants

Conclusions

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# Outline

#### Invariants, Interpolants and Symbol Elimination

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## Invariants, Symbol Elimination, and Interpolation

 $\{c = d = 0 \land N > 0 \land (\forall k) (0 \le k < N \rightarrow D[k] = 0)\} \text{ precondition } A(c, d)$   $\underbrace{\text{while } (c < N) \text{ do}}_{C[c]} := D[d];$  c := c + 1; d := d + 1  $\underbrace{\text{end do}}$ 

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 $\{(\forall k) (0 \le k < N \rightarrow C[k] = 0)\}$  postcondition B(c, d)

Invariants, Symbol Elimination, and Interpolation Reachability of *B* in ONE iteration:  $A(c, d) \land T(c, d, c', d') \rightarrow B(c', d')$  $\{c = d = 0 \land N > 0 \land (\forall k) (0 \le k \le N \rightarrow D[k] = 0)\}$  precondition A(c, d)while (c < N) do  $C[c] := D[d]; \qquad c < N \land C[c] = D[d] \land c' = c + 1 \land d' = d + 1 \land c' \ge N$ T(c,d,c',d')c := c + 1: d := d + 1end do

 $\{(\forall k) (0 \le k < N \rightarrow C[k] = 0)\}$  postcondition B(c', d')

Invariants, Symbol Elimination, and Interpolation Reachability of B in ONE iteration:  $A(c, d) \land T(c, d, c', d') \rightarrow B(c', d')$  $\{c = d = 0 \land N > 0 \land (\forall k) (0 \le k \le N \rightarrow D[k] = 0)\}$  precondition A(c, d)while (c < N) do C[c] := D[d]; $\boldsymbol{c} < \boldsymbol{N} \wedge \boldsymbol{C}[\boldsymbol{c}] = \boldsymbol{D}[\boldsymbol{d}] \wedge \boldsymbol{c}' = \boldsymbol{c} + 1 \wedge \boldsymbol{d}' = \boldsymbol{d} + 1 \wedge \boldsymbol{c}' \geq \boldsymbol{N}$ T(c,d,c',d')c := c + 1: d := d + 1end do

 $\{(\forall k) (0 \le k < N \rightarrow C[k] = 0)\}$  postcondition B(c', d')

Refutation:  $A(c, d) \wedge T(c, d, c', d') \wedge \neg B(c', d')$ • The formula is of 2 states (c, d, c', d').• Need a state formula l(c', d') such that: $A(c, d) \wedge T(c, d, c', d') \rightarrow l(c', d')$  and  $l(c', d') \wedge \neg B(c', d') \rightarrow \bot$ 

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Taks: Compute interpolant l(c', d') by eliminating symbols c, d.

Invariants, Symbol Elimination, and Interpolation Reachability of B in ONE iteration:  $A(c, d) \land T(c, d, c', d') \rightarrow B(c', d')$  $\{c = d = 0 \land N > 0 \land (\forall k) \ (0 \le k < N \rightarrow D[k] = 0)\}$  precondition A(c, d)while (c < N) do C[c] := D[d]; $\boldsymbol{c} < \boldsymbol{N} \wedge \boldsymbol{C}[\boldsymbol{c}] = \boldsymbol{D}[\boldsymbol{d}] \wedge \boldsymbol{c}' = \boldsymbol{c} + 1 \wedge \boldsymbol{d}' = \boldsymbol{d} + 1 \wedge \boldsymbol{c}' \geq \boldsymbol{N}$ T(c,d,c',d')c := c + 1: d := d + 1end do  $\{(\forall k) (0 \le k \le N \rightarrow C[k] = 0)\}$  postcondition B(c', d')

 $I(c', d') \equiv 0 < c' = 1 \land C[0] = D[0]$  $I(c'', d'') \equiv 0 < c'' = 2 \land C[0] = D[0] \land C[1] = D[1]$ 

Taks: Compute interpolant I(c', d') by eliminating symbols c, d.

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### Invariants, Symbol Elimination, and Interpolation

Reachability of *B* in TWO iterations:  $A(c, d) \land T(c, d, c', d') \land T(c', d', c'', d'') \rightarrow B(c'', d'')$ 

 $\{\boldsymbol{c} = \boldsymbol{d} = 0 \land N > 0 \land (\forall k) \ (0 \le k < N \rightarrow D[k] = 0)\} \text{ precondition } \boldsymbol{A}(\boldsymbol{c}, \boldsymbol{d})$ 

<u>while</u> (c < N) <u>do</u>

C[c] := D[d];

c := c + 1;d := d + 1

#### end do

 $\{(\forall k) (0 \le k < N \rightarrow C[k] = 0)\}$  postcondition B(c', d')

$$\begin{array}{rcl} l(c',d') &\equiv & 0 < c' = 1 \land C[0] = D[0] \\ l(c'',d'') &\equiv & 0 < c'' = 2 \land C[0] = D[0] \land C[1] = D[1] \end{array}$$

Taks: Compute interpolant I(c'', d'') by eliminating symbols c, d, c', d'.

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$$\begin{array}{rcl} l(c',d') &\equiv & (\forall k) 0 \leq k < c' \rightarrow C[k] = D[k] \\ l(c'',d'') &\equiv & (\forall k) 0 \leq k < c'' \rightarrow C[k] = D[k] \end{array}$$

Taks: Compute interpolant I(c'', d'') implying invariant in any state.

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# Outline

Invariants, Interpolants and Symbol Elimination

#### Interpolants from Proofs

Interpolation in Vampire

**Quality of Interpolants** 

Conclusions



# Symbol Elimination and Interpolation

What is an Interpolant?

**Computing Interpolants** 

- Local Derivations
- Symbol Eliminations
- Building Interpolants from Proof

Summary: Invariants, Symbol Elimination, Interpolants

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# Notation

- First-order predicate logic with equality.
- ► T: always true,
  - ⊥: always false.
- $\blacktriangleright$   $\forall A$ : universal closure of A.
- Symbols:
  - predicate symbols;
  - function symbols;
  - constants.

Equality is part of the language  $\rightarrow$  equality is not a symbol.

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L<sub>A</sub>: the language of A: the set of all formulas built from the symbols occurring in A.

# What is an Interpolant?

Let A, B be closed formulas such that  $A \rightarrow B$ .

Theorem (Craig's Interpolation Theorem) There exists a closed formula  $I \in \mathcal{L}_A \cap \mathcal{L}_B$  such that

 $A \rightarrow I$  and  $I \rightarrow B$ .

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*I* is an interpolant of *A* and *B*.

Note: if A and B are ground, they also have a ground interpolant.

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Reverse interpolant of A and B: any formula / such that

 $A \rightarrow I$  and  $I, \neg B \rightarrow \bot$ .

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# Interpolation with Theories

- Theory T: any set of closed formulas.
- $C_1, \ldots, C_n \to_T C$  means that the formula  $C_1 \land \ldots \land C_1 \to C$  holds in all models of T.
- Interpreted symbols: symbols occurring in T.
- Uninterpreted symbols: all other symbols.

Theorem Let A, B be formulas and let  $A \rightarrow_T B$ .

Then there exists a formula I such that

- 1.  $A \rightarrow_T I$  and  $I \rightarrow B$ ;
- 2. every uninterpreted symbol of I occurs both in A and B;

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3. every interpreted symbol of I occurs in B.

Likewise, there exists a formula I such that

- 1.  $A \rightarrow I$  and  $I \rightarrow_T B$ ;
- 2. every uninterpreted symbol of I occurs both in A and B;
- 3. every interpreted symbol of I occurs in A.

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Likewise, there exists a formula I such that

- 1.  $A \rightarrow I and I \rightarrow_T B;$
- 2. every uninterpreted symbol of I occurs both in A and B;
- 3. every interpreted symbol of I occurs in A.

## Computing Interpolants using Inference Systems

Inference Rule:

$$\frac{A_1 \quad \dots \quad A_n}{A}$$

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- Inference system: a set of inference rules.
- Axiom: an inference rule with 0 premises.
- Derivation of A: tree with the root A built from inferences.

Interpolants and Local AB-Derivations

AB-derivation Let  $\mathcal{L} = \mathcal{L}_A \cap \mathcal{L}_B$ .

A derivation ⊓ is an AB-derivation if

(AB1) For every leaf C of  $\square$  one of following conditions holds:

1.  $A \rightarrow_T \forall C$  and  $C \in \mathcal{L}_A$  or 2.  $B \rightarrow_T \forall C$  and  $C \in \mathcal{L}_B$ .

(AB2) For every inference

$$\frac{C_1 \quad \dots \quad C_n}{C}$$

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of  $\square$  we have  $\forall C_1, \ldots, \forall C_n \rightarrow_T \forall C$ .

We will refer to property (AB2) as soundness.

# Interpolants and Local AB-Derivations

$$\frac{C_1 \quad \dots \quad C_n}{C}$$

This inference is local if the following two conditions hold:

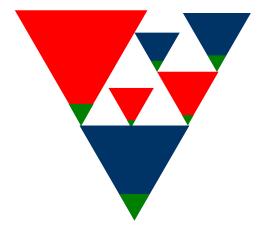
(L1) Either  $\{C_1, \ldots, C_n, C\} \subseteq \mathcal{L}_A$  or  $\{C_1, \ldots, C_n, C\} \subseteq \mathcal{L}_B$ .

(L2) If all of the formulas  $C_1, \ldots, C_n$  are colorless, then *C* is colorless, too.

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A derivation is called local if so is every inference of this derivation.

### Shape of local derivations for $A \rightarrow B$



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### Local Derivations: Example $A \rightarrow B$

#### [demo]

- $\blacktriangleright A := \forall x(x = c)$
- ► *B* := *a* = *b*
- Universal interpolant *I*:  $\forall x \forall y (x = y)$

A local refutation of in the superposition calculus:

$$\frac{x = c \quad y = c}{\frac{x = y}{\underbrace{y \neq b}}} a \neq b$$

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### Local Derivations: Example $A \rightarrow B$

#### [demo]

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# Interpolants and Symbol Eliminating Inference

- At least one of the premises colored.
- The conclusion is not colored.

$$\frac{x = c \quad y = c}{x = y} \quad a \neq b$$

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Interpolant  $\forall x \forall y (x = y)$ : conclusion of a symbol-eliminating inference.

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Interpolant  $\forall x \forall y (x = y)$ : conclusion of a symbol-eliminating inference.

# Extracting Interpolants from Local Proofs

### Theorem (CADE'09)

#### Let $\sqcap$ be a closed local AB-refutation.

Then:

- A reverse interpolant I of A and B can be extracted from  $\Pi$  in linear time.
- I is ground if all formulas in □ are ground.
- I is a boolean combination of conclusions of symbol-eliminating inferences of Π.

NOTE:

- No restriction on the calculus (only soundness required)
   can be used with theories.
- Can generate interpolants in theories where no good interpolation algorithms exist.
- Shift of interest: what matters are symbol-eliminating inferences.

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Problem: generation of proofs giving interpolants.

- Idea 1: look for local refutations only;
- Idea 2: find calculi that guarantee that local proofs exist.
  - LASCA: Superposition + Linear Arithmetic;
  - Separating orderings (colored symbols are the greatest).

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If  $\succ$  is separating, then every AB-derivation in LASCA is local.

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Formula	S	Coloring		Reverse Interpolant
L :	$z < 0 \land x \le z \land y \le x$	left:	Z	$y \leq x \wedge x < 0$
R :	$y \le 0 \land x + y \ge 0$	right:	-	
L : R :	$\begin{array}{l} g(a) = c + 5 \land f(g(a)) \ge c + 1 \\ h(b) = d + 4 \land d = c + 1 \land f(h(b)) < c + 1 \end{array}$	left: right:	g, a h, b	$c+1 \leq f(c+5)$
L :	$p \leq c \land c \leq q \land f(c) = 1$	left:	c	$p \leq q \land (q > p \lor f(p) = 1)$
R :	$q \leq d \land d \leq p \land f(d) = 0$	right:	d	
L : R :	$ \begin{array}{l} f(x_1) + x_2 = x_3 \land f(y_1) + y_2 = y_3 \land y_1 \le x_1 \\ x_2 = g(b) \land y_2 = g(b) \land x_1 \le y_1 \land x_3 < y_3 \end{array} $	left: right:	f g, b	$x_1 > y_1 \lor x_2 \neq y_2 \lor x_3 = y_3$
L :	$c_2 = car(c_1) \land c_3 = cdr(c_1) \land \neg(atom(c_1))$	left:	car, cons	$\neg \mathit{atom}(c_1) \land c_1 = \mathit{cons}(c_2, c_3)$
R :	$c_1 \neq cons(c_2, c_3)$	right:	-	
L :	$Q(f(a)) \land \neq Q(f(b))$	left:	Q, a, b	$\exists x, y : f(x) \neq f(y)$
R :	f(V) = c	right:	c	
L :	$a = c \land f(c) = a$	left:	a	c = f(c)
R :	$c = b \land \neq (b = f(c))$	right:	b	
L :	True $\land a'[x'] = y \land x' = x \land y' = y + 1 \land z' = x'$	left:	x, y	$1 + a'[x'] = y' \wedge x' = z'$
R :	$\neg (y' = a'[z'] + 1)$	right:	-	

Table : Interpolation with Vampire, within 1 second time limit.

# Symbol Elimination and Interpolation

Invariants, Interpolants and Symbol Elimination

Interpolants from Proofs

Interpolation in Vampire

**Quality of Interpolants** 

Conclusions

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► There are three colors: blue, red and green.

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- ► There are three colors: blue, red and green.
- Each symbol (function or predicate) is colored in exactly one of these colors.

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- ▶ We have two formulas: *A* and *B*.
- Each symbol in *A* is either blue or green.
- Each symbol in *B* is either red or green.

- There are three colors: blue, red and green.
- Each symbol (function or predicate) is colored in exactly one of these colors.

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- We have two formulas: A and B.
- Each symbol in *A* is either blue or green.
- Each symbol in *B* is either red or green.
- We know that  $\rightarrow A \rightarrow B$ .
- Our goal is to find a green formula / such that

 $\begin{array}{ll} 1. & \rightarrow A \rightarrow I; \\ 2. & \rightarrow I \rightarrow B. \end{array}$ 

# Interpolation Example in Vampire

```
fof(fA,axiom, q(f(a)) \& \tilde{q}(f(b))).
fof(fB,conjecture, ?[V]: V != c).
```



# Interpolation Example in Vampire

```
% request to generate an interpolant
vampire (option, show interpolant, on).
% symbol coloring
vampire(symbol, predicate, q, 1, left).
vampire(symbol, function, f, 1, left).
vampire(symbol, function, a, 0, left).
vampire(symbol,function,b,0,left).
vampire(symbol, function, c, 0, right).
% formula L
vampire(left_formula).
  fof (fA, axiom, q(f(a)) \& ~q(f(b))).
vampire(end_formula).
% formula R
vampire(right_formula).
  fof (fB, conjecture, ?[V]: V != c).
vampire(end_formula).
```

# Symbol Elimination and Interpolation

Invariants, Interpolants and Symbol Elimination

Interpolants from Proofs

Interpolation in Vampire

**Quality of Interpolants** 

Conclusions



**Given:** a problem (*an interpolation problem*) **Generate:** a formula (*an interpolant*)

$$\begin{array}{l} -1 + a + -a = -1 \land \\ \forall x (\neg (x \le 5) \lor -6 + x \le -1) \land \\ -(-1 + -1 + a) = -1 \land \\ \forall x ((1 \le x + --(-1 + a) \lor \neg (-1 \le x))) \land \\ (a \le 6 \lor 1 \le a + -1) \land \\ \forall x (\neg (-1 \le x) \lor \neg (x \le -2)) \land \\ \forall x (\neg (-1 \le x) \lor \neg (x \le -2)) \land \\ \forall x (-1 \le x + -a \lor \neg (-1 + a \le x)) \land \\ \forall x (-1 + x = 1 + -2 + x) \land \\ -a + -1 + a = -1 \land \\ \forall x (\neg (-(-1 + a) \le x) \lor 1 \le x + -1) \land \\ \forall x ((\neg (x \le 4) \lor -5 + x \le -1)) \land \\ \forall x (x + -3 \le -1 \lor \neg (x \le 2)) \land \\ \forall x (\neg (x \le 3) \lor -4 + x \le -1) \land \\ \forall x (x + -a \le -1 \lor \neg (x \le -1 + a)) \land \\ \forall x (-1 + x = -1 + -1 + a + -(-1 + a) + x) \land \\ 6 \le b \end{array}$$

#### **Given:** a problem (*an interpolation problem*) **Generate:** a formula (*an interpolant*)

$$\begin{array}{l} -1 + a + -a = -1 \land \\ \forall x (\neg (x \le 5) \lor -6 + x \le -1) \land \\ -(-1 + -1 + a) = -1 \land \\ \forall x ((1 \le x + --(-1 + a) \lor \neg (-1 \le x))) \land \\ (a \le 6 \lor 1 \le a + -1) \land \\ \forall x (\neg (-1 \le x) \lor \neg (x \le -2)) \land \\ \forall x (-1 \le x + -a \lor \neg (-1 + a \le x)) \land \\ \forall x (-1 + x = 1 + -2 + x) \land \\ -a + -1 + a = -1 \land \\ \forall x (\neg (-(-1 + a) \le x) \lor 1 \le x + -1) \land \\ \forall x ((\neg (x \le 4) \lor -5 + x \le -1)) \land \\ \forall x (x + -3 \le -1 \lor \neg (x \le 2)) \land \\ \forall x (\neg (x \le 3) \lor -4 + x \le -1) \land \\ \forall x (x + -a \le -1 \lor \neg (x \le -1 + a)) \land \\ \forall x (-1 + x = -1 + -1 + a + -(-1 + a) + x) \land \\ 6 \le b \end{array}$$

$$\neg(a \le 6) \land \\ -a \le -1 \land \\ \neg(-1 \le -a) \land \\ a = 3 \land \\ 1 \le -1 + a \land \\ \neg(2 + a \le 6) \land \\ \neg(-1 + a \le 1) \land \\ (a \ne 6 \lor \neg(b \le 6))$$

#### **Given:** a problem (*an interpolation problem*) **Generate:** a formula (*an interpolant*) which is small

$$\begin{array}{l} -1 + a + -a = -1 \land \\ \forall x (\neg (x \le 5) \lor -6 + x \le -1) \land \\ -(-1 + -1 + a) = -1 \land \\ \forall x ((1 \le x + --(-1 + a) \lor \neg (-1 \le x))) \land \\ (a \le 6 \lor 1 \le a + -1) \land \\ \forall x (\neg (-1 \le x) \lor \neg (x \le -2)) \land \\ \forall x (-1 \le x + -a \lor \neg (-1 + a \le x)) \land \\ \forall x (-1 + x = 1 + -2 + x) \land \\ -a + -1 + a = -1 \land \\ \forall x (\neg (-(-1 + a) \le x) \lor 1 \le x + -1) \land \\ \forall x ((\neg (x \le 4) \lor -5 + x \le -1)) \land \\ \forall x (x + -3 \le -1 \lor \neg (x \le 2)) \land \\ \forall x (\neg (x \le 3) \lor -4 + x \le -1) \land \\ \forall x (x + -a \le -1 \lor \neg (x \le -1 + a)) \land \\ \forall x (-1 + x = -1 + -1 + a + -(-1 + a) + x) \land \\ 6 \le b \end{array}$$

$$\neg(a \le 6) \land \\ -a \le -1 \land \\ \neg(-1 \le -a) \land \\ a = 3 \land \\ 1 \le -1 + a \land \\ \neg(2 + a \le 6) \land \\ \neg(-1 + a \le 1) \land \\ (a \ne 6 \lor \neg(b \le 6))$$

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or

#### **Given:** a problem (*an interpolation problem*) **Generate:** a formula (*an interpolant*) which is small

$$\begin{aligned} -1 + a + -a &= -1 \land \\ \forall x (\neg (x \le 5) \lor -6 + x \le -1) \land \\ -(-1 + -1 + a) &= -1 \land \\ \forall x ((1 \le x + --(-1 + a) \lor \neg (-1 \le x))) \land \\ (a \le 6 \lor 1 \le a + -1) \land \\ \forall x (\neg (-1 \le x) \lor \neg (x \le -2)) \land \\ \forall x (-1 \le x + -a \lor \neg (-1 + a \le x)) \land \\ \forall x (-1 + x = 1 + -2 + x) \land \\ -a + -1 + a &= -1 \land \\ \forall x ((-(-(-1 + a) \le x) \lor 1 \le x + -1) \land \\ \forall x ((\neg (x \le 4) \lor -5 + x \le -1)) \land \\ \forall x (x + -3 \le -1 \lor \neg (x \le 2)) \land \\ \forall x (\neg (x \le 3) \lor -4 + x \le -1) \land \\ \forall x (x + -a \le -1 \lor \neg (x \le -1 + a)) \land \\ \forall x (-1 + x = -1 + -1 + a + -(-1 + a) + x) \land \\ 6 \le b \end{aligned}$$

$$\neg(a \le 6) \land \\ -a \le -1 \land \\ \neg(-1 \le -a) \land \\ a = 3 \land \\ 1 \le -1 + a \land \\ \neg(2 + a \le 6) \land \\ \neg(-1 + a \le 1) \land \\ (a \ne 6 \lor \neg(b \le 6))$$

or

#### What is a good interpolant?

- Iogical strength [Jhala07, D'Silva09, McMillan08];
- small size [Kroening10, Brillout11, Griggio11].

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- in size;
- in weight;
- in the number of quantifiers;
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- in size;
- in weight;
- in the number of quantifiers;
- ▶ ...

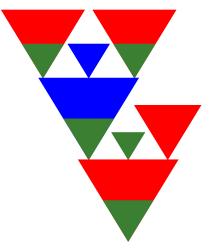
#### **Revised Interpolation Problem:**

Given  $\rightarrow \mathbf{R} \rightarrow \mathbf{B}$ , find a green formula *I*:

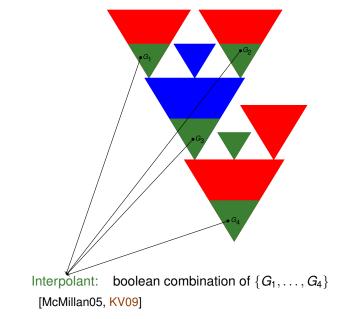
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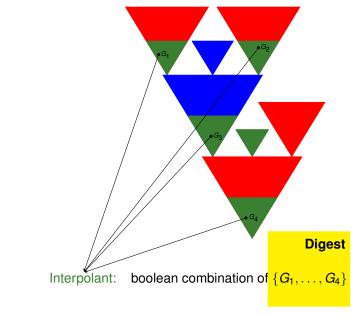
$$. \rightarrow \mathbf{R} \rightarrow l;$$

- $. \rightarrow I \rightarrow B;$
- . / is small.

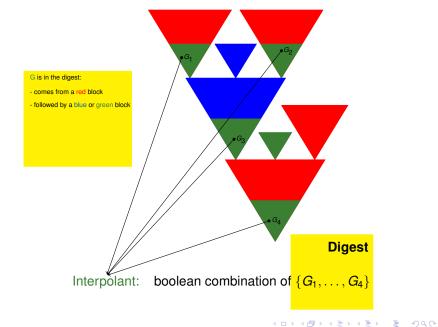


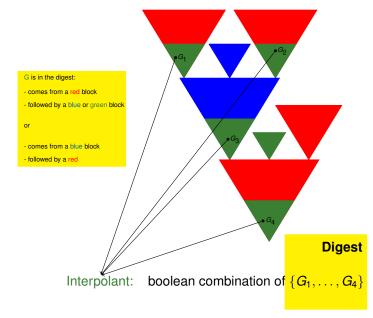
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Task: minimise interpolants = minimise digest

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Task: minimise interpolants = minimise digest

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Idea: Change the green areas of the local proof

Task: minimise interpolants = minimise digest

Idea: Change the green areas of the local proof

Slicing off formulas



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Task: minimise interpolants = minimise digest

Idea: Change the green areas of the local proof

Slicing off formulas



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If A is green: Green slicing

Task: minimise interpolants = minimise digest

Idea: Change the green areas of the local proof

Slicing off formulas



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Task: minimise interpolants = minimise digest

Idea: Change the green areas of the local proof, but preserve locality!

Slicing off formulas



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$$\frac{\begin{array}{cccc}
\underline{R_1} & \underline{G_1} \\
\underline{G_3} & \underline{B_1} & \underline{G_2} \\
\underline{G_4} \\
\underline{G_5} \\
\underline{G_6} \\
\underline{R_4} \\
\underline{G_7} \\
\underline{H_4} \\
\underline{G_7} \\
\underline{G_5} \\
\underline{G_6} \\
\underline{G_7} \\$$

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$$\frac{\begin{array}{cccc}
\frac{R_1 & G_1}{G_3} & \frac{B_1 & G_2}{G_4} \\
\hline
\frac{R_3 & \frac{G_5}{G_6}}{\hline
\frac{R_4}{G_7}}
\end{array}}{$$

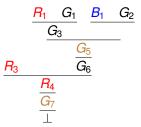
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Digest:  $\{G_4, G_7\}$ 

Reverse interpolant:  $G_4 \rightarrow G_7$ 

$$\frac{\begin{array}{cccc}
\underline{R_1} & \underline{G_1} & \underline{B_1} & \underline{G_2} \\
\underline{G_3} & \underline{G_5} \\
\underline{R_3} & \underline{G_5} \\
\underline{R_4} \\
\underline{G_7} \\
\underline{H_4} \\
\underline{G_7} \\$$

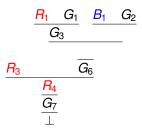
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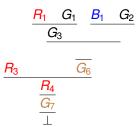
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Digest:  $\{G_5, G_7\}$ 

Reverse interpolant:  $G_5 \rightarrow G_7$ 



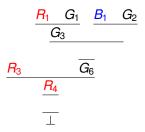
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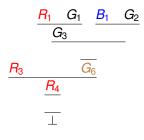
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Digest:  $\{G_6, G_7\}$ 

Reverse interpolant:  $G_6 \rightarrow G_7$ 



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Digest:  $\{G_6\}$ 

Reverse interpolant: ¬G<sub>6</sub>

$$\frac{\begin{array}{cccc}
\frac{R_1 & G_1}{G_3} & \frac{B_1 & G_2}{G_4} \\
\frac{R_3 & \frac{G_5}{G_6} \\
\frac{R_4}{G_7} \\
\frac{R_4}{\Box}
\end{array}}$$

Note that the interpolant has changed from  $G_4 \rightarrow G_7$  to  $\neg G_6$ .

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Note that the interpolant has changed from  $G_4 \rightarrow G_7$  to  $\neg G_6$ .

- ► There is no obvious logical relation between G<sub>4</sub> → G<sub>7</sub> and ¬G<sub>6</sub>, for example none of these formulas implies the other one;
- These formulas may even have no common atoms or no common symbols.

# If green slicing gives us very different interpolants, we can use it for finding small interpolants.

Problem: if the proof contains n green formulas, the number of possible different slicing off transformations is  $2^n$ .

If green slicing gives us very different interpolants, we can use it for finding small interpolants.

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Problem: if the proof contains n green formulas, the number of possible different slicing off transformations is  $2^n$ .

encode all sequences of transformations as an instance of SAT

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solutions encode all slicing off transformations

encode all sequences of transformations as an instance of SAT

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- solutions encode all slicing off transformations
  - $\frac{\frac{\pmb{R}}{G_1}}{\frac{\pmb{G}_2}{G_3}}$

- encode all sequences of transformations as an instance of SAT
  - solutions encode all slicing off transformations
     C and at most one of C C can be alread off

 $G_3$ , and at most one of  $G_1$ ,  $G_2$  can be sliced off.

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encode all sequences of transformations as an instance of SAT

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solutions encode all slicing off transformations

 $\frac{\frac{\pmb{R}}{G_1}}{\frac{\pmb{B}}{G_2}}$ 

Some predicates on green formulas:

- sliced(G): G was sliced off;
- red(G): the trace of G contains a red formula;
- blue(G): the trace of G contains a blue formula;
- green(G): the trace of G contains only green formulas;
- digest(G): G belongs to the digest.

- encode all sequences of transformations as an instance of SAT
- solutions encode all slicing off transformations



 $\neg$ sliced $(G_1) \rightarrow$  Green $(G_1)$ sliced $(G_1) \rightarrow$  red $(G_1)$ 

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 $\frac{\frac{\pmb{R}}{G_1}}{\frac{\pmb{G}_2}{G_3}}$ 

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- digest(G): G belongs to the digest.

 $\neg \text{sliced}(G_3) \rightarrow \text{Green}(G_3)$   $\text{sliced}(G_3) \rightarrow (\text{Green}(G_3) \leftrightarrow \text{Green}(G_1) \land \text{Green}(G_2))$   $\text{sliced}(G_3) \rightarrow (\text{red}(G_3) \leftrightarrow \text{red}(G_1) \lor \text{red}(G_2))$  $\text{sliced}(G_3) \rightarrow (\text{blue}(G_3) \leftrightarrow \text{blue}(G_1) \lor \text{blue}(G_2))$ 

- encode all sequences of transformations as an instance of SAT
- solutions encode all slicing off transformations

 $\frac{\frac{\pmb{R}}{G_1}}{\frac{\pmb{G}_2}{G_3}}$ 

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- digest(G): G belongs to the digest.

 $digest(G_1) \rightarrow \neg sliced(G_1)$ 

- encode all sequences of transformations as an instance of SAT
  - solutions encode all slicing off transformations
    - $\frac{\frac{\pmb{R}}{G_1}}{\frac{\pmb{G}_2}{G_3}}$

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- sliced(G): G was sliced off;
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- digest(G): G belongs to the digest.

$$\begin{split} \neg \text{sliced}(G_1) &\to \text{Green}(G_1) \\ \text{sliced}(G_1) &\to \text{red}(G_1) \\ \neg \text{sliced}(G_3) &\to \text{Green}(G_3) \\ \text{sliced}(G_3) &\to (\text{Green}(G_3) \leftrightarrow \text{Green}(G_1) \wedge \text{Green}(G_2)) \\ \text{sliced}(G_3) &\to (\text{red}(G_3) \leftrightarrow \text{red}(G_1) \vee \text{red}(G_2)) \\ \text{sliced}(G_3) &\to (\text{blue}(G_3) \leftrightarrow \text{blue}(G_1) \vee \text{blue}(G_2)) \\ \text{digest}(G_1) &\to \neg \text{sliced}(G_1) \end{split}$$

encode all sequences of transformations as an instance of SAT

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solutions encode all slicing off transformations



Express digest(G)

encode all sequences of transformations as an instance of SAT

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solutions encode all slicing off transformations

 $\frac{\frac{\pmb{R}}{G_1}}{\frac{\pmb{B}}{G_2}}$ 

Express digest(G)

by considering the possibilities:

- G comes from a red/ blue/green formula
- G is followed by a red/ blue/green formula

encode all sequences of transformations as an instance of SAT

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solutions encode all slicing off transformations

 $\frac{\frac{\pmb{R}}{G_1}}{\frac{\pmb{B}}{G_2}}$ 

Express digest(G)

by considering the possibilities:

 G comes from a red/ blue/green formula

rc(G)/bc(G)

 G is followed by a red/ blue/green formula
 bf(G)/ff(G)

- encode all sequences of transformations as an instance of SAT
- solutions encode all slicing off transformations



Express digest(G)

by considering the possibilities:

 G comes from a red/ blue/green formula

rc(G)/bc(G)

 G is followed by a red/ blue/green formula
 bf(G)/ff(G)  $\begin{aligned} \mathsf{digest}(G_3) \leftrightarrow (\mathsf{rc}(G_3) \wedge \mathsf{rf}(G_3)) \lor (\mathsf{bc}(G_3) \wedge \mathsf{bf}(G_3)) \\ \mathsf{rc}(G_3) \leftrightarrow (\neg \mathsf{sliced}(G_3) \wedge (\mathsf{red}(G_1) \lor \mathsf{red}(G_2)) \end{aligned}$ 

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encode all sequences of transformations as an instance of SAT
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#### Express digest(G)

by considering the possibilities:

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$$\begin{split} \neg \mathsf{sliced}(G_1) &\to \mathsf{Green}(G_1) \\ \mathsf{sliced}(G_1) &\to \mathsf{red}(G_1) \\ \neg \mathsf{sliced}(G_3) &\to \mathsf{Green}(G_3) \\ \mathsf{sliced}(G_3) &\to (\mathsf{Green}(G_3) \leftrightarrow \mathsf{Green}(G_1) \wedge \mathsf{Green}(G_2)) \\ \mathsf{sliced}(G_3) &\to (\mathsf{red}(G_3) \leftrightarrow \mathsf{red}(G_1) \vee \mathsf{red}(G_2)) \\ \mathsf{sliced}(G_3) &\to (\mathsf{blue}(G_3) \leftrightarrow \mathsf{blue}(G_1) \vee \mathsf{blue}(G_2)) \\ \mathsf{digest}(G_1) &\to \neg \mathsf{sliced}(G_1) \end{split}$$

 $digest(G_3) \leftrightarrow (rc(G_3) \wedge rf(G_3)) \vee (bc(G_3) \wedge bf(G_3))$  $rc(G_3) \leftrightarrow (\neg sliced(G_3) \wedge (red(G_1) \vee red(G_2))$ 

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- encode all sequences of transformations as an instance of SAT;
- solutions encode all slicing off transformations;
- compute small interpolants: smallest digest of green formulas;

$$\min_{\{G_{i_1},...,G_{i_n}\}} \Big(\sum_{G_i} \mathsf{digest}(G_i)\Big)$$

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- use a pseudo-boolean optimisation tool or an SMT solver to minimise interpolants;
- minimising interpolants is an NP-complete problem.

### Experiments with Minimising Interpolants

#### Experimental results:

9632 first-order examples from the TPTP library:

for example, for 2000 problems the size of the interpolants became 20-49 times smaller;

- 4347 SMT examples:
  - we used Z3 for proving SMT examples;
  - Z3 proofs were localised in Vampire;
  - minimal interpolants were generated for 2123 SMT examples.

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### Experiments with Minimising Interpolants

More realistic benchmarks:

- 4048 problems coming from CPAchecker;
- we used Vampire to generate local proofs;
- minimal interpolants were generated for 1903 CPAchecker examples:
  - for 296 examples the size of the interpolant has decreased by a factor of 5;

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 for 6 examples the size of the interpolant has decreased by a factor of 500.

### Symbol Elimination and Interpolation

Invariants, Interpolants and Symbol Elimination

Interpolants from Proofs

Interpolation in Vampire

**Quality of Interpolants** 

Conclusions

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#### Summary: Invariant Generation, Interpolation, Symbol Elimination

Given the proof obligation  $A \rightarrow B$ :

- 1. Run a theorem prover and eliminate extra symbols;
- 2. Generate a (reverse) interpolant from a refutation;
- Interpolant is a boolean combination of consequences of symbol-eliminating inferences.

Given a loop:

- 1. Express loop properties in a language containing extra symbols;
- 2. Every logical consequence of these properties is a valid loop property, but not an invariant;
- 3. Run a theorem prover for eliminating extra symbols;
- 4. Every derived formula in the language of the loop is a loop invariant;

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### End of Session 4

Slides for session 4 ended here ...

